	BUREAU VERITAS
	CBSD Test Report
Report No.:	RF200304C30
FCC ID:	2AU8HSRW310
Test Model:	SRW310
Received Date:	Mar. 04, 2020
Test Date:	Mar 17 ~ May. 08, 2020
Issued Date:	May. 12, 2020
Applicant: Address:	Shanghai Smawave Technology Co. ,Ltd 3/F, Building 8, 1001 North Qinzhou Road · Xuhui District, Shanghai, China
Issued By:	Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch Lin Kou Laboratories
Lab Address:	No. 47-2, 14th Ling, Chia Pau Vil., Lin Kou Dist., New Taipei City, Taiwan
Test Location:	No. 19, Hwa Ya 2nd Rd., Wen Hwa Vil., Kwei Shan Dist., Taoyuan City 33383, Taiwan
FCC Registration/ Designation Number:	788550 / TW0003



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### **Release Control Record**

Issue No.	Description	Date Issued
RF200304C30	Original release	May. 12, 2020



#### 1 Certificate of Conformity

Product:	Outdoor CPE
Brand:	Smawave
Test Model:	SRW310
Sample Status:	Engineering sample
Applicant:	Shanghai Smawave Technology Co. ,Ltd
Test Date:	Mar 17 ~ May. 08, 2020
Standards:	WINNF-TS-0122 V1.0.1
	CBRSA-TS-9001 V1.1.0

The above equipment has been tested by **Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch**, and found compliance with the requirement of the above standards. The test record, data evaluation & Equipment Under Test (EUT) configurations represented herein are true and accurate accounts of the measurements of the sample's RF characteristics under the conditions specified in this report.

Prepared by :	Celine Chou	
	Celine Chou / Senior Specialist	

**Date:** May. 12, 2020

May. 12, 2020

Date:

Approved by :

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Bruce Chen / Senior Project Engineer



#### 2 Summary of Test Results

WINNF-TS-0122					
Classes Test Case Items Pass Items Pass Rate (%)					
FT(CBSD, DP/CBSD)	26	26	100		
PT(CBSD, DP/CBSD)	1	1	100		
Total	27	27	100		

Note:

 Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WInnForum and supporting FCC/DoD requirements.
 Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic

 Field/Performance Test (PT): Test to check the capability of the CE models and actual operations in the field.

Duration and Duty Cycle			
Period	Limit	Test Result	
10-second	1-second	Pass	
300-second	10-second	Pass	
3600-second	20-second	Pass	

Note: Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

#### Supported Features in details:

WINNF-TS-0122 Test Case			
Definitions	Test Case ID	Supported	
C1	WINNF.FT.C.REG.1	Yes	
C2	NA	No	
C3	NA	No	
C4	NA	No	
C5	WINNF.FT.C.MES.3	Yes	
WINNF.FT.C.MES.4			
C6	NA	No	



	WINNF-TS-0122 Test Case				
Section	Test Case ID	Test Case Title	Test Result		
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	Pass		
6.1.4.1.2	WINNF.FT.D.REG.2	Domain Proxy Multi-Step registration	NA		
6.1.4.1.3	WINNF.FT.C.REG.3	Single-Step registration for Category A CBSD	NA		
6.1.4.1.4	WINNF.FT.D.REG.4	Domain Proxy Single-Step registration for Cat A CBSD	NA		
6.1.4.1.5	WINNF.FT.C.REG.5	Single-Step registration for CBSD with CPI signed data	NA		
6.1.4.1.6	WINNF.FT.D.REG.6	Domain Proxy Single-Step registration for CBSD with CPI signed data	NA		
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	NA		
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	Pass		
6.1.4.2.2	WINNF.FT.D.REG.9	Domain Proxy Missing Required parameters (responseCode 102)	NA		
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	Pass		
6.1.4.2.4	WINNF.FT.D.REG.11	Domain Proxy Pending registration (responseCode 200)	NA		
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	Pass		
6.1.4.2.6	WINNF.FT.D.REG.13	Domain Proxy Invalid parameters (responseCode 103)	NA		
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	Pass		
6.1.4.2.8	WINNF.FT.D.REG.15	Domain Proxy Blacklisted CBSD (responseCode 101)	NA		
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	Pass		
6.1.4.2.10	WINNF.FT.D.REG.17	Domain Proxy Unsupported SAS protocol version responseCode 100)	NA		
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	Pass		
6.1.4.2.12	WINNF.FT.D.REG.19	Domain Proxy Group Error (responseCode 201)	NA		
6.1.4.3.1	WINNF.FT.C.REG.20	Category A CBSD location update	NA		



	WINNF-TS-0122 Test Case				
Section	Test Case ID	Test Case Title	Test Result		
6.3.4.2.1	WINNF.FT.D.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	Pass		
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	Pass		
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	Pass		
6.4.4.1.2	WINNF.FT.D.HBT.2	Domain Proxy Heartbeat Success Case (first Heartbeat Response)	NA		
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	Pass		
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	Pass		
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	Pass		
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	Pass		
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	Pass		
6.4.4.2.6	WINNF.FT.D.HBT.8	Domain Proxy Heartbeat responseCode=500 (TEMINATED_GRANT)	NA		
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	Pass		
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	Pass		
6.4.4.4.1	WINNF.FT.C.HBT.11	Successful Grant Renewal in Heartbeat Test Case	NA		
6.5.4.2.1	WINNF.FT.C.MES.1	Registration Response contains measReportConfig	NA		
6.5.4.2.2	WINNF.FT.D.MES.2	Domain Proxy Registration Response contains measReportConfig	NA		
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	Pass		
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	Pass		
6.5.4.2.5	WINNF.FT.D.MES.5	Domain Proxy Heartbeat Response contains measReportConfig	NA		



WINNF-TS-0122 Test Case				
Section	Test Case ID	Test Case Title	Test Result	
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	Pass	
6.6.4.1.2	WINNF.FT.D.RLQ.2	Domain Proxy Successful Relinquishment	NA	
6.6.4.2.1	WINNF.FT.C.RLQ.3	Unsuccessful Relinquishment, responseCode=102	NA	
6.6.4.2.2	WINNF.FT.D.RLQ.4	Domain Proxy Unsuccessful Relinquishment, responseCode=102	NA	
6.6.4.3.1	WINNF.FT.C.RLQ.5	Unsuccessful Relinquishment, responseCode=103	NA	
6.6.4.3.2	WINNF.FT.D.RLQ.6	Domain Proxy Unsuccessful Relinquishment, responseCode=103	NA	
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	Pass	
6.7.4.1.2	WINNF.FT.D.DRG.2	Domain Proxy Successful Deregistration	NA	
6.7.4.2.1	WINNF.FT.C.DRG.3	Deregistration responseCode=102	NA	
6.7.4.2.2	WINNF.FT.D.DRG.4	Domain Proxy Deregistration responseCode=102	NA	
6.7.4.3.1	WINNF.FT.C.DRG.5	Deregistration responseCode=103	NA	
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	Pass	
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	Pass	
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	Pass	
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	Pass	
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	Pass	
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	Pass	

Note: Section as per WINNF-TS-0122 If the product as tested complies with the specification, the UUT is deemed to comply with the standard and is deemed a "Pass" grade. If not "Fail" grade is issued. Where "NA" is stated this means the test case is not applicable.

#### 2.1 Measurement Uncertainty

Where relevant, the following measurement uncertainty levels have been estimated for tests performed on the UUT as specified in CISPR 16-4-2:

Measurement	Frequency	Expanded Uncertainty (k=2) (±)
Conducted Emissions above 1 GHz	1GHz ~ 18GHz	1 dB

#### 2.2 Modification Record

Following the FCC KDB 940660 D02 CPE-CBSD Handshake Procedures v01, when running the test cases in WINNF-TS-0122 for CPE-CBSD device type, for the last execution step appearing in WINNF-TS-0122:

- 1. The Pass/Fail criteria "UUT shall not transmit RF" is replaced by "CPE-CBSD UUT shall not transmit user traffic".
- 2. The Pass/Fail criteria "UUT shall stop transmission" is replaced by CPE-CBSD UUT shall stop transmitting user traffic"



# 3 General Information

# 3.1 General Description of EUT

Product	Outdoor CPE
Brand	Smawave
Test Model	SRW310
Hardware Version	V1.0
Firmware Version	MG6 0.3.2.20
Status of EUT	Engineering sample
	24Vdc from adapter
Power Supply Rating	36-57Vdc from POE
Antenna Type	PCB antenna with 16dBi gain
Antenna Connector	NA
Accessory Device	Adapter, POE
Data Cable Supplied	NA
Note: The EUT consumes po	ower from the following adapter and POE.
Adapter	
Brand	Aquilstar
Model	ASSA107A-240050
Input Power	100-240Vac, 50/60Hz, 0.45A
Output Power	24.0Vdc, 500mA

POE	
Brand	GREAT
Model	GRT-HCQ-A
Input Power	36-57Vdc,0-2.5A
Output Power	36-57Vdc ,0-2.5A

# Test Condition:

Test Item	Environmental Conditions	Input Power	Tested By
WINNF-TS-0122	25deg. C, 65%RH	120Vac, 60Hz	Leona Hu



#### 3.2 General Description of Applied Standards and References

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

### Test standard: FCC 47 CFR Part 96 WINNF-19-IN-00033 V1.0

All test items have been performed and recorded as per the above standards.

#### **References Test Guidance:**

#### KDB 940660 D01 Part 96 CBRS Eqpt v02 KDB 940660 D02 CPE-CBSD Handshake Procedures v02

All test items have been performed as a reference to the above KDB test guidance.



#### 4 Measurement

#### 4.1 CBSD Measurement

The CBSD shall validate and ensure that the Conformance and Performance Test results from compliance with SAS functional requirements.

#### 4.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

#### 4.3 Duration and Duty Cycle Measurement

The CPE-CBSD shall validate and ensure that limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

#### 4.4 Duration and Duty Cycle Test Procedure

- a. CPE-CBSD as UUT does not receive any RF signal from its "Compatible BTS-CBSD" (FCC ID: P27P208), so CPE-CBSD as UUT does not transmit. UUT shall be UTC time synchronized.
- b. Use the WinnForum SAS Harness #1 for CPE-CBSD as UUT and run test case WINNF.FT.C.GRA.1 for CPE-CBSD as UUT.
- c. CPE-CBSD as UUT starts to receive the RF signal from its "Compatible BTS-CBSD", so CPE-CBSD can start communicating with the WinnForum SAS Harness #1. Make note of the time when RF Test equipment logs the first transmission from CPE-CBSD which is above 23dBm/10MHz this is the start time of the {X time out of Y time}.
- d. When the test case WINNF.FT.C.GRA.1 finishes and the questions appear on the WinnForum SAS Harness #1 console, do NOT answer the questions. Wait until Y time has passed from step #3. During this Y time, the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EiRP above 23dBm/10MHz. The amount of time logged for transmitting EiRP above 23dBm/10MHz is the X time.
- e. Answer the questions on the WinnForum SAS Harness #1 console so the WinnForum SAS Harness #1 is ready for the next test.

Test Harness Version	V1.0.0.3
Operating System	Microsoft Windows 10
TLS Version	1.2
Python	2.7.13

#### 4.5 Test Environment



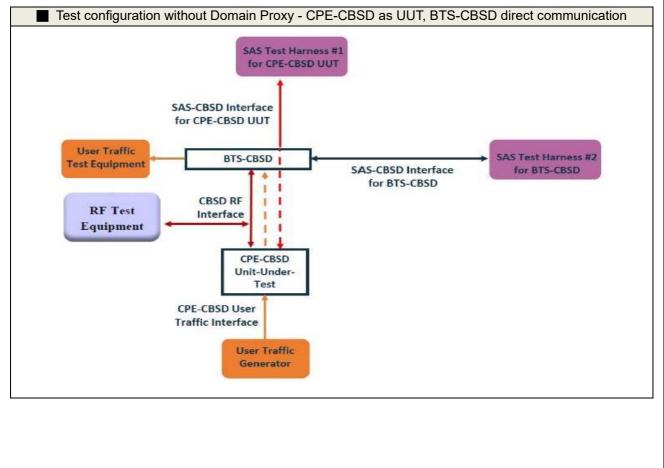
#### 4.6 Test Equipment

Description & Manufacturer	Model no.	Serial No.	Calibrated Date	Calibrated Until
ROHDE & SCHWARZ Signal Analyzer	FSV	E2-010642	May 28, 2019	May 27, 2020
Temperature & Humidity Chamber TERCHY	MHU-225AU	920842	May 31, 2019	May 30, 2020
Horn_Antenna SCHWARZBECK	BBHA 9120D	9120D-1170	Nov. 25, 2019	Nov. 24, 2020
Laptop Lenovo	L470	PF-11H9B8	NA	NA

Note: 1. The test was performed in InfoSec Test Room.

2. The calibration interval of the above test instruments is 12 months and the calibrations are traceable to NML/ROC and NIST/USA.

#### 4.7 Test Setup





### 4.8 Test Results

## 4.8.1 CBSD Registration Process

#### 4.8.1.1 WINNF.FT.C.REG.1

Test Case ID : WINNF.FT.C.REG.1

#	Test Execution Steps	Res	ults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	<ul> <li>CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness:</li> <li>The required userld, fccld and cbsdSerialNumber registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> <li>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</li> </ul>	■ Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a CBSD Registration Response as follows:</li> <li>cbsdld = C</li> <li>measReportConfig shall not be included</li> <li>responseCode = 0</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	∎ Pass	□ Fail



## 4.8.1.2 WINNF.FT.C.REG.8

Te	est Case ID : WINNF.FT.C.REG.8	Por	sults
#		1/68	buito
	Ensure the following conditions are met for test entry:		
1	<ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
	UUT is in the Unregistered state		
2	CBSD sends a Registration request to SAS Test Harness.		
	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:		
3	- SAS response does not include <i>cbsdld</i>		
	- responseCode = R		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:	<b>■</b> Pass	□ Fail
	UUT shall not transmit RF	1 0 3 3	i all



## 4.8.1.3 WINNF.FT.C.REG.10

#	est Case ID : WINNF.FT.C.REG.10	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	CBSD sends a Registration request to SAS Test Harness.		
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:</li> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =200) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail



## 4.8.1.4 WINNF.FT.C.REG.12

	est Case ID : WINNF.FT.C.REG.12		
#	Test Execution Steps	Res	sults
	Ensure the following conditions are met for test entry:		
1	<ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> </ul>		
	UUT is in the Unregistered state		
2	CBSD sends a Registration request to SAS Test Harness.		
	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:		
3	- SAS response does not include <i>cbsdld</i>		
	- responseCode = R		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =103) to further request messages from the UUT.		
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:	<b>■</b> Pass	□ Fail
	UUT shall not transmit RF	r ass	ı alı



## 4.8.1.5 WINNF.FT.C.REG.14

#	est Case ID : WINNF.FT.C.REG.14	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	CBSD sends a Registration request to SAS Test Harness.		
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:</li> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =101) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	<b>∎</b> Pass	□ Fail



## 4.8.1.6 WINNF.FT.C.REG.16

#	est Case ID : WINNF.FT.C.REG.16	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	CBSD sends a Registration request to SAS Test Harness.		
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:</li> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =100) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail



## 4.8.1.7 WINNF.FT.C.REG.18

#	est Case ID : WINNF.FT.C.REG.18	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>		
2	CBSD sends a Registration request to SAS Test Harness.		
3	<ul> <li>SAS Test Harness rejects the request by sending a CBSD Registration Response as follows:</li> <li>SAS response does not include <i>cbsdld</i></li> <li><i>responseCode</i> = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =201) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	<b>∎</b> Pass	□ Fail



## 4.8.2 CBSD Spectrum Grant Process

#### 4.8.2.1 WINNF.FT.C.GRA.1

Test Case ID : WINNF.FT.C.GRA.1

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness, with <i>cbsdld</i> = C</li> </ul>		
2	UUT sends valid Grant Request.		
3	<ul> <li>SAS Test Harness sends a Grant Response message, including</li> <li><i>cbsdld</i>=C</li> <li>responseCode = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail



## 4.8.2.2 WINNF.FT.C.GRA.2

#	est Case ID : WINNF.FT.C.GRA.2	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness, with <i>cbsdld</i> = C</li> </ul>		
2	UUT sends valid Grant Request.		
3	<ul> <li>SAS Test Harness sends a Grant Response message, including</li> <li><i>cbsdld</i>=C</li> <li>responseCode = R</li> </ul>		
4	After completion of step 3, SAS Test Harness will not provide any positive response ( <i>responseCode</i> =401) to further request messages from the UUT.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail



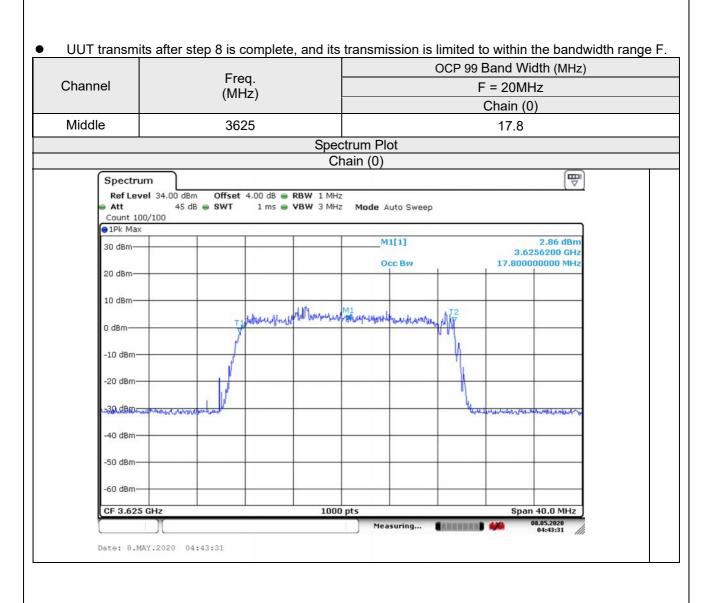
## 4.8.3 CBSD Heart Beat Process

#### 4.8.3.1 WINNF.FT.C.HBT.1

Test Case ID : WINNF.FT.C.HBT.1

	est Case ID : WINNF.FT.C.HBT.1	D.	ulte
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness, with <i>cbsdld</i> = C</li> </ul>		
2	<ul> <li>UUT sends a message:</li> <li>If message is type Spectrum Inquiry Request, go to step 3, or</li> <li>If message is type Grant Request, go to step 5</li> </ul>		
3	<ul> <li>UUT sends Spectrum Inquiry Request. Validate:</li> <li><i>cbsdld</i> = C</li> <li>List of frequencyRange objects sent by UUT are within the CBRS frequency range</li> </ul>	<b>∎</b> Pass	□ Fail
4	<ul> <li>SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters:</li> <li><i>cbsdld</i> = C</li> <li>availableChannel is an array of availableChannel objects</li> <li><i>responseCode</i> = 0</li> </ul>		
5	<ul> <li>UUT sends Grant Request message. Validate:</li> <li><i>cbsdld</i> = C</li> <li>maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96</li> <li>operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band</li> </ul>	<b>■</b> Pass	□ Fail
6	<ul> <li>SAS Test Harness sends a Grant Response message, including the parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G = a valid grant ID</li> <li>grantExpireTime = UTC time greater than duration of the test</li> <li><i>responseCode</i> = 0</li> </ul>		
7	<ul> <li>UUT sends a first Heartbeat Request message.</li> <li>Verify Heartbeat Request message is formatted correctly, including:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul>	∎ Pass	□ Fail
8	<ul> <li>SAS Test Harness sends a Heartbeat Response message, with the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>		
9	<ul> <li>For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "AUTHORIZED"</li> <li>and SAS Test Harness responds with a Heartbeat Response message including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>grantld = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>	■ Pass	□ Fail
10	<ul> <li>Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify:</li> <li>UUT does not transmit at any time prior to completion of the first heartbeat response</li> <li>UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.</li> </ul>	<b>■</b> Pass	□ Fail







## 4.8.3.2 WINNF.FT.C.HBT.3

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>		
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>operationState</i> = "AUTHORIZED"</li> </ul>		
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = Current UTC time</li> <li>responseCode = 105 (DEREGISTER)</li> </ul>		
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.		
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	∎ Pass	 Fail



## 4.8.3.3 WINNF.FT.C.HBT.4

Te	Test Case ID : WINNF.FT.C.HBT.4					
#	Test Execution Steps	Res	sults			
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	_	-			
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • cbsdld = C • grantId = G • operationState = "AUTHORIZED"	<b>∎</b> Pass	□ Fail			
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = current UTC time</li> <li>responseCode = 500 (TERMINATED_GRANT)</li> </ul>					
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.					
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	∎ Pass	□ Fail			



## 4.8.3.4 WINNF.FT.C.HBT.5

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	Test Case ID : WINNF.FT.C.HBT.5					
#	Test Execution Steps	Res	sults			
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>					
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Verify Heartbeat Request message is formatted correctly, including:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul>	∎ Pass	□ Fail			
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = current UTC time</li> <li>responseCode = 501 (SUSPENDED_GRANT)</li> </ul>					
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.					
5	<ul> <li>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</li> <li>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: <ul> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify: <ul> <li>UUT does not transmit at any time</li> </ul> </li> </ul>	Pass	□ Fail			



## 4.8.3.5 WINNF.FT.C.HBT.6

Test Case ID : WINNF.FT.C.HBT.6 NA # Test Execution Steps Results					
#	Test Execution Steps	Res	Suits		
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid cbsdld = C</li> <li>valid grantld = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>				
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</li> <li>cbsdld = C</li> <li>grantId = G</li> <li>operationState = "AUTHORIZED"</li> </ul>	<b>■</b> Pass	□ Fail		
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = current UTC time</li> <li>responseCode = 501 (SUSPENDED_GRANT)</li> </ul>				
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.				
5	<ul> <li>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</li> <li>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: <ul> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> <li>operationState = "GRANTED"</li> </ul> </li> <li>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: <ul> <li>cbdsld = C</li> <li>grantld = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify: <ul> <li>UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul> </li> </ul>	Pass	□ Fail		



## 4.8.3.6 WINNF.FT.C.HBT.7

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Te	Test Case ID : WINNF.FT.C.HBT.7					
#	Test Execution Steps	Res	sults			
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>					
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • cbsdld = C • grantId = G • operationState = "AUTHORIZED"	<b>■</b> Pass	□ Fail			
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = current UTC time</li> <li>responseCode = 502 (UNSYNC_OP_PARAM)</li> </ul>					
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.					
5	<ul> <li>Monitor the SAS-CBSD interface. Verify:</li> <li>UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters:         <ul> <li>cbsdld = C</li> <li>grantld = G</li> </ul> </li> <li>Monitor the RF output of the UUT. Verify:         <ul> <li>UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul> </li> </ul>	∎ Pass	□ Fail			



## 4.8.3.7 WINNF.FT.C.HBT.9

Te	est Case ID : WINNF.FT.C.HBT.9		
#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)</li> </ul>		
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: • cbsdld = C • grantId = G • operationState = "GRANTED"	<b>∎</b> Pass	□ Fail
3	After completion of step 2, SAS Test Harness does not respond to any further messages from UUT to simulate loss of network connection		
4	<ul> <li>Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify:</li> <li>At any time during the test, UUT shall not transmit on RF interface</li> </ul>	∎ Pass	 Fail



## 4.8.3.8 WINNF.FT.C.HBT.10

	est Case ID : WINNF.FT.C.HBT.10			
#	Test Execution Steps	Res	Results	
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows: <ul> <li>valid <i>cbsdld</i> = C</li> <li>valid <i>grantld</i> = G</li> <li>grant is for frequency range F, power P</li> <li>grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>			
2	<ul> <li>UUT sends a Heartbeat Request message.</li> <li>Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</li> <li>cbsdld = C</li> <li>grantId = G</li> <li>operationState = "AUTHORIZED"</li> </ul>	Pass	□ Fail	
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>transmitExpireTime = T = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>			
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.			
5	<ul> <li>Monitor the RF output of the UUT. Verify:</li> <li>UUT shall stop all transmission on RF interface within (<i>transmitExpireTime</i> + 60 seconds), using the transmitExpireTime sent in Step 3.</li> </ul>	<b>∎</b> Pass	□ Fail	



# 4.8.4 CBSD Measurement Report

#### 4.8.4.1 WINNF.FT.C.MES.3

Test Case ID : WINNF.FT.C.MES.3

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT"</li> </ul>		
2	<ul> <li>UUT sends a Grant Request message.</li> <li>Verify Grant Request message contains all required parameters properly formatted, and specifically:</li> <li>cbsdld = C</li> <li>operationParam is present and format is valid</li> </ul>	<b>∎</b> Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a Grant Response message, with the following parameters:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G = valid grant ID</li> <li><i>grantExpireTime</i> = UTC time in the future</li> <li><i>heartbeatInterval</i> = 60 seconds</li> <li><i>measReportConfig</i>= "RECEIVED_POWER_WITH_GRANT"</li> <li><i>operationParam</i> is set to valid operating parameters</li> <li><i>channelType</i> = "GAA"</li> <li><i>responseCode</i> = 0</li> </ul>		
4	<ul> <li>UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>operationState</i> = "GRANTED"</li> </ul>	<b>∎</b> Pass	□ Fail
5	<ul> <li>If Heartbeat Request message (step 4) contains measReport object, then:</li> <li>verify measReport is properly formatted as object rcvdPowerMeasReport</li> <li>end test, with PASS result</li> <li>else, if Heartbeat Request message (step 4) does not contain measReport object, then:</li> <li>If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL</li> </ul>	∎ Pass	□ Fail
6	<ul> <li>SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:</li> <li>cbsdld = C</li> <li>grantId = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> <li>Go to Step 4, above</li> </ul>		



## 4.8.4.2 WINNF.FT.C.MES.4

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C and <i>measCapability</i> = "RECEIVED_POWER_WITH_GRANT"</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> <li>Grant has <i>heartbeatInterval</i> = 60 seconds</li> </ul>		
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message contains all required parameters properly formatted, and specifically: • cbsdld = C • grantld = G • operationState = "AUTHORIZED"	∎ Pass	□ Fail
3	<ul> <li>SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:</li> <li>cbsdld = C</li> <li>grantId = G</li> <li>measReportConfig= "RECEIVED_POWER_WITH_GRANT"</li> <li>responseCode = 0</li> </ul>		
4	<ul> <li>UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>operationState = "AUTHORIZED"</li> </ul>	∎ Pass	□ Fail
5	<ul> <li>If Heartbeat Request message (step 4) contains measReport object, then:</li> <li>verify measReport is properly formatted as object rcvdPowerMeasReport</li> <li>end test, with PASS result</li> <li>else, if Heartbeat Request message (step 4) does not contain measReport object, then:</li> <li>If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL</li> </ul>	■ Pass	□ Fail
ô	<ul> <li>SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:</li> <li>cbsdld = C</li> <li>grantld = G</li> <li>responseCode = 0</li> <li>Go to Step 4, above</li> </ul>		



# 4.8.5 CBSD Relinquishment Process

#### 4.8.5.1 WINNF.FT.C.RLQ.1

### Test Case ID : WINNF.FT.C.RLQ.1

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul>		
2	Invoke trigger to relinquish UUT Grant from the SAS Test Harness UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: • cbsdld = C • grantld = G	<b>∎</b> Pass	□ Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: - cbsdld = C - grantId = G - responseCode = 0		
4	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</li> </ul>	<b>■</b> Pass	□ Fail



# 4.8.6 CBSD Deregistration Process

#### 4.8.6.1 WINNF.FT.C.DRG.1

Test Case ID : WINNF.FT.C.DRG.1

#	Test Execution Steps	Res	sults
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with <i>cbsdld</i>=C</li> <li>UUT has received a valid grant with <i>grantld</i> = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul>		
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0		
3	UUT sends Deregistration Request to SAS Test Harness with <i>cbsdld</i> = C.	∎ Pass	Fail
4	<ul> <li>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</li> <li>cbsdld = C</li> <li>responseCode = 0</li> </ul>		
5	After completion of step 3, SAS Test Harness will not provide any additional positive response ( <i>responseCode</i> =0) to further request messages from the UUT.		
6	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</li> <li>UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:         <ul> <li>UUT sending a Registration Request message, as this is not mandatory B.</li> <li>UUT sending a Deregistration Request message</li> </ul> </li> </ul>	<b>∎</b> Pass	□ Fail



## 4.8.7 CBSD Security Validation

#### 4.8.7.1 WINNF.FT.C.SCS.1

Test Case ID : WINNF.FT.C.SCS.1

#	Test Execution Steps	Res	sults
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	∎ Pass	□ Fail
2	<ul> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected,</li> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA384</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul>	∎ Pass	□ Fail
3	<ul> <li>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</li> <li>UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with <i>responseCode</i> = 0 and <i>cbsdld</i>.</li> </ul>	<b>■</b> Pass	□ Fail
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail

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ile <u>E</u> dit <u>V</u> iew <u>G</u> o <u>C</u> apture	Analyze Statistics Telephony ]	ools Internals <u>H</u> elp		
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ilter: tcp.port == 5000 && ip.add	dr == 10.10.10.101 && ssl	V Expression Clear	Apply Save	
p. Time	Source	Destination	Protocol	Length Info
26 13.146148000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello
27 13.146387000	10.10.10.101	192.168.200.5	TLSV1.2	3046 Server Hello, Certificate, Certificate Request, Server Hello Done
33 13.755669000	192.168.200.5	10.10.10.101	TLSV1.2	850 Certificate
35 13.764227000	10.10.10.101	192.168.200.5	TLSV1.2	105 Change Cipher Spec, Encrypted Handshake Message
37 13.826269000	192.168.200.5	10.10.10.101	TLSV1.2	360 Application Data
38 13.837225000	10.10.10.101	192.168.200.5	TLSV1.2	100 Application Data
41 13.944768000	10.10.10.101	192.168.200.5	TLSV1.2	532 Application Data, Application Data, Application Data, Application
52 18.065187000	192.168.200.5	10.10.10.101	TLSV1.2	458 Application Data
53 18.066456000 57 18.105089000	10.10.10.101 10.10.10.101	192.168.200.5 192.168.200.5	TLSv1.2 TLSv1.2	100 Application Data 527 Application Data, Application Data, Application Data, Application
94 48.224605000	192.168.200.5	192.108.200.5	TLSV1.2	458 Application Data
95 48.225748000	10.10.10.101	192.168.200.5	TLSV1.2	100 Application Data
97 48.253849000	10.10.10.101	192.168.200.5	TLSV1.2	527 Application Data, Application Data, Application Data, Application
31 78.349165000	192, 168, 200, 5	10.10.10.101	TLSV1.2	458 Application Data
32 78.350173000	10.10.10.101	192.168.200.5	TLSV1.2	100 Application Data
34 78.414260000	10.10.10.101	192.168.200.5	TLSV1.2	527 Application Data, Application Data, Application Data, Application
79 108. 544805000	192.168.200.5	10.10.10.101	TLSV1.2	458 Application Data
80 108, 545887000	10.10.10.101	192.168.200.5	TLSV1.2	100 Application Data
82 108.613585000	10.10.10.101	192.168.200.5	TLSV1.2	527 Application Data, Application Data, Application Data, Application
Ethernet II, Src: Cadmu Internet Protocol Versi Transmission Control Pr Secure Sockets Layer	<pre>wire (4576 bits), 572 byt sco_7a:1a:84 (08:00:27:7a on 4, src: 192.168.200.5 otocol, src Port: 57528 ( trailer, Source Port: 0</pre>	:1a:84), Dst: Sony_5 (192.168.200.5), Dst	c:5a:6a (f0: : 10.10.10.1	bf:97:5c:5a:6a) 01 (10.10.10.101)
00 f0 bf 97 5c 5a 6a 0 10 02 2d 61 c2 40 00 3 20 0a 65 e0 b8 13 88 c 30 03 91 92 9c 00 00 1 40 03 df c7 2c b4 00 a 50 c7 fc 0c fc 90 04 c	8 00 27 7a 1a 84 08 00 4 f 06 3a ec c0 a8 c8 05 0 5 7d 11 91 1e 9e 0c d0 5 6 03 01 02 00 01 00 01 f a 87 32 83 e2 d1 65 7d b 0 6 c6 c2 40 75 17 6h 01	a Oaa.@.?. : 0 18 .e} 0 3 7 58, 2 6	P. e}.x	
	SRW310\Test h P Profile: Defi			



## 4.8.7.2 WINNF.FT.C.SCS.2

Te	est Case ID : WINNF.FT.C.SCS.2		
#	Test Execution Steps	Res	sults
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	∎ Pass	 Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	∎ Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	∎ Pass	 Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail

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e <u>E</u> dit <u>V</u> iew <u>G</u> o <u>C</u> apture	Analyze Statistics Telephony I	ools <u>I</u> nternals <u>H</u> elp					
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ter: tcp.port == 5000 && ip.ad	ddr == 10.10.10.101 && ss	Clear	Apply Save				
Time	Source	Destination	Protocol	Length Info			
50 28.638926000	192.168.200.3	10.10.10.101	TLSV1.2	572 Client Hello			
51 28.639185000	10.10.10.101	192.168.200.3	TLSv1.2		Certificate, Certificate Request, Serve		Done
55 28.748855000	192.168.200.3	10.10.10.101	TLSV1.2		Fatal, Description: Certificate Revoked	)	
73 34.163043000	192.168.200.3	10.10.10.101	TLSv1.2	572 Client Hello			
74 34.163202000	10.10.10.101	192.168.200.3	TLSV1.2		Certificate, Certificate Request, Serve		Done
78 34.253835000	192.168.200.3	10.10.10.101	TLSv1.2	62 Alert (Level:	Fatal, Description: Certificate Revoked	)	
90 39.679872000	192.168.200.3	10.10.10.101	TLSV1.2	572 client Hello			
91 39.680002000	10.10.10.101	192.168.200.3	TLSV1.2		Certificate, Certificate Request, Serve		Done
95 39.824788000	192.168.200.3	10.10.10.101	TLSV1.2	62 Alert (Level:	Fatal, Description: Certificate Revoked	)	
	wire (4576 bits), 572 byte						
Ethernet II, Src: Cadm Internet Protocol vers	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 (	1a:84), Dst: Sony_5 192.168.200.3), Dst	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)			
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P	usCo_7a:1a:84 (08:00:27:7a:	1a:84), Dst: Sony_5 192.168.200.3), Dst	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer	usco_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 (5	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer E TLSv1.2 Record Layer	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 (5 : Handshake Protocol: Clier	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer ILSVI.2 Record Layer Content Type: Hand	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 (5 : Handshake Protocol: Clier shake (22)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Srć: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer B TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 (	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 (5 : Handshake Protocol: Clier shake (22)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer ILSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: S12	usCo_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 ( : Handshake Protocol: Clier shake (22) 0x0301)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm Internet Protocol vers fransmission Control P Secure Sockets Layer TLS1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: S12 Handshake Protocol	usCo_7a:1a:84 (08:00:27:7a: 1on 4, src: 192.168.200.3 ( rotocol, Src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Sré: Cadm internet Protocol vers transmission Control P secure Sockets Layer Ditsv1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type:	usCo_7a:1a:84 (08:00:27:7a: 1on 4, src: 192.168.200.3 ( rotocol, Src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm Internet Protocol vers rransmission Control P secure Sockets Layer Content Type: Hand Version: TLS 1.0 ( Length: S12 Handshake Protocol Handshake Type: Length: 508	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, Src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello Client Hello (1)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
<pre>thernet II, Src: Cadm internet Protocol vers fransmission Control P Secure Sockets Layer TLSV.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type: Length: 508 Version: TLS 1.2</pre>	usCo_7a:1a:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, Src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello Client Hello (1)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm internet Protocol verss transmission Control P secure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TDS 1.0 ( Length: S12 Handshake Type: Length: 508 Version: TLS 1.2 B Random	usCo_7a:la:84 (08:00:27:7a ion 4, src: 192.168.200.3 ( rotocol, src Port: 55260 ( : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello client Hello (1) (0x0303)	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50	c:5a:6a (f0:1 : 10.10.10.10	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm nternet Protocol vers ransmission Control P ecure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Protocol Handshake Type: Length: 508 Version: TLS 1.2 B Random Session ID Lengt	usCo_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotocol, Src Port: 55260 ( : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello Client Hello (1) (0x0303) h: 0	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50 nt Hello	c:5a:6a (f0:1 : 10.10.10.1 000 (5000), S	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Srć: Cadm nternet Protocol vers ransmission Control P ecure sockets Layer TLSV1.2 Record Layer Content Type: Hand version: TLS 1.0 ( Length: 512 Handshake Type: Length: 508 version: TLS 1.2 Random Session ID Lengt 0 f0 bf 97 5c 5a 6a 6	usco_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotcorl) src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello (1) (0x0303) h: 0 80 00 27 7a 1a 84 08 00 45	1a:84), Dst: Sony_5 192.168.200.3), Dst 5260), Dst Port: 50 5260, Dst Port: 50 100 \Z1 'Z	c:5a:6a (f0:1 : 10.10.10.1 00 (5000), 50	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm nternet Protocol Vers ransmission Control P ecure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type: Length: 508 Version: TLS 1.2 Random Session ID Lengt 0 f0 bf 97 5c 3a 5a 0 02 2d 02 ca 40 00	usCo_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( srotocol, Src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello client Hello (1) (0x0303) h: 0 08 00 27 7a 1a 84 08 00 45 37 06 99 e6 c0 a8 c8 03 0a	1a:84), Dst: Sony_5 192:168.200.3), Dst 5260), Dst Port: 50 ht Hello 00\Zj 'Z 0a@.?.	c:5a:6a (f0: : 10.10.10.10 00 (5000), 50	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
thernet II, Src: Cadm internet Protocol vers ransmission Control P ecure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Type: Length: 508 Version: TLS 1.2 Random Session ID Lengt 0 f0 bf 97 5c 5a 6a 0 02 2d 02 ca 40 00 0 4a 65 d7 dc 13 88	usco_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotcorl) src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello (1) (0x0303) h: 0 80 00 27 7a 1a 84 08 00 45	1a:84), Dst: Sony_5 192:168:200:3), Dst 5260), Dst Port: 50 it Hello 00 \Z],. 'Z 0a@.? 18 .e? 03	<pre>c:5a:6a (f0: : 10.10.10.10 00 (5000), 50 </pre>	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type: Length: 508 Version: TLS 1.2 Random 505510n ID Lengt 0 0 40 7 55 54 64 00 0 2 40 02 c4 40 00 0 0 46 57 d5 13 88 30 0 3 110 97 00 00 0 3 41 b0 20 45 24	usco_7a:la:84 (08:00:27:7a: ion 4, src: 192.168.200.3 ( rotcool) src Port: 55260 (5 : Handshake Protocol: Clier shake (22) 0x0301) : Client Hello (1) (0x0303) h: 0 08 00 27 7a 1a 84 08 00 45 37 06 99 e6 c0 a8 c8 03 00 31 a8 4 a8 c0 51 f2 50	1a:84), Dot: Sony_5 192.168.200.3), Dot 5260), Dot Port: 50 tt Hello 00\Zj'Z 0a6.7 18 e.e? 03 03	<pre>c:5a:6a (f0: : 10.10.10.10 00 (5000), 50 </pre>	bf:97:5c:5a:6a) 01 (10.10.10.101)	7		



## 4.8.7.3 WINNF.FT.C.SCS.3

Te	est Case ID : WINNF.FT.C.SCS.3		
#	Test Execution Steps	Res	sults
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	∎ Pass	 Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	∎ Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	∎ Pass	 Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	 Fail

<ul> <li>Make sure the</li> </ul>	nat UUT uses TLS	S v1.2				
WINNF.FT.C.SCS.3.pcapng [Wires	shark 1.12.7-Sercomm.LTE.7 (Git Rev	Unknown from unknown)]			-	 ×
File Edit View Go Capture	Analyze Statistics Telephony I	ools <u>Internals</u> <u>H</u> elp				
• • • • • • • • •	( 🔁   Q, + + 4) 🐺 🛓		. 🖂   👪 🕅	🎫 🕺 🛛		
Filter: tcp.port == 5000 && ip.add	r == 10.10.10.101 && ssl	Expression Clear	Apply Save			
No. Time	Source	Destination	Protocol	Length Info		~
34 16.570440000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello		
35 16. 570705000	10.10.10.101	192.168.200.5	TLSV1.2		Certificate, Certificate Request, Server	ie –
39 16.718367000	192.168.200.5	10.10.10.101	TLSV1.2		Fatal, Description: Certificate Expired)	
58 22.128958000	192.168.200.5	10.10.10.101	TLSV1.2	572 Client Hello		
59 22.129106000	10.10.101	192.168.200.5	TLSV1.2		Certificate, Certificate Request, Server	ie –
63 22.275451000	192.168.200.5	10.10.10.101	TLSv1.2		Fatal, Description: Certificate Expired)	
75 27.650672000	192.168.200.5	10.10.10.101	TLSV1.2	572 Client Hello		
76 27.650922000	10.10.10.101	192.168.200.5	TLSV1.2		Certificate, Certificate Request, Server	le
80 27.795299000	192.168.200.5	10.10.10.101	TLSv1.2		Fatal, Description: Certificate Expired)	
92 33.164785000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello		
93 33.165004000	10.10.10.101	192.168.200.5	TLSV1.2		Certificate, Certificate Request, Server	ie
97 33.277505000	192.168.200.5	10.10.10.101	TLSv1.2	62 Alert (Level:	Fatal, Description: Certificate Expired)	٧
<						>
Content Type: Handsl Version: TLS 1.0 (0) Length: 512 Handshake Protocol: Handshake Protocol: Handshake Type: C Length: 508 Version: TLS 1.2 ( Bandom	<pre>cco_7a:1a:84 (08:00:27:7a: on 4, Src: 192.168.200.5 ( toccol, Src Port: 45924 (4 Handshake Protocol: clien take (22) (0301) client Hello (ient Hello (1) (0x0303)</pre>	1a:84), Dst: Sony_5 192.168.200.5), Dst 6924), Dst Port: 50	c:5a:6a (f0: : 10.10.10.1	bf:97:5c:5a:6a) 01 (10.10.10.101)	7	
Session ID Length:						Y
0010 02 2d 9a 80 40 00 3f 0020 0a 65 b7 4c 13 88 c6 0030 03 91 fc 1a 00 00 16 0040 03 06 8a de b6 2f f4	00 27 7a 1a 84 08 00 45 06 02 2e c0 a8 c8 05 0a 8 8 17 82 35 23 25 5a 50 0 3 01 02 00 01 00 01 fc e6 c6 07 47 1c 39 bd fc	Oa@.? 18 .e.L	%ZP.			-
0050 27 at an 5# 0a 22 as	1 1 50 h2 72 d7 d7 70 76	5e 7 # ne				 ~
🗩 🛃 File: "C:\Users\Bull\Desktop\S	RW310\Test h   P   Profile: Defa	ult				



## 4.8.7.4 WINNF.FT.C.SCS.4

Te	est Case ID : WINNF.FT.C.SCS.4		
#	Test Execution Steps	Res	ults
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	∎ Pass	 Fail
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<b>■</b> Pass	□ Fail
3	UUT may retry for the security procedure which shall fail.	∎ Pass	□ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.		
5	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</li> <li>UUT shall not transmit RF</li> </ul>	∎ Pass	□ Fail

	Make sure that L	JUT uses TLS	5 v1.2							
WIN	INF.FT.C.SCS.4.pcapng [Wireshark 1.12	2.7-Sercomm.LTE.7 (Git Rev	Unknown from unknown	)]				-	0	×
le	dit <u>V</u> iew <u>Go</u> <u>Capture</u> <u>Analyze</u>	Statistics Telephony Io	ols Internals <u>H</u> elp							
0 0		0. 4 4 4 7 4		. 🖭   🜌 🗹	5 1/2 1/2					
ilter:	tcp.port == 5000 && ip.addr == 10.1	0.10.101 && ssl	<ul> <li>Expression Clear</li> </ul>	r Apply Save						
	lime	Source	Destination	Protocol	Length Info					_
	41.075393000 41.075561000	192.168.200.6 10.10.10.101	10.10.10.101 192.168.200.6	TLSV1.2 TLSV1.2	572 Client He		Certificate Request	Server	Hellor	one
	41.156096000	192.168.200.6	10.10.10.101	TLSV1.2			iption: Unknown CA)	Server	nerro c	/vine
	me 58: 572 bytes on wire (4									
	ernet II, Src: CadmusCo_7a: ernet Protocol Version 4, S									
Tra	nsmission Control Protocol,					1: 517				
	ure Sockets Layer									
VSS	-Monitoring ethernet traile	r, source port: 0								
000	f0 bf 97 5c 5a 6a 08 00 2	7 73 13 84 08 00 45	00\zi 'z.	E						
	02 2d 7c 88 40 00 3f 06 2	0 25 c0 a8 c8 06 0a	0a @. ?. %.							
010										
20	03 91 22 7d 00 00 16 03 0	18 fd 30 c1 e3 01 50	03 "1							
20	0a 65 e7 66 13 88 d6 f0 3 03 91 22 7d 00 00 16 03 03 ef 3b dd 5d 79 e7 a7 4 40 db 6c d7 55 f4 10 cf f	01 02 00 01 00 01 fc	03"}							



### 4.8.7.5 WINNF.FT.C.SCS.5

Test Case ID : WINNF.FT.C.SCS.5	
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Te	Test Case ID : WINNF.FT.C.SCS.5							
#	Test Execution Steps Results							
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>							
		Pass	Fail					
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	∎ Pass	□ Fail					
3	UUT may retry for the security procedure which shall fail.	∎ Pass	□ Fail					
4	SAS Test-Harness shall not receive any Registration request or any application data.							
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	∎ Pass	□ Fail					

	reshark 1.12.7-Sercomm.LTE.7 (Git Re		1					-	٥	×
<u>File Edit View Go Capture</u>	Analyze Statistics Telephony ]	ools Internals Help								
001110	🗶 😂   🔍 🔶 🌞 🕹 🐺 🛃	<b>   </b>   <b>Q</b> Q @	2 🖭   🎬 🖾	🍮 🐝   🔛						
Filter: tcp.port == 5000 && ip.a	ddr == 10.10.10.101 && ssl	<ul> <li>Expression Clear</li> </ul>	Apply Save							
o. Time	Source	Destination	Protocol	Length Info						
22 14.619297000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello						
23 14.620864000	10.10.10.101	192.168.200.5	TLSV1.2	4150 Server Hello						
26 14.685935000	10.10.10.101	192.168.200.5	TLSV1.2	365 Certificate						
31 14.809665000	192.168.200.5	10.10.10.101	TLSV1.2	62 Alert (Level:	Fatal,	Description:	Decrypt Erro	or)		
49 20.214683000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello						
50 20.215562000	10.10.10.101	192.168.200.5	TLSv1.2	4150 Server Hello						
52 20.288343000	10.10.10.101	192.168.200.5	TLSV1.2	365 Certificate						
58 20.449083000	192.168.200.5	10.10.10.101	TLSv1.2	62 Alert (Level:	Fatal,	Description:	Decrypt Erro	or)		
74 25.815139000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello						
75 25.815935000	10.10.10.101	192.168.200.5	TLSv1.2	4150 Server Hello						
77 25.888352000	10.10.10.101	192.168.200.5	TLSv1.2	365 Certificate						
82 26.004315000	192.168.200.5	10.10.10.101	TLSv1.2	62 Alert (Level:	Fatal.	Description:	Decrypt Erro	or)		
92 31.415024000	192.168.200.5	10.10.10.101	TLSv1.2	572 Client Hello						
93 31.415783000	10.10.10.101	192.168.200.5	TL5v1.2	4150 Server Hello						
96 31,489088000	10.10.10.101	192.168.200.5	TL5V1.2	365 Certificate						
100 21 655008000	107 168 200 5	10 10 10 101	TI FUL 7	67 Alort /Louol	Ental	Decretations	Barriat Free	ar I		
										>
	wire (4576 bits) 572 but	es captured (4576 b	its) on inter	face 0						
Frame 22: 572 bytes on										
Ethernet II, Src: Cadm	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5	:1a:84), Dst: Sony_	5c:5a:6a (f0:	bf:97:5c:5a:6a)						
Ethernet II, Src: Cadm Internet Protocol Vers	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5	:1a:84), Dst: Sony_ (192.168.200.5), Ds	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Internet Protocol Vers Transmission Control P	usCo_7a:1a:84 (08:00:27:7a	:1a:84), Dst: Sony_ (192.168.200.5), Ds	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 (	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer B TLSv1.2 Record Layer	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer □ TLSV1.2 Record Layer Content Type: Hand	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie shake (22)	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer Content Type: Hand Version: TL5 1.0 (	usCo_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie shake (22)	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer □ TLSv1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512	usco_7a:11a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie shake (22) 0x0301)	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer D TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol	usCo_7a:1a:84 (08:00:27:7a 1on 4, src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie shake (22) 0x0301) : Client Hello	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol Vers Transmission Control P Secure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol	usco_7a:11a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clie shake (22) 0x0301)	:1a:84), Dst: Sony_ (192.168.200.5), Ds 44468), Dst Port: 5	5c:5a:6a (f0: t: 10.10.10.1	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type:	usco_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clien shake (22) 0x0301) : Client Hello Client Hello (1)	:1a:84), DSt: Sony_ (192.168.200.5), DS 44468), DSt Port: S nt Hello	5c:5a:6a (f0: t: 10.10.10.1 000 (5000), 5	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer ⊡ TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 ⊟ Handshake Protocol Handshake Type: 100 07 06 bf 97 5c 5a 6a 00 02 2d fa 7a 40 00	usco_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clien shake (22) 0x0301) : Client Hello Client Hello (1) 08 00 27 7a 1a 84 08 00 4 37 66 az 33 co a8 c8 05 0.	<pre>flat84), DSt: Sony_ (192.168.200.5), DS #4468), DSt Port: 5 nt Hello 5 00\Zj 'z. a 0a 20.7.3.</pre>	Sc:Sa:6a (f0: t: 10.10.10.1 000 (5000), s	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol vers Fransmission Control P Secure Sockets Layer DILSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 Handshake Protocol Handshake Type: Di fo bf 97 5c 5a 6a 10 02 2d fa 7a 40 00 00 a 65 ad b4 13 88	usco_7a:la:84 (08:00:27:7a ion 4, src: 192.168.200.5 rotocol, src Port: 44468 ( : Handshake Protocol: Clies shake (22) 0x0301) : client Hello Client Hello (1) 08 00 27 7a 1a 84 08 00 4 37 06 a2 33 c0 a8 c8 05 0.	<pre>flat84), Dst: Sony_ (192.168.200.5), Ds H4468), Dst Port: 5 nt Hello 5 00\Zj 'Z. a 0aZ0.7, 3. 0 18 .e1 x</pre>	Sc:Sa:6a (f0: t: 10.10.10.1 000 (5000), S	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					
Ethernet II, Src: Cadm Internet Protocol vers Transmission Control P Secure Sockets Layer □ TLSV1.2 Record Layer Content Type: Hand Version: TLS 1.0 ( Length: 512 □ Handshake Protocol Handshake Protocol 000 f0 bf 97 5c 5a 6a 010 02 2d fa 7a 40 00 020 0a 65 ad b4 13 88 030 03 91 dc 58 00 00	usco_7a:1a:84 (08:00:27:7a ion 4, Src: 192.168.200.5 rotocol, Src Port: 44468 ( : Handshake Protocol: Clien shake (22) 0x0301) : Client Hello Client Hello (1) 08 00 27 7a 1a 84 08 00 4 37 66 az 33 co a8 c8 05 0.	<pre>:1a:84), Dst: Sony_ (192.168.200.5), Ds #4468), Dst Port: 5 nt Hello 5 00\Zj. 'z. a 0a z0.7. 3. 0 18 .e1 x 0 3 x.</pre>	Sc:Sa:6a (f0: t: 10.10.10.1 000 (5000), s	bf:97:5c:5a:6a) 101 (10.10.10.101)	17					



#### 4.8.8 CBSD RF Power Measurement

#### 4.8.8.1 WINNF.PT.C.HBT.1

Test Case ID : WINNF.PT.C.HBT.1

NA

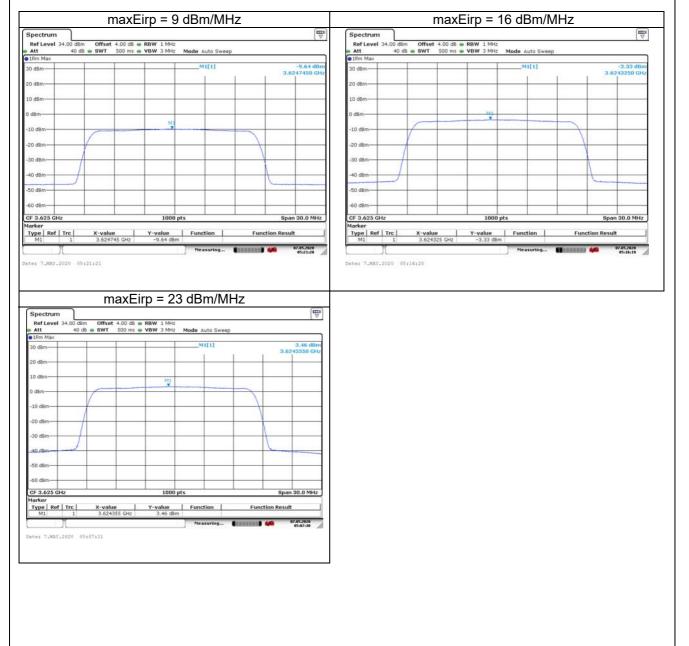
#	Test Execution Steps	Res	Results	
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT has registered with the SAS, with CBSD ID = C</li> <li>UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> <li>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp), the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</li> </ul>			
2	<ul> <li>Will request a grant with those parameters</li> <li>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows: <ul> <li>UUT sends Heartbeat Request, including:</li> <li><i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> </ul> </li> <li>SAS Test Harness responds with Heartbeat Response, including: o <i>cbsdld</i> = C</li> <li><i>grantld</i> = G</li> <li><i>transmitExpireTime</i> = current UTC time + 200 seconds</li> <li><i>responseCode</i> = 0</li> </ul>			
3	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method. <i>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</i>	∎ Pass	□ Fail	



RF measurement plot for Test Case :

• Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

		20MHz					
Channel	Freq. (MHz)	Conducted Power Density (dBm/MHz)	Gain(dBi)	16	Limit	Pass / Fail	
		Chain 0	Power De	ensity	maxEirp(dBm)=Pi		
Middle	3625	-9.64	-9.64	ŀ	9.0	Pass	
Middle	3625	-3.33	-3.33	5	16.0	Pass	
Middle	3625	3.46	3.46		23.0	Pass	





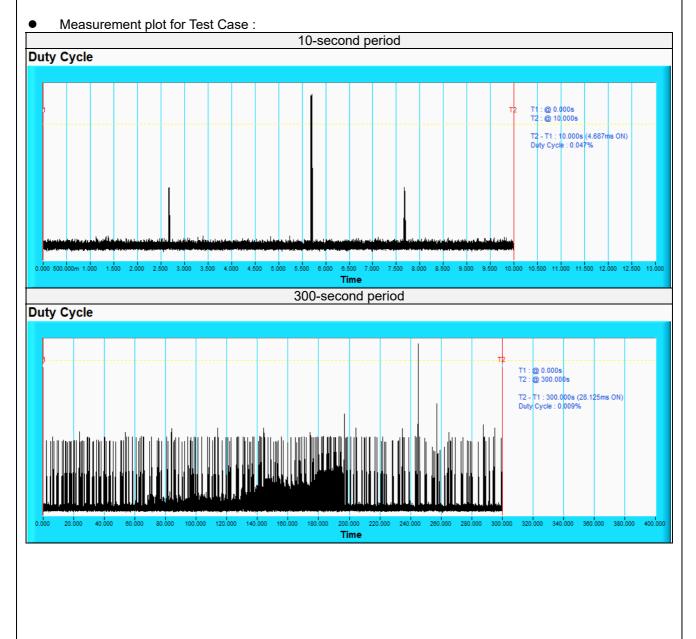
### 4.8.9 Duration and Duty Cycle

Duration and Duty Cycle							
Period	Minimum Time	Limit	Pass / Fail				
10-second	4.687 msec	1-second	Pass				
300-second	28.125 msec	10-second	Pass				
3600-second	1.125 sec	20-second	Pass				

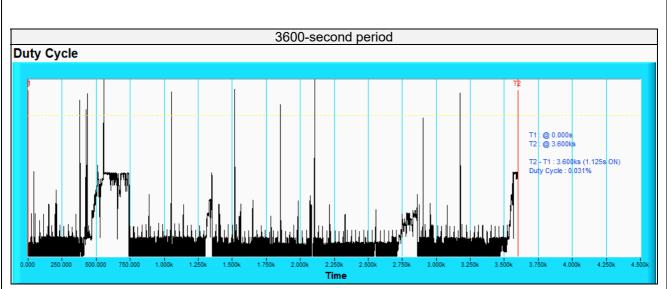
Note:

1. Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

2. Pass = Minimum Time < Limit







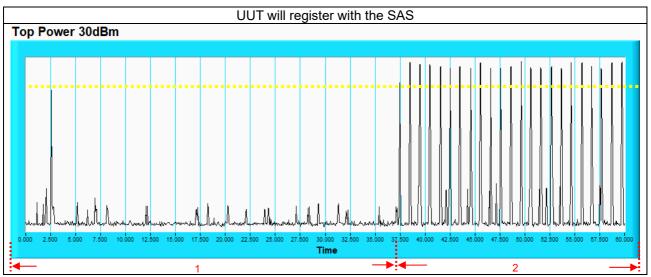
Note: Yellow color line in above plot represent the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23 dBm/10MHz



#### 4.8.10 Verify that the device will register with a SAS when operating below 23 dBm

Answer: Verified in test case WINNF.PT.C.HBT.1

Yes, the CPE-CBSD as UUT default EIRP is under 23 dBm and can register success with SAS. After granted, the CPE-CBSD UUT will adjust the EIRP by maxEirp.



Note :

- 1. Yellow color line in above plot represent the 23 dBm.
- 2. Marker 1 : The CPE-CBSD as UUT will register with SAS and adjust the EIRP by maxEirp < 23 dBm.
- 3. Marker 2 : After granted, the CPE-CBSD as UUT will adjust the EIRP by maxEirp > 23 dBm.



## 5 Pictures of Test Arrangements

Please refer to the attached file (Test Setup Photo).

### 6 WInnForum Logs

Please refer to the attached file (Test Logs).



#### Appendix – Information of the Testing Laboratories

We, Bureau Veritas Consumer Products Services (H.K.) Ltd., Taoyuan Branch, were founded in 1988 to provide our best service in EMC, Radio, Telecom and Safety consultation. Our laboratories are FCC recognized accredited test firms and accredited and approved according to ISO/IEC 17025.

If you have any comments, please feel free to contact us at the following:

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The address and road map of all our labs can be found in our web site also.

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