

## Appendix D. DASY System Calibration Certificate



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Client

Anbotek (Auden)

Certificate No: Z19-68716

## CALIBRATION CERTIFICATE

Object EX3DV4 - SN:7396

Calibration Procedure(s) FF-Z11-007-03

Calibration Procedures for Dosimetric E-field Probes

Calibration date: May06, 2019

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&amp;TE critical for calibration)

| Primary Standards       | ID #        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|-------------------------|-------------|------------------------------------------|-----------------------|
| Power Meter NRP2        | 101919      | 20-Jun-18 (CTTL, No.J18X07447)           | Jun-19                |
| Power sensor NRP-Z91    | 101547      | 20-Jun-18 (CTTL, No.J18X07447)           | Jun-19                |
| Power sensor NRP-Z91    | 101548      | 20-Jun-18 (CTTL, No.J18X07447)           | Jun-19                |
| Reference10dBAttenuator | 18N50W-10dB | 13-Mar-19(CTTL, No.J19X01547)            | Mar-20                |
| Reference20dBAttenuator | 18N50W-20dB | 13-Mar-19(CTTL, No.J19X01548)            | Mar-20                |
| Reference Probe EX3DV4  | SN 7433     | 26-Sep-18(SPEAG, No.EX3-7433_Sep18)      | Sep-19                |
| DAE4                    | SN 549      | 13-Dec-18(SPEAG, No.DAE4-549_Dec18)      | Dec -19               |
| Secondary Standards     | ID #        | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
| SignalGeneratorMG3700A  | 6201052605  | 27-Jun-18 (CTTL, No.J18X04776)           | Jun-19                |
| Network Analyzer E5071C | MY46110673  | 13-Jan-19 (CTTL, No.J19X00285)           | Jan -20               |

|                | Name        | Function           | Signature |
|----------------|-------------|--------------------|-----------|
| Calibrated by: | Yu Zongying | SAR Test Engineer  |           |
| Reviewed by:   | Lin Hao     | SAR Test Engineer  |           |
| Approved by:   | Qi Dianyuan | SAR Project Leader |           |

Issued: May07, 2019

This calibration certificate shall not be reproduced except in full without written approval of the laboratory.

Certificate No: Z19-68716

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#### Glossary:

|                       |                                                                                                                                             |
|-----------------------|---------------------------------------------------------------------------------------------------------------------------------------------|
| TSL                   | tissue simulating liquid                                                                                                                    |
| NORM <sub>x,y,z</sub> | sensitivity in free space                                                                                                                   |
| ConvF                 | sensitivity in TSL / NORM <sub>x,y,z</sub>                                                                                                  |
| DCP                   | diode compression point                                                                                                                     |
| CF                    | crest factor (1/duty_cycle) of the RF signal                                                                                                |
| A,B,C,D               | modulation dependent linearization parameters                                                                                               |
| Polarization $\Phi$   | $\Phi$ rotation around probe axis                                                                                                           |
| Polarization $\theta$ | $\theta$ rotation around an axis that is in the plane normal to probe axis (at measurement center), i<br>$\theta=0$ is normal to probe axis |

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system

#### Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>**: Assessed for E-field polarization  $\theta=0$  ( $f \leq 900\text{MHz}$  in TEM-cell;  $f > 1800\text{MHz}$ : waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not effect the  $E^2$ -field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub>** = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>**: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR**: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; VR<sub>x,y,z</sub>; A,B,C** are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters**: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800\text{MHz}$ ) and inside waveguide using analytical field distributions based on power measurements for  $f > 800\text{MHz}$ . The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50\text{MHz}$  to  $\pm 100\text{MHz}$ .
- Spherical isotropy (3D deviation from isotropy)**: in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset**: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle**: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).







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# Probe EX3DV4

## SN: 7396

Calibrated: May 06, 2019

Calibrated for DASY/EASY Systems

(Note: non-compatible with DASY2 system!)





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[Http://www.chinattl.cn](http://www.chinattl.cn)**DASY/EASY – Parameters of Probe: EX3DV4 – SN: 7396****Basic Calibration Parameters**

|                                                          | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|----------------------------------------------------------|----------|----------|----------|-----------|
| Norm( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup> | 0.54     | 0.53     | 0.50     | ±10.0%    |
| DCP(mV) <sup>B</sup>                                     | 97.8     | 104.5    | 102.5    |           |

**Modulation Calibration Parameters**

| UID | Communication System Name |   | A<br>dB | B<br>dB/μV | C   | D<br>dB | VR<br>mV | Unc <sup>E</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------|-----|---------|----------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0        | 1.0 | 0.00    | 199.9    | ±2.4%                     |
|     |                           | Y | 0.0     | 0.0        | 1.0 |         | 203.3    |                           |
|     |                           | Z | 0.0     | 0.0        | 1.0 |         | 195.0    |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6).

<sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.





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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 7396

### Calibration Parameter Determined in Head Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 41.9                               | 0.89                            | 9.82    | 9.82    | 9.82    | 0.30               | 0.85                    | ±12.1%      |
| 835                  | 41.5                               | 0.90                            | 9.71    | 9.71    | 9.71    | 0.15               | 1.36                    | ±12.1%      |
| 900                  | 41.5                               | 0.97                            | 9.87    | 9.87    | 9.87    | 0.16               | 1.37                    | ±12.1%      |
| 1750                 | 40.1                               | 1.37                            | 8.61    | 8.61    | 8.61    | 0.25               | 1.04                    | ±12.1%      |
| 1900                 | 40.0                               | 1.40                            | 8.13    | 8.13    | 8.13    | 0.24               | 1.01                    | ±12.1%      |
| 2100                 | 39.8                               | 1.49                            | 8.14    | 8.14    | 8.14    | 0.24               | 1.04                    | ±12.1%      |
| 2300                 | 39.5                               | 1.67                            | 7.85    | 7.85    | 7.85    | 0.40               | 0.75                    | ±12.1%      |
| 2450                 | 39.2                               | 1.80                            | 7.57    | 7.57    | 7.57    | 0.50               | 0.75                    | ±12.1%      |
| 2600                 | 39.0                               | 1.96                            | 7.38    | 7.38    | 7.38    | 0.64               | 0.68                    | ±12.1%      |
| 5250                 | 35.9                               | 4.71                            | 5.33    | 5.33    | 5.33    | 0.45               | 1.30                    | ±13.3%      |
| 5600                 | 35.5                               | 5.07                            | 4.89    | 4.89    | 4.89    | 0.45               | 1.35                    | ±13.3%      |
| 5750                 | 35.4                               | 5.22                            | 4.92    | 4.92    | 4.92    | 0.45               | 1.45                    | ±13.3%      |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.







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## DASY/EASY – Parameters of Probe: EX3DV4 – SN: 7396

### Calibration Parameter Determined in Body Tissue Simulating Media

| f [MHz] <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unct. (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-------------|
| 750                  | 55.5                               | 0.96                            | 10.09   | 10.09   | 10.09   | 0.30               | 0.90                    | ±12.1%      |
| 835                  | 55.2                               | 0.97                            | 9.88    | 9.88    | 9.88    | 0.19               | 1.32                    | ±12.1%      |
| 900                  | 55.0                               | 1.05                            | 9.82    | 9.82    | 9.82    | 0.23               | 1.15                    | ±12.1%      |
| 1750                 | 53.4                               | 1.49                            | 8.24    | 8.24    | 8.24    | 0.24               | 1.06                    | ±12.1%      |
| 1900                 | 53.3                               | 1.52                            | 7.97    | 7.97    | 7.97    | 0.19               | 1.24                    | ±12.1%      |
| 2100                 | 53.2                               | 1.62                            | 8.18    | 8.18    | 8.18    | 0.19               | 1.39                    | ±12.1%      |
| 2300                 | 52.9                               | 1.81                            | 7.88    | 7.88    | 7.88    | 0.55               | 0.80                    | ±12.1%      |
| 2450                 | 52.7                               | 1.95                            | 7.53    | 7.53    | 7.53    | 0.46               | 0.89                    | ±12.1%      |
| 2600                 | 52.5                               | 2.16                            | 7.38    | 7.38    | 7.38    | 0.52               | 0.80                    | ±12.1%      |
| 5250                 | 48.9                               | 5.36                            | 4.93    | 4.93    | 4.93    | 0.45               | 1.80                    | ±13.3%      |
| 5600                 | 48.5                               | 5.77                            | 4.19    | 4.19    | 4.19    | 0.48               | 1.90                    | ±13.3%      |
| 5750                 | 48.3                               | 5.94                            | 4.52    | 4.52    | 4.52    | 0.48               | 1.95                    | ±13.3%      |

<sup>C</sup> Frequency validity above 300 MHz of ±100MHz only applies for DASY v4.4 and higher (Page 2), else it is restricted to ±50MHz. The uncertainty is the RSS of ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequency below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ±10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ±5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for the frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.





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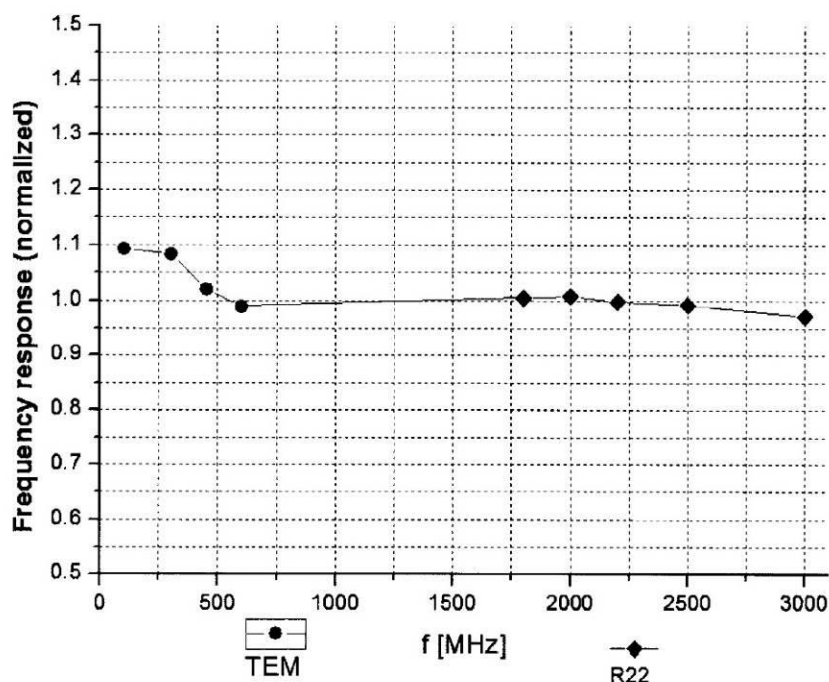
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## Frequency Response of E-Field (TEM-Cell: ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field:  $\pm 7.4\%$  ( $k=2$ )





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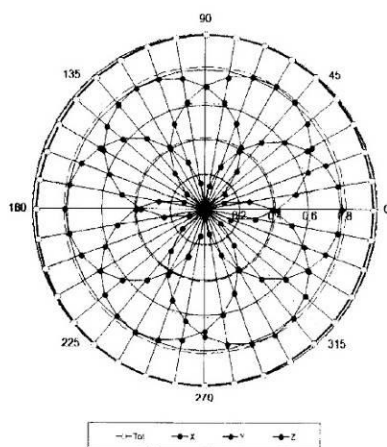
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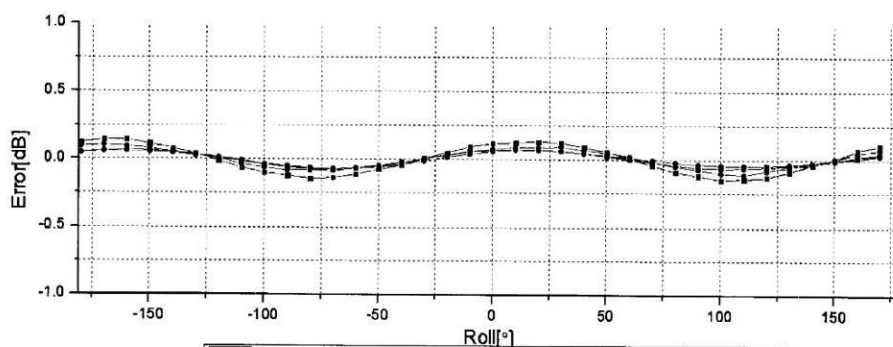
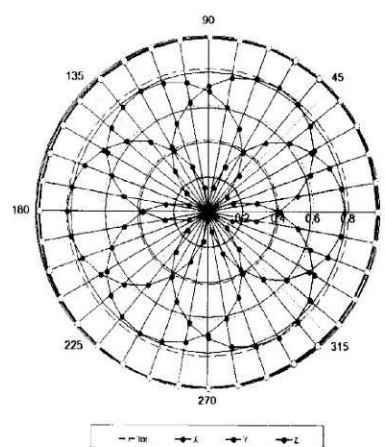
Http://www.chinattl.cn

## Receiving Pattern ( $\Phi$ ), $\theta=0^\circ$

**f=600 MHz, TEM**



**f=1800 MHz, R22**



Uncertainty of Axial Isotropy Assessment:  $\pm 1.2\%$  ( $k=2$ )







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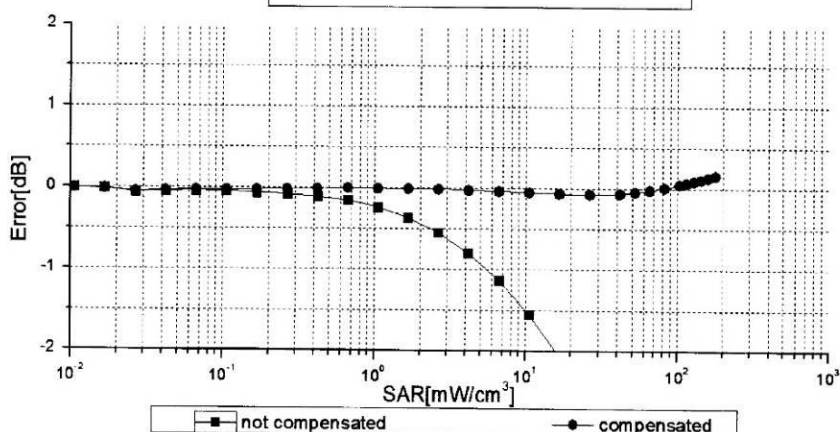
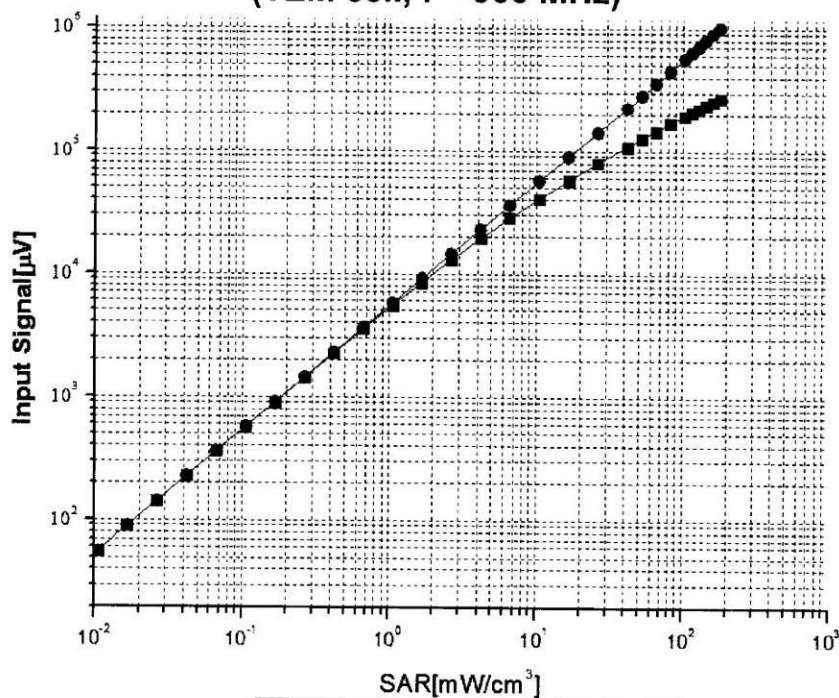
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### Dynamic Range f(SAR<sub>head</sub>) (TEM cell, f = 900 MHz)

Uncertainty of Linearity Assessment:  $\pm 0.9\%$  ( $k=2$ )

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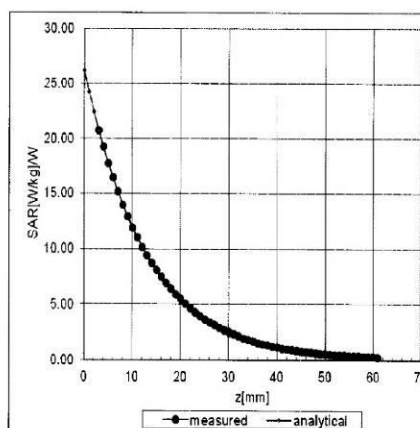
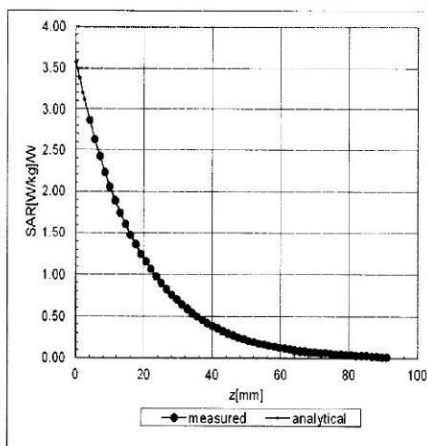
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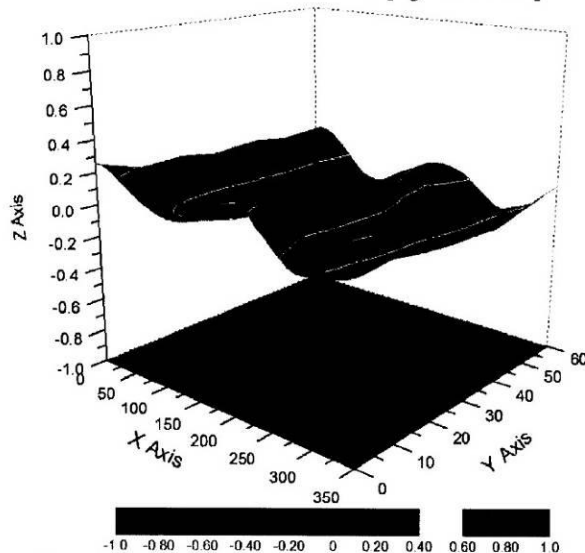
## Conversion Factor Assessment

f=900 MHz, WGLS R9(H\_convF)

f=1750 MHz, WGLS R22(H\_convF)



## Deviation from Isotropy in Liquid



Uncertainty of Spherical Isotropy Assessment:  $\pm 3.2\%$  (K=2)

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**DASY/EASY – Parameters of Probe: EX3DV4 – SN: 7396****Other Probe Parameters**

|                                                      |                   |
|------------------------------------------------------|-------------------|
| <b>Sensor Arrangement</b>                            | <b>Triangular</b> |
| <b>Connector Angle (°)</b>                           | <b>156.9</b>      |
| <b>Mechanical Surface Detection Mode</b>             | <b>enabled</b>    |
| <b>Optical Surface Detection Mode</b>                | <b>disable</b>    |
| <b>Probe Overall Length</b>                          | <b>337mm</b>      |
| <b>Probe Body Diameter</b>                           | <b>10mm</b>       |
| <b>Tip Length</b>                                    | <b>9mm</b>        |
| <b>Tip Diameter</b>                                  | <b>2.5mm</b>      |
| <b>Probe Tip to Sensor X Calibration Point</b>       | <b>1mm</b>        |
| <b>Probe Tip to Sensor Y Calibration Point</b>       | <b>1mm</b>        |
| <b>Probe Tip to Sensor Z Calibration Point</b>       | <b>1mm</b>        |
| <b>Recommended Measurement Distance from Surface</b> | <b>1.4mm</b>      |



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Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

Client AGC (Auden)

Certificate No: ES3-3337\_Jul19

## CALIBRATION CERTIFICATE

Object ES3DV3 - SN:3337

Calibration procedure(s) QA CAL-01.v9, QA CAL-12.v9, QA CAL-23.v5, QA CAL-25.v7  
Calibration procedure for dosimetric E-field probes

Calibration date: July 23, 2019

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards          | ID               | Cal Date (Certificate No.)        | Scheduled Calibration  |
|----------------------------|------------------|-----------------------------------|------------------------|
| Power meter NRP            | SN: 104778       | 03-Apr-19 (No. 217-02892/02893)   | Apr-20                 |
| Power sensor NRP-Z91       | SN: 103244       | 03-Apr-19 (No. 217-02892)         | Apr-20                 |
| Power sensor NRP-Z91       | SN: 103245       | 03-Apr-19 (No. 217-02893)         | Apr-20                 |
| Reference 20 dB Attenuator | SN: S5277 (20x)  | 04-Apr-19 (No. 217-02894)         | Apr-20                 |
| DAE4                       | SN: 660          | 19-Dec-18 (No. DAE4-660_Dec18)    | Dec-19                 |
| Reference Probe ES3DV2     | SN: 3013         | 31-Dec-18 (No. ES3-3013_Dec18)    | Dec-19                 |
| Secondary Standards        | ID               | Check Date (in house)             | Scheduled Check        |
| Power meter E4419B         | SN: GB41293874   | 06-Apr-16 (in house check Jun-18) | In house check: Jun-20 |
| Power sensor E4412A        | SN: MY41498087   | 06-Apr-16 (in house check Jun-18) | In house check: Jun-20 |
| Power sensor E4412A        | SN: 000110210    | 06-Apr-16 (in house check Jun-18) | In house check: Jun-20 |
| RF generator HP 8648C      | SN: US3642U01700 | 04-Aug-99 (in house check Jun-18) | In house check: Jun-20 |
| Network Analyzer E8358A    | SN: US41080477   | 31-Mar-14 (in house check Oct-18) | In house check: Oct-19 |

|                                                                                                                 |                       |                                   |                       |
|-----------------------------------------------------------------------------------------------------------------|-----------------------|-----------------------------------|-----------------------|
| Calibrated by:                                                                                                  | Name<br>Michael Weber | Function<br>Laboratory Technician | Signature<br>         |
| Approved by:                                                                                                    | Katja Pokovic         | Technical Manager                 |                       |
| This calibration certificate shall not be reproduced except in full without written approval of the laboratory. |                       |                                   | Issued: July 23, 2019 |

Certificate No: ES3-3337\_Jul19

Page 1 of 10





## Calibration Laboratory of

Schmid &amp; Partner

Engineering AG

Zeughausstrasse 43, 8004 Zurich, Switzerland



S Schweizerischer Kalibrierdienst  
C Service suisse d'étalonnage  
S Servizio svizzero di taratura  
Swiss Calibration Service

Accredited by the Swiss Accreditation Service (SAS)

Accreditation No.: SCS 0108

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

## Glossary:

|                          |                                                                                                                                                         |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| TSL                      | tissue simulating liquid                                                                                                                                |
| NORM <sub>x,y,z</sub>    | sensitivity in free space                                                                                                                               |
| ConvF                    | sensitivity in TSL / NORM <sub>x,y,z</sub>                                                                                                              |
| DCP                      | diode compression point                                                                                                                                 |
| CF                       | crest factor (1/duty_cycle) of the RF signal                                                                                                            |
| A, B, C, D               | modulation dependent linearization parameters                                                                                                           |
| Polarization $\phi$      | $\phi$ rotation around probe axis                                                                                                                       |
| Polarization $\vartheta$ | $\vartheta$ rotation around an axis that is in the plane normal to probe axis (at measurement center),<br>i.e., $\vartheta = 0$ is normal to probe axis |
| Connector Angle          | information used in DASY system to align probe sensor X to the robot coordinate system                                                                  |

## Calibration is Performed According to the Following Standards:

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Measurement procedure for the assessment of Specific Absorption Rate (SAR) from hand-held and body-mounted devices used next to the ear (frequency range of 300 MHz to 6 GHz)", July 2016
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

## Methods Applied and Interpretation of Parameters:

- NORM<sub>x,y,z</sub>: Assessed for E-field polarization  $\vartheta = 0$  ( $f \leq 900$  MHz in TEM-cell;  $f > 1800$  MHz: R22 waveguide). NORM<sub>x,y,z</sub> are only intermediate values, i.e., the uncertainties of NORM<sub>x,y,z</sub> does not affect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)<sub>x,y,z</sub> = NORM<sub>x,y,z</sub> \* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCP<sub>x,y,z</sub>: DCP are numerical linearization parameters assessed based on the data of power sweep with CW signal (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics
- A<sub>x,y,z</sub>; B<sub>x,y,z</sub>; C<sub>x,y,z</sub>; D<sub>x,y,z</sub>; VR<sub>x,y,z</sub>: A, B, C, D are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for  $f \leq 800$  MHz) and inside waveguide using analytical field distributions based on power measurements for  $f > 800$  MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty values are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORM<sub>x,y,z</sub> \* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from  $\pm 50$  MHz to  $\pm 100$  MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORM<sub>x</sub> (no uncertainty required).



ES3DV3 – SN:3337

July 23, 2019

**DASY/EASY - Parameters of Probe: ES3DV3 - SN:3337****Basic Calibration Parameters**

|                                                           | Sensor X | Sensor Y | Sensor Z | Unc (k=2) |
|-----------------------------------------------------------|----------|----------|----------|-----------|
| Norm ( $\mu\text{V}/(\text{V}/\text{m})^2$ ) <sup>A</sup> | 1.07     | 0.95     | 0.97     | ± 10.1 %  |
| DCP (mV) <sup>B</sup>                                     | 103.0    | 99.0     | 102.0    |           |

**Calibration Results for Modulation Response**

| UID | Communication System Name |   | A<br>dB | B<br>dB $\sqrt{\mu\text{V}}$ | C   | D<br>dB | VR<br>mV | Max<br>dev. | Unc <sup>E</sup><br>(k=2) |
|-----|---------------------------|---|---------|------------------------------|-----|---------|----------|-------------|---------------------------|
| 0   | CW                        | X | 0.0     | 0.0                          | 1.0 | 0.00    | 197.0    | ±3.8 %      | ± 4.7 %                   |
|     |                           | Y | 0.0     | 0.0                          | 1.0 |         | 180.9    |             |                           |
|     |                           | Y | 0.0     | 0.0                          | 1.0 |         | 185.9    |             |                           |

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor k=2, which for a normal distribution corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X,Y,Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Pages 5 and 6).

<sup>B</sup> Numerical linearization parameter; uncertainty not required.

<sup>E</sup> Uncertainty is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.





ES3DV3- SN:3337

July 23, 2019

## DASY/EASY - Parameters of Probe: ES3DV3 - SN:3337

### Other Probe Parameters

|                                               |            |
|-----------------------------------------------|------------|
| Sensor Arrangement                            | Triangular |
| Connector Angle (°)                           | 6.8        |
| Mechanical Surface Detection Mode             | enabled    |
| Optical Surface Detection Mode                | disabled   |
| Probe Overall Length                          | 337 mm     |
| Probe Body Diameter                           | 10 mm      |
| Tip Length                                    | 10 mm      |
| Tip Diameter                                  | 4 mm       |
| Probe Tip to Sensor X Calibration Point       | 2 mm       |
| Probe Tip to Sensor Y Calibration Point       | 2 mm       |
| Probe Tip to Sensor Z Calibration Point       | 2 mm       |
| Recommended Measurement Distance from Surface | 3 mm       |

ES3DV3-SN:3337

July 23, 2019

**DASY/EASY - Parameters of Probe: ES3DV3 - SN:3337****Calibration Parameter Determined in Head Tissue Simulating Media**

| f (MHz) <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unc (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-----------|
| 150                  | 52.3                               | 0.76                            | 7.96    | 7.96    | 7.96    | 0.00               | 1.00                    | ± 13.3 %  |
| 450                  | 43.5                               | 0.87                            | 6.99    | 6.99    | 6.99    | 0.22               | 1.50                    | ± 13.3 %  |

<sup>C</sup> Frequency validity above 300 MHz of  $\pm 100$  MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to  $\pm 50$  MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is  $\pm 10$ , 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to  $\pm 110$  MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to  $\pm 10\%$  if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to  $\pm 5\%$ . The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than  $\pm 1\%$  for frequencies below 3 GHz and below  $\pm 2\%$  for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.





ES3DV3- SN:3337

July 23, 2019

**DASY/EASY - Parameters of Probe: ES3DV3 - SN:3337****Calibration Parameter Determined in Body Tissue Simulating Media**

| f (MHz) <sup>C</sup> | Relative Permittivity <sup>F</sup> | Conductivity (S/m) <sup>F</sup> | ConvF X | ConvF Y | ConvF Z | Alpha <sup>G</sup> | Depth <sup>G</sup> (mm) | Unc (k=2) |
|----------------------|------------------------------------|---------------------------------|---------|---------|---------|--------------------|-------------------------|-----------|
| 150                  | 61.9                               | 0.80                            | 7.42    | 7.42    | 7.42    | 0.00               | 1.00                    | ± 13.3 %  |
| 450                  | 56.7                               | 0.94                            | 7.09    | 7.09    | 7.09    | 0.15               | 1.50                    | ± 13.3 %  |

<sup>C</sup> Frequency validity above 300 MHz of ± 100 MHz only applies for DASY v4.4 and higher (see Page 2), else it is restricted to ± 50 MHz. The uncertainty is the RSS of the ConvF uncertainty at calibration frequency and the uncertainty for the indicated frequency band. Frequency validity below 300 MHz is ± 10, 25, 40, 50 and 70 MHz for ConvF assessments at 30, 64, 128, 150 and 220 MHz respectively. Validity of ConvF assessed at 6 MHz is 4-9 MHz, and ConvF assessed at 13 MHz is 9-19 MHz. Above 5 GHz frequency validity can be extended to ± 110 MHz.

<sup>F</sup> At frequencies below 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) can be relaxed to ± 10% if liquid compensation formula is applied to measured SAR values. At frequencies above 3 GHz, the validity of tissue parameters ( $\epsilon$  and  $\sigma$ ) is restricted to ± 5%. The uncertainty is the RSS of the ConvF uncertainty for indicated target tissue parameters.

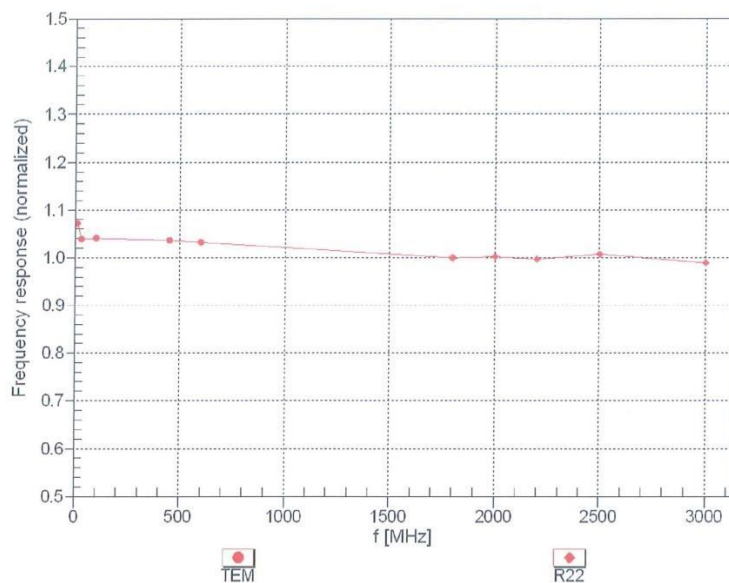
<sup>G</sup> Alpha/Depth are determined during calibration. SPEAG warrants that the remaining deviation due to the boundary effect after compensation is always less than ± 1% for frequencies below 3 GHz and below ± 2% for frequencies between 3-6 GHz at any distance larger than half the probe tip diameter from the boundary.



ES3DV3-SN:3337

July 23, 2019

### Frequency Response of E-Field (TEM-Cell:ifi110 EXX, Waveguide: R22)

Uncertainty of Frequency Response of E-field:  $\pm 6.3\%$  ( $k=2$ )

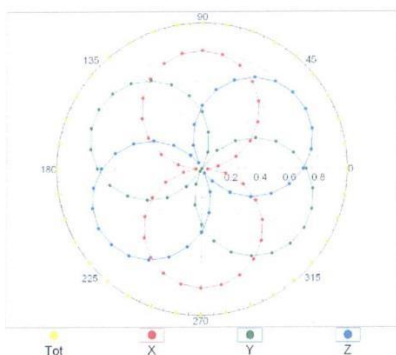


ES3DV3-SN:3337

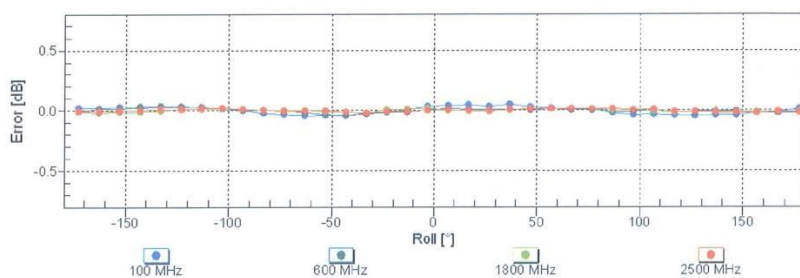
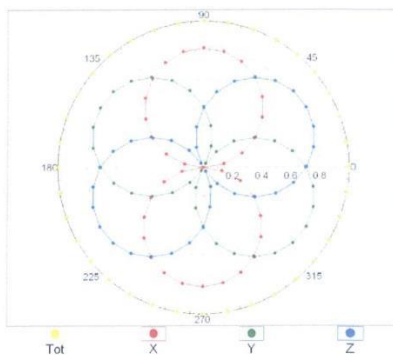
July 23, 2019

Receiving Pattern ( $\phi$ ),  $\theta = 0^\circ$ 

f=600 MHz, TEM

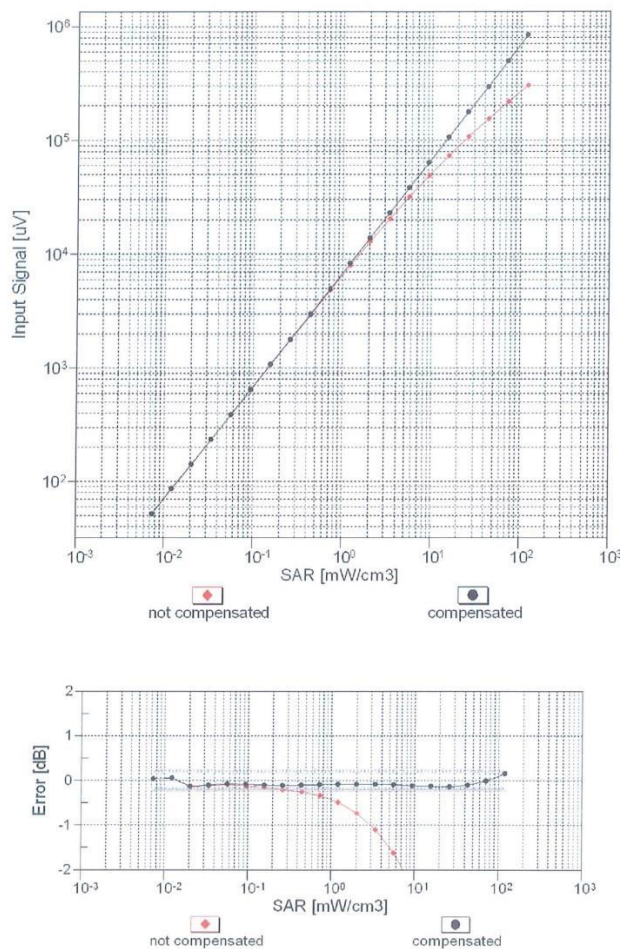


f=1800 MHz, R22

Uncertainty of Axial Isotropy Assessment:  $\pm 0.5\%$  ( $k=2$ )

ES3DV3-SN:3337

July 23, 2019

**Dynamic Range  $f(\text{SAR}_{\text{head}})$**   
(TEM cell,  $f_{\text{eval}} = 1900 \text{ MHz}$ )Uncertainty of Linearity Assessment:  $\pm 0.6\%$  ( $k=2$ )

Certificate No: ES3-3337\_Jul19

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**Shenzhen Anbotech Compliance Laboratory Limited**Address: 1/F, Building D, Sogood Science and Technology Park, Sanwei Community,  
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400-003-0500

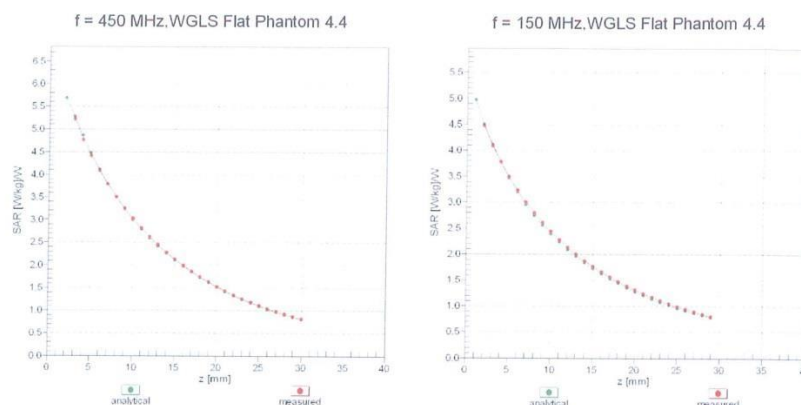
www.anbotech.com



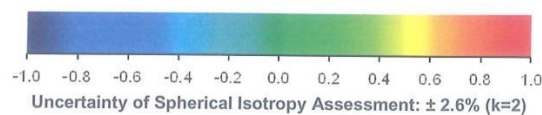
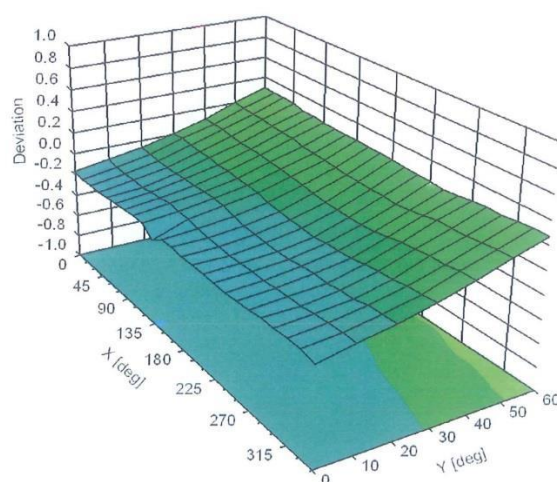
ES3DV3—SN:3337

July 23, 2019

## Conversion Factor Assessment



## Deviation from Isotropy in Liquid

Error ( $\phi, \theta$ ),  $f = 900 \text{ MHz}$ 

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E-mail: cttl@chinattl.com [Http://www.chinattl.cn](http://www.chinattl.cn)

Client : HTW

Certificate No: Z19-60066

**CALIBRATION CERTIFICATE**

Object

DAE4 - SN: 1549

Calibration Procedure(s)

FF-Z11-002-01

Calibration Procedure for the Data Acquisition Electronics  
(DAEx)

Calibration date:

March 19, 2019

This calibration Certificate documents the traceability to national standards, which realize the physical units of measurements(SI). The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature(22±3)°C and humidity<70%.

Calibration Equipment used (M&amp;TE critical for calibration)

| Primary Standards      | ID #    | Cal Date(Calibrated by, Certificate No.) | Scheduled Calibration |
|------------------------|---------|------------------------------------------|-----------------------|
| Process Calibrator 753 | 1971018 | 20-Jun-18 (CTTL, No.J18X05034)           | June-19               |

|                |             |                    |
|----------------|-------------|--------------------|
|                | Name        | Function           |
| Calibrated by: | Yu Zongying | SAR Test Engineer  |
| Reviewed by:   | Lin Hao     | SAR Test Engineer  |
| Approved by:   | Qi Dianyuan | SAR Project Leader |

Signature

Issued: March 20, 2019

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Certificate No: Z19-60066

Page 1 of 3

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E-mail: cttl@chinattl.com Http://www.chinattl.cn

**Glossary:**

DAE

data acquisition electronics

Connector angle

information used in DASY system to align probe sensor X to the robot coordinate system.

**Methods Applied and Interpretation of Parameters:**

- *DC Voltage Measurement:* Calibration Factor assessed for use in DASY system by comparison with a calibrated instrument traceable to national standards. The figure given corresponds to the full scale range of the voltmeter in the respective range.
- *Connector angle:* The angle of the connector is assessed measuring the angle mechanically by a tool inserted. Uncertainty is not required.
- The report provide only calibration results for DAE, it does not contain other performance test results.





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**DC Voltage Measurement**

A/D - Converter Resolution nominal

High Range: 1LSB = 6.1 $\mu$ V, full range = -100...+300 mV

Low Range: 1LSB = 61nV, full range = -1.....+3mV

DASY measurement parameters: Auto Zero Time: 3 sec; Measuring time: 3 sec

| Calibration Factors | X                         | Y                         | Z                         |
|---------------------|---------------------------|---------------------------|---------------------------|
| High Range          | 406.354 $\pm$ 0.15% (k=2) | 406.056 $\pm$ 0.15% (k=2) | 406.182 $\pm$ 0.15% (k=2) |
| Low Range           | 3.98644 $\pm$ 0.7% (k=2)  | 3.99365 $\pm$ 0.7% (k=2)  | 3.99469 $\pm$ 0.7% (k=2)  |

**Connector Angle**

|                                           |              |
|-------------------------------------------|--------------|
| Connector Angle to be used in DASY system | 18° $\pm$ 1° |
|-------------------------------------------|--------------|





## DIPOLE CALIBRATION CERTIFICATES

Calibration Laboratory of  
Schmid & Partner  
Engineering AG  
Zeughausstrasse 43, 8004 Zurich, Switzerland



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Accredited by the Swiss Accreditation Service (SAS)

The Swiss Accreditation Service is one of the signatories to the EA  
Multilateral Agreement for the recognition of calibration certificates

Accreditation No.: SCS 0108

Client **Anbotek(Auden)**

Certificate No: CLA150-4020\_Nov16

## CALIBRATION CERTIFICATE

Object CLA150 - SN: 4020

Calibration procedure(s) QA CAL-15.v8  
Calibration procedure for system validation sources below 700 MHz

Calibration date: November 08, 2016

This calibration certificate documents the traceability to national standards, which realize the physical units of measurements (SI).  
The measurements and the uncertainties with confidence probability are given on the following pages and are part of the certificate.

All calibrations have been conducted in the closed laboratory facility: environment temperature  $(22 \pm 3)^{\circ}\text{C}$  and humidity  $< 70\%$ .

Calibration Equipment used (M&TE critical for calibration)

| Primary Standards           | ID #               | Cal Date (Certificate No.)        | Scheduled Calibration  |
|-----------------------------|--------------------|-----------------------------------|------------------------|
| Power meter NRP             | SN: 104778         | 06-Apr-16 (No. 217-02288/02289)   | Apr-17                 |
| Power sensor NRP-Z91        | SN: 103244         | 06-Apr-16 (No. 217-02288)         | Apr-17                 |
| Power sensor NRP-Z91        | SN: 103245         | 06-Apr-16 (No. 217-02289)         | Apr-17                 |
| Reference 30 dB Attenuator  | SN: 5129 (30b)     | 05-Apr-16 (No. 217-02294)         | Apr-17                 |
| Type-N mismatch combination | SN: 5047.2 / 06327 | 05-Apr-16 (No. 217-02295)         | Apr-17                 |
| Reference Probe EX3DV4      | SN: 3877           | 31-Dec-15 (No. EX3-3877_Dec15)    | Dec-16                 |
| DAE4                        | SN: 654            | 12-Aug-16 (No. DAE4-654_Aug16)    | Aug-17                 |
| Secondary Standards         | ID #               | Check Date (in house)             | Scheduled Check        |
| Power meter E4419B          | SN: GB41293874     | 06-Apr-16 (No. 217-02285/02284)   | In house check: Jun-18 |
| Power sensor E4412A         | SN: MY41498087     | 06-Apr-16 (No. 217-02285)         | In house check: Jun-18 |
| Power sensor E4412A         | SN: 000110210      | 06-Apr-16 (No. 217-02284)         | In house check: Jun-18 |
| RF generator HP 8648C       | SN: US3642U01700   | 04-Aug-99 (in house check Jun-16) | In house check: Jun-18 |
| Network Analyzer HP 8753E   | SN: US37390585     | 18-Oct-01 (in house check Oct-16) | In house check: Oct-17 |

Calibrated by: Name Claudio Leubler Function Laboratory Technician

Approved by: Katja Pokovic Technical Manager

Issued: November 10, 2016

Certificate No: CLA150-4020\_Nov16

Page 1 of 8

**Calibration Laboratory of**Schmid & Partner  
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**C** Service suisse d'étalonnage  
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Accreditation No.: SCS 0108

**Glossary:**

|       |                                 |
|-------|---------------------------------|
| TSL   | tissue simulating liquid        |
| ConvF | sensitivity in TSL / NORM x,y,z |
| N/A   | not applicable or not measured  |

**Calibration is Performed According to the Following Standards:**

- IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300 MHz to 3 GHz)", February 2005
- IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

**Additional Documentation:**

- DASY4/5 System Handbook

**Methods Applied and Interpretation of Parameters:**

- Measurement Conditions:** Further details are available from the Validation Report at the end of the certificate. All figures stated in the certificate are valid at the frequency indicated.
- Antenna Parameters with TSL:** The source is mounted in a touch configuration below the center marking of the flat phantom.
- Return Loss:** This parameter is measured with the source positioned under the liquid filled phantom (as described in the measurement condition clause). The Return Loss ensures low reflected power. No uncertainty required.
- SAR measured:** SAR measured at the stated antenna input power.
- SAR normalized:** SAR as measured, normalized to an input power of 1 W at the antenna connector.
- SAR for nominal TSL parameters:** The measured TSL parameters are used to calculate the nominal SAR result.

The reported uncertainty of measurement is stated as the standard uncertainty of measurement multiplied by the coverage factor  $k=2$ , which for a normal distribution corresponds to a coverage probability of approximately 95%.





**Measurement Conditions**

DASY system configuration, as far as no: given on page 1.

|                      |                                  |                                  |
|----------------------|----------------------------------|----------------------------------|
| DASY Version         | DASY5                            | V52.8.8                          |
| Extrapolation        | Advanced Extrapolation           |                                  |
| Phantom              | EL14 Flat Phantom                | Shell thickness: $2 \pm 0.2$ mm  |
| EUT Positioning      | Touch Position                   |                                  |
| Zoom Scan Resolution | $dx, dy = 4.0$ mm, $dz = 1.4$ mm | Graded Ratio = 1.4 (Z direction) |
| Frequency            | $150$ MHz $\pm 1$ MHz            |                                  |

**Head TSL parameters**

The following parameters and calculations were applied.

|                                         |                     |                |                        |
|-----------------------------------------|---------------------|----------------|------------------------|
|                                         | Temperature         | Permittivity   | Conductivity           |
| Nominal Head TSL parameters             | $22.0$ °C           | 52.3           | $0.76$ mho/m           |
| Measured Head TSL parameters            | $(22.0 \pm 0.2)$ °C | $50.1 \pm 6\%$ | $0.75$ mho/m $\pm 6\%$ |
| Head TSL temperature change during test | $< 0.5$ °C          | ----           | ----                   |

**SAR result with Head TSL**

|                                                       |                  |                                |
|-------------------------------------------------------|------------------|--------------------------------|
| SAR averaged over $1\text{ cm}^3$ (1 g) of Head TSL   | Condition        |                                |
| SAR measured                                          | 1 W input power  | $3.64$ W/kg                    |
| SAR for nominal Head TSL parameters                   | normalized to 1W | $3.64$ W/kg $\pm 18.4\%$ (k=2) |
| SAR averaged over $10\text{ cm}^3$ (10 g) of Head TSL | condition        |                                |
| SAR measured                                          | 1 W input power  | $2.46$ W/kg                    |
| SAR for nominal Head TSL parameters                   | normalized to 1W | $2.47$ W/kg $\pm 18.0\%$ (k=2) |

**Body TSL parameters**

The following parameters and calculations were applied.

|                                         |                     |                |                        |
|-----------------------------------------|---------------------|----------------|------------------------|
|                                         | Temperature         | Permittivity   | Conductivity           |
| Nominal Body TSL parameters             | $22.0$ °C           | 61.9           | $0.80$ mho/m           |
| Measured Body TSL parameters            | $(22.0 \pm 0.2)$ °C | $61.4 \pm 6\%$ | $0.82$ mho/m $\pm 6\%$ |
| Body TSL temperature change during test | $< 0.5$ °C          | ----           | ----                   |

**SAR result with Body TSL**

|                                                       |                  |                                |
|-------------------------------------------------------|------------------|--------------------------------|
| SAR averaged over $1\text{ cm}^3$ (1 g) of Body TSL   | Condition        |                                |
| SAR measured                                          | 1 W input power  | $3.81$ W/kg                    |
| SAR for nominal Body TSL parameters                   | normalized to 1W | $3.73$ W/kg $\pm 18.4\%$ (k=2) |
| SAR averaged over $10\text{ cm}^3$ (10 g) of Body TSL | condition        |                                |
| SAR measured                                          | 1 W input power  | $2.57$ W/kg                    |
| SAR for nominal Body TSL parameters                   | normalized to 1W | $2.52$ W/kg $\pm 18.0\%$ (k=2) |