# **WINNF-TS-0122 Test Report**

Applicant : Smawave Technology Co. ,Ltd

Equipment : 5G ODU\_NA

Brand Name : smawave Model Name : SRU820

FCC ID : 2AU8HSRU820

Reference : WINNF-TS-0122 Version V1.0.2 TEST DATE(S) : Sep. 28, 2024 ~ Oct. 14, 2024

We, Sporton International Inc. (Kunshan), would like to declare that the tested sample has been evaluated in accordance with the test procedures given in WINNF-TS-0122 Version V1.0.2 and has been in compliance with the applicable technical standards.

The test results in this report apply exclusively to the tested model / sample. Without written approval of Sporton International Inc. (Kunshan), the test report shall not be reproduced except in full.

JasonJia

Approved by: Jason Jia





Report No.: FG482211C

#### **Sporton International Inc. (Kunshan)**

No. 1098, Pengxi North Road, Kunshan Economic Development Zone Jiangsu Province 215300

People's Republic of China

Sporton International Inc. (Kunshan)

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Page Number : 1 of 48
Report Issued Date : Oct. 28, 2024

# **Table of Contents**

eartbeat responseCo					4
nt Under Test (EUT) ant Summary out Domain Proxy ant Procedure ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo					
nt Under Test (EUT) ant Summary out Domain Proxy ant Procedure ant Procedure lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCoeartbeat responseCoeartbeateateateateateateateateateateateateate					5
ant Summary ant Procedure ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Cate eartbeat responseCo eartbeat responseCo eartbeat responseCo					6
ant Summary ant Summary ant Procedure ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Cate eartbeat responseCo eartbeat responseCo eartbeat responseCo					6
ant Summary out Domain Proxy ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo					6
ant Summary out Domain Proxy ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Cate eartbeat responseCo eartbeat responseCo eartbeat responseCo eartbeat responseCo					6
ant Procedure					7
ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCoe					8
ant Procedure					8
ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Cae eartbeat responseCoe					8
ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo					9
ant Procedure lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Case eartbeat responseCoe					10
ant Procedure  Julti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Case eartbeat responseCoe					11
lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo					11
lulti-Step registration egistration due to che lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re I					11
egistration due to chalissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response Insuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo					12
lissing Required para Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (respons nsuccessful Grant re eartbeat Success Cas eartbeat responseCo eartbeat responseCo eartbeat responseCo					12
Pending registration Invalid parameter (re Blacklisted CBSD (re Unsupported SAS progroup Error (response nsuccessful Grant re eartbeat Success Cateartbeat response Coteartbeat r	ange of an	installation	parameter		13
Invalid parameter (re Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo	ameters (res	sponseCod	de 102)		14
Blacklisted CBSD (re Unsupported SAS pro Group Error (response nsuccessful Grant re nsuccessful Grant re eartbeat Success Case eartbeat responseCo eartbeat responseCo eartbeat responseCo	(responseC	ode 200) -			15
Unsupported SAS progroup Error (responsionsuccessful Grant responsed Sartbeat Success Capartbeat response Copartbeat response	sponseCod	le 103)			16
Group Error (responsed in successful Grant responsed in successful Grant responsed in success Caratbeat responsed in eartheat responsed in eartheat responsed in eartheat responsed in success Caratbeat responsed in successful i	sponseCod	le 101)			17
nsuccessful Grant re nsuccessful Grant re eartbeat Success Car eartbeat responseCo eartbeat responseCo eartbeat responseCo	otocol versi	on (respor	seCode 100)	)	18
nsuccessful Grant re eartbeat Success Ca eartbeat responseCo eartbeat responseCo eartbeat responseCo	seCode 201	)			19
eartbeat Success Careartbeat responseCo eartbeat responseCo eartbeat responseCo	sponseCod	le=400 (IN	TERFERENC	CE)	20
eartbeat responseCo eartbeat responseCo eartbeat responseCo	sponseCod	le=401 (GI	RANT_CONF	FLICT)	21
eartbeat responseCo	se (first Hea	artbeat Re	sponse)		22
eartbeat responseCo	de=105 (DE	EREGISTE	ER)		24
·	de=500 (TE	RMINATE	D_GRANT) -		25
	de=501 (Sl	JSPENDE	D_GRANT) ir	n First Heartbe	eat
eartbeat responseCo					26
	eCo eCo  eCo	eCode=500 (TE eCode=501 (SU  eCode=501 (SU	eCode=500 (TERMINATE eCode=501 (SUSPENDE eCode=501 (SUSPENDE	eCode=500 (TERMINATED_GRANT) i eCode=501 (SUSPENDED_GRANT) i eCode=501 (SUSPENDED_GRANT) i	eCode=105 (DEREGISTER)eCode=500 (TERMINATED_GRANT)eCode=501 (SUSPENDED_GRANT) in First Heartbe

Report No. : FG482211C

	4.16	[WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	29
	4.17	[WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)	30
	4.18	[WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)	31
	4.19	[WINNF.FT.C.MES.3] Grant Response contains measReportConfig	32
	4.20	[WINNF.FT.C.MES.4] Heartbeat Response contains measReportConfig	34
	4.21	[WINNF.FT.C.RLQ.1] Successful Relinquishment	36
	4.22	[WINNF.FT.C.DRG.1] Successful Deregistration	37
	4.23	[WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness	38
	4.24	[WINNF.FT.C.SCS.2] TLS failure due to revoked certificate	39
	4.25	[WINNF.FT.C.SCS.3] TLS failure due to expired server certificate	40
	4.26	[WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown C	CA41
	4.27	[WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted	42
	4.28	[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement	43
5.	Resu	It of Time test for getting Grant	45
	5.1	1 second within any 10-second period	45
	5.2	10 seconds within any 300-second period	46
	5.3	20 seconds within any 3600-second period	47

UUT register with the SAS irrespective of power levels------48

Appendix A. Setup Plot

Appendix B. RF measurement plots

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 3 of 48 Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# Revision History

REPORT NO.	VERSION	DESCRIPTION	ISSUED DATE
FG482211C	01	Initial issue of report	Oct. 28, 2024

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report

Page Number : 4 of 48
Report Issued Date : Oct. 28, 2024

Report No. : FG482211C

#### 1. Administration Data

#### 1.1 Testing Laboratory

Sporton International Inc. (Kunshan) is accredited to ISO/IEC 17025:2017 by American Association for Laboratory Accreditation with Certificate Number 5145.02.

Test Site	Sporton International Inc. (Kunshan)		
	No. 1098, Pengxi North Road, Kunshan Economic Development Zone		
Test Site Location	Jiangsu Province 215300 People's Republic of China		
	TEL: +86-512-57900158		
Toot Site No	Sporton Site No.		
Test Site No.	DFS02-KS		
Test Engineer Chad Wang			
Temperature 20 ~ 25 °C			
Relative Humidity 46 ~ 55 %			

Sporton International Inc. (Kunshan) TEL: +86-512-57900158

Page Number : 5 of 48 Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

#### 1.2 Applicant

Company Name	Smawave Technology Co. ,Ltd
Address	2/F, Building 8, 1001 North Qinzhou Road, Xuhui District, Shanghai

#### 1.3 Manufacturer

Company Name	Smawave Technology Co. ,Ltd
Address	2/F, Building 8, 1001 North Qinzhou Road, Xuhui District, Shanghai

#### 2. General Information

# 2.1 Description of Equipment Under Test (EUT)

	Product Feature & Specification
Equipment	5G ODU_NA
Brand Name	smawave
Model Name	SRU820
FCC ID	2AU8HSRU820
Professional Installation	<ul><li>✓ Yes</li><li>□ No</li></ul>
UUT Under Test Type	<ul> <li>□ BTS-CBSD product (Base Station)</li> <li>☑ CPE-CBSD product (Customer Premises Equipment)</li> </ul>
UUT Category	<ul><li>□ Category A</li><li>☑ Category B</li></ul>
Domain Proxy support	<ul> <li>□ CBSD with Domain Proxy (Please see section 9 for more information)</li> <li>□ CBSD without Domain Proxy</li> </ul>
UUT Antenna Gain	19.46 dBi
UUT HW Version	V1.0
UUT SW Version	SRU820-EUN-V1.0.0
Device Power Class	5G NR n78: Power Class 3

Sporton International Inc. (Kunshan)Page Number: 6 of 48TEL: +86-512-57900158Report Issued Date: Oct. 28, 2024

Report Version : 01

Report No.: FG482211C

# 2.2 Protocol Test Summary

Section	Test Case ID	Test Case Title	Test Result
6.1.4.1.1	WINNF.FT.C.REG.1	Multi-Step registration	PASS
6.1.4.1.7	WINNF.FT.C.REG.7	Registration due to change of an installation parameter	PASS
6.1.4.2.1	WINNF.FT.C.REG.8	Missing Required parameters (responseCode 102)	PASS
6.1.4.2.3	WINNF.FT.C.REG.10	Pending registration (responseCode 200)	PASS
6.1.4.2.5	WINNF.FT.C.REG.12	Invalid parameter (responseCode 103)	PASS
6.1.4.2.7	WINNF.FT.C.REG.14	Blacklisted CBSD (responseCode 101)	PASS
6.1.4.2.9	WINNF.FT.C.REG.16	Unsupported SAS protocol version (responseCode 100)	PASS
6.1.4.2.11	WINNF.FT.C.REG.18	Group Error (responseCode 201)	PASS
6.3.4.2.1	WINNF.FT.C.GRA.1	Unsuccessful Grant responseCode=400 (INTERFERENCE)	PASS
6.3.4.2.2	WINNF.FT.C.GRA.2	Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	PASS
6.4.4.1.1	WINNF.FT.C.HBT.1	Heartbeat Success Case (first Heartbeat Response)	PASS
6.4.4.2.1	WINNF.FT.C.HBT.3	Heartbeat responseCode=105 (DEREGISTER)	PASS
6.4.4.2.2	WINNF.FT.C.HBT.4	Heartbeat responseCode=500 (TERMINATED_GRANT)	PASS
6.4.4.2.3	WINNF.FT.C.HBT.5	Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	PASS
6.4.4.2.4	WINNF.FT.C.HBT.6	Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	PASS
6.4.4.2.5	WINNF.FT.C.HBT.7	Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	PASS
6.4.4.3.1	WINNF.FT.C.HBT.9	Heartbeat Response Absent (First Heartbeat)	PASS
6.4.4.3.2	WINNF.FT.C.HBT.10	Heartbeat Response Absent (Subsequent Heartbeat)	PASS
6.5.4.2.3	WINNF.FT.C.MES.3	Grant Response contains measReportConfig	PASS
6.5.4.2.4	WINNF.FT.C.MES.4	Heartbeat Response contains measReportConfig	PASS
6.6.4.1.1	WINNF.FT.C.RLQ.1	Successful Relinquishment	PASS
6.7.4.1.1	WINNF.FT.C.DRG.1	Successful Deregistration	PASS
6.8.4.1.1	WINNF.FT.C.SCS.1	Successful TLS connection between UUT and SAS Test Harness	PASS
6.8.4.2.1	WINNF.FT.C.SCS.2	TLS failure due to revoked certificate	PASS
6.8.4.2.2	WINNF.FT.C.SCS.3	TLS failure due to expired server certificate	PASS
6.8.4.2.3	WINNF.FT.C.SCS.4	TLS failure when SAS Test Harness certificate is issue by unknown CA	PASS
6.8.4.2.4	WINNF.FT.C.SCS.5	TLS failure when certificate at the SAS Test Harness is corrupted	PASS
7.1.4.1.1	WINNF.PT.C.HBT	UUT RF Transmit Power Measurement	PASS

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TEL: +86-512-57900158

Page Number : 7 of 48
Report Issued Date : Oct. 28, 2024

Report No. : FG482211C

#### 2.3 Time test for getting Grant Summary

Trail	Time limit	Monitoring time	Measured result	Verdict
1	1 second	10 seconds	20.9368ms	PASS
2	10 seconds	300 seconds	46.8750ms	PASS
3	20 seconds	3600 seconds	5474.525ms	PASS

#### 2.4 Support Equipment

Name	Manufacturer	Type/Model	Serial Number	FCC ID
Airspeed 2900	Airspan	Airspeed 2900	ED0863016574	PIDAS2900

#### 2.5 Test Equipment List

Name	Manufacturar	facturer Type/Model Serial Number	Carial Number	Calibration	
Name	Manufacturer		Last Cal.	Due Date	
Signal Analyzer	R&S	FSV7	101472	Jan. 04, 2024	Jan. 03, 2025
0	D.O.	DTE4000	404004	Oct. 11, 2023	Oct. 10, 2024
Oscilloscope	R&S	RTE1202	101334	Oct. 10, 2024	Oct. 09, 2025

Sporton International Inc. (Kunshan) TEL: +86-512-57900158

Page Number : 8 of 48 Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

#### 3. Measurement Environment

Measurement Environment Information		
SAS Test Harness version	1.0.0.3	
Operating System	Windows 10	
TLS version	V1.2	
Python version	V2.7	

	Conditional Test Case		
Support (Yes / No)	Condition	Definition	
yes	C1	Mandatory for UUT which supports multi-step registration message	
no	C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	
no	С3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	
no	C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type	
yes	C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	
yes	C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	

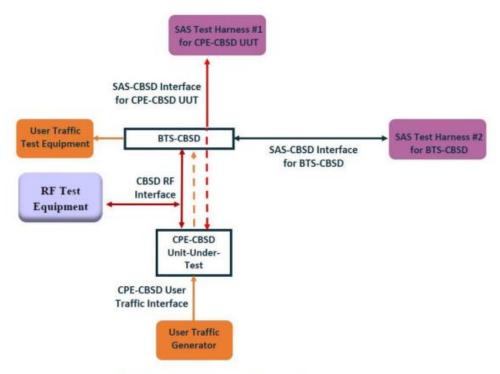
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Page Number : 9 of 48
Report Issued Date : Oct. 28, 2024

Report No. : FG482211C

#### 3.1 Test configuration without Domain Proxy



CPE-CBSD as UUT, BTS-CBSD direct communication.

TEL: +86-512-57900158

Page Number : 10 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

#### 3.2 Standards

[n.1]. FCC KDB 940660 D02 CPE-CBSD Handshake Procedures v02, 22 October 2019

[n.2]. W INNF-TS-0122 Version 1.0.2, "Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)", 25 November 2020

[n.3]. WINNF-TS-0016 Version 1.2.7, "SAS to CBSD Technical Specification", 21 March 2022

#### 3.3 Protocol test procedure

The test cases for SAS<->CBSD protocol in [n.2] apply for CPE-CBSD device type. Following the [n.1], when running the test cases in [n.2] for CPE-CBSD device type, verify that

- 1. CPE-CBSD can begin transmitting its RF only after receiving radio signal from its compatible BTS-CBSD.
- 2. For all CPE-CBSD RF transmissions, the CPE-CBSD UUT radio frequency range and bandwidth are less or equal to the frequency range and bandwidth of its compatible BTS-CBSD.
- 3. Judging the last execution step appearing in [n.2] with "User data traffics" instead of "RF transmission."

#### 3.4 Time test for getting Grant Procedure

Use the WinnForum SAS Harness run test case WINNF.FT.C.GRA.1. Without answering the last question in WINNF.FT.C.GRA.1 will keep UUT's grant request being rejected, then measure the time.

Sporton International Inc. (Kunshan) Page Number : 11 of 48 TEL: +86-512-57900158 Report Issued Date: Oct. 28, 2024

> Report Version : 01

Report No.: FG482211C

#### 4. Protocol Test Results

# 4.1 [WINNF.FT.C.REG.1] Multi-Step registration

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with the SAS Test Harness	
	UUT is in the Unregistered state	
	CBSD sends correct Registration request information, as specified in [n.5],	
	to the SAS Test Harness:	
	The required userId, fccId and cbsdSerialNumber registration	
	parameters shall be sent from the CBSD and conform to proper	
2	format and acceptable ranges.	PASS
_	Any REG-conditional or optional registration parameters that may	17.00
	be included in the message shall be verified that they conform to	
	proper format and are within acceptable ranges.	
	Note: It is outside the scope of this document to test the Registration	
	information that is supplied via another means.	
	SAS Test Harness sends a CBSD Registration Response as	
	follows:	
3	- cbsdld = C	
	<ul> <li>measReportConfig shall not be included</li> </ul>	
	- responseCode = 0	
	After completion of step 3, SAS Test Harness will not provide any	
4	positive response (responseCode=0) to further request messages from the	
	UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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TEL: +86-512-57900158

Page Number : 12 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.2 [WINNF.FT.C.REG.7] Registration due to change of an installation parameter

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
2	UUT has successfully registered with SAS Test Harness	
	Change an installation parameters at the UUT (time T)	
3	Tester needs to record the current time at which the parameter change is	
	executed.	
	Monitor the SAS-CBSD interface.	
4	UUT sends a deregistrationRequest to the SAS Test Harness	PASS
	The deregistration request shall be sent within (T + 60 seconds) from step 3.	

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TEL: +86-512-57900158

: 13 of 48 Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.3 [WINNF.FT.C.REG.8] Missing Required parameters (responseCode 102)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	
2	CBSD sends a Registration request to SAS Test Harness.	
3	SAS Test Harness rejects the request by sending a CBSD Registration  Response as follows:  - SAS response does not include cbsdld  - responseCode = R	
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after  Step 3 is complete. This is the end of the test. Verify:  • UUT shall not transmit RF	PASS

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TEL: +86-512-57900158

: 14 of 48 Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.4 [WINNF.FT.C.REG.10] Pending registration (responseCode 200)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
3	<ul> <li>SAS response does not include cbsdld</li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=200) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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TEL: +86-512-57900158

Page Number : 15 of 48 Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.5 [WINNF.FT.C.REG.12] Invalid parameter (responseCode 103)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
3	<ul> <li>SAS response does not include cbsdld</li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=103) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 16 of 48 Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.6 [WINNF.FT.C.REG.14] Blacklisted CBSD (responseCode 101)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
3	<ul> <li>SAS response does not include cbsdld</li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=101) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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TEL: +86-512-57900158

Page Number Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.7 [WINNF.FT.C.REG.16] Unsupported SAS protocol version (responseCode 100)

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
	<ul> <li>SAS response does not include cbsdld</li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=100) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

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Panert Version . (

Page Number : 18 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.8 [WINNF.FT.C.REG.18] Group Error (responseCode 201)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has successfully completed SAS Discovery and	
'	Authentication with SAS Test Harness	
	UUT is in the Unregistered state	
2	CBSD sends a Registration request to SAS Test Harness.	
	SAS Test Harness rejects the request by sending a CBSD Registration	
3	Response as follows:	
	<ul> <li>SAS response does not include cbsdld</li> </ul>	
	– responseCode = R	
	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=201) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

Report No.: FG482211C

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024 Report Version : 01

Page Number

: 19 of 48

# 4.9 [WINNF.FT.C.GRA.1] Unsuccessful Grant responseCode=400 (INTERFERENCE)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has registered successfully with SAS Test Harness, with	
	cbsdld = C	
2	UUT sends valid Grant Request.	
	SAS Test Harness sends a Grant Response message, including	
3	• cbsdld=C	
	• responseCode = R	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=0) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.10 [WINNF.FT.C.GRA.2] Unsuccessful Grant responseCode=401 (GRANT\_CONFLICT)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
1	UUT has registered successfully with SAS Test Harness, with	
	cbsdld = C	
2	UUT sends valid Grant Request.	
	SAS Test Harness sends a Grant Response message, including	
3	• cbsdld=C	
	• responseCode = R	
4	After completion of step 3, SAS Test Harness will not provide any positive	
4	response (responseCode=401) to further request messages from the UUT.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.11 [WINNF.FT.C.HBT.1] Heartbeat Success Case (first Heartbeat Response)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has registered successfully with SAS Test Harness, with cbsdld = C</li> </ul>	
2	UUT sends a message:  • If message is type Spectrum Inquiry Request, go to step 3, or  • If message is type Grant Request, go to step 5	
3	UUT sends Spectrum Inquiry Request. Validate:  • cbsdld = C  • List of frequencyRange objects sent by UUT are within the CBRS frequency range	PASS
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters:  • cbsdld = C  • availableChannel is an array of availableChannel objects  • responseCode = 0	
5	<ul> <li>UUT sends Grant Request message. Validate:</li> <li>cbsdId = C</li> <li>maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96</li> <li>operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band</li> </ul>	PASS
6	SAS Test Harness sends a Grant Response message, including the parameters:  • cbsdld = C  • grantId = G = a valid grant ID  • grantExpireTime = UTC time greater than duration of the test  • responseCode = 0	
7	UUT sends a first Heartbeat Request message.  Verify Heartbeat Request message is formatted correctly, including:  • cbsdld = C  • grantld = G  • operationState = "GRANTED"	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Da

Page Number : 22 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

WINNF-TS-0122 Test Report Report Report No. : FG482211C

#	Test Execution Steps	Results
	SAS Test Harness sends a Heartbeat Response message, with the	
8	following parameters:	
	• cbsdld = C	
	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	• responseCode = 0	
	For further Heartbeat Request messages sent from UUT after completion	
	of step 8, validate message is sent within latest specified heartbeatInterval,	
	and:	
	• cbsdld = C	
	• grantId = G	
9	<ul><li>operationState = "AUTHORIZED"</li></ul>	PASS
	and SAS Test Harness responds with a Heartbeat Response message	17.00
	including the following parameters:	
	• cbsdld = C	
	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	• responseCode = 0	
	Monitor the RF output of the UUT from start of test until UUT	
10	transmission commences. Verify:	
	UUT does not transmit at any time prior to completion of the first	DAGG
	heartbeat response	PASS
	UUT transmits after step 8 is complete, and its transmission is	
	limited to within the bandwidth range F.	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024 Report Version : 01

Page Number

# 4.12 [WINNF.FT.C.HBT.3] Heartbeat responseCode=105 (DEREGISTER)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:         <ul> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:</li></ul></li></ul>	
2	UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:  • cbsdld = C • grantId = G • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C • grantld = G • transmitExpireTime = T = Current UTC time • responseCode = 105 (DEREGISTER)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the RF output of the UUT. Verify:  • UUT shall stop transmission within (T + 60 seconds) of completion of step 3	PASS

Report No.: FG482211C

: 24 of 48

Page Number

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024 Report Version : 01

# 4.13 [WINNF.FT.C.HBT.4] Heartbeat responseCode=500 (TERMINATED\_GRANT)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:         <ul> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:</li></ul></li></ul>	
2	UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = current UTC time  • responseCode = 500 (TERMINATED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the RF output of the UUT. Verify:  • UUT shall stop transmission within (T + 60 seconds) of completion of step 3	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 25 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.14 [WINNF.FT.C.HBT.5] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in First **Heartbeat Response**

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:         <ul> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:</li></ul></li></ul>	
2	UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is formatted correctly, including:  • cbsdld = C  • grantld = G  • operationState = "GRANTED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C • grantld = G • transmitExpireTime = T = current UTC time • responseCode = 501 (SUSPENDED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs:  A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:  • cbsdld = C • grantId = G • operationState = "GRANTED"  B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:  • cbdsld = C • grantId = G  Monitor the RF output of the UUT. Verify:  • UUT does not transmit at any time	PASS

Sporton International Inc. (Kunshan)

Page Number TEL: +86-512-57900158 Report Issued Date: Oct. 28, 2024

> Report Version : 01

Report No.: FG482211C

# 4.15 [WINNF.FT.C.HBT.6] Heartbeat responseCode=501 (SUSPENDED\_GRANT) in **Subsequent Heartbeat Response**

#	Test Execution Steps	Results
1	Test Execution Steps  Ensure the following conditions are met for test entry:  • UUT has registered successfully with SAS Test Harness  • UUT has a valid single grant as follows:  ○ valid cbsdld = C  ○ valid grantld = G  ○ grant is for frequency range F, power P  ○ grantExpireTime = UTC time greater than duration of the test	Results 
	<ul> <li>UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	
2	UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = current UTC time  • responseCode = 501 (SUSPENDED_GRANT)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C



Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: cbsdId = CgrantId = GoperationState = "GRANTED" 5 **PASS** B. UUT sends a Relinquishment Request message. Ensure message is correctly formatted with parameters: • cbdsld = C • grantId = G Monitor the RF output of the UUT. Verify: • UUT shall stop transmission within (T + 60 seconds) of completion of step 3

 Sporton International Inc. (Kunshan)
 Page Number
 : 28 of 48

 TEL: +86-512-57900158
 Report Issued Date
 : Oct. 28, 2024

Report Version : 01

Report No.: FG482211C

# 4.16 [WINNF.FT.C.HBT.7] Heartbeat responseCode=502 (UNSYNC\_OP\_PARAM)

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:         <ul> <li>UUT has registered successfully with SAS Test Harness</li> <li>UUT has a valid single grant as follows:</li></ul></li></ul>	
2	UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is sent within latest specified heartbeatInterval,and is formatted correctly, including:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters:  • cbsdld = C  • grantld = G  • transmitExpireTime = T = Current UTC Time  • responseCode = 502 (UNSYNC_OP_PARAM)	
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	
5	Monitor the SAS-CBSD interface. Verify:  • UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters:  • cbdsId = C  • grantId = G  Monitor the RF output of the UUT. Verify:  • UUT shall stop transmission within (T+60) seconds of completion of step 3.	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

: 29 of 48 Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.17 [WINNF.FT.C.HBT.9] Heartbeat Response Absent (First Heartbeat)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has registered successfully with SAS Test Harness	
	UUT has a valid single grant as follows:	
	○ valid cbsdId = C	
1	○ valid grantId = G	
!	<ul> <li>grant is for frequency range F, power P</li> </ul>	
	<ul> <li>grantExpireTime = UTC time greater than duration of the</li> </ul>	
	test	
	UUT is in GRANTED, but not AUTHORIZED state (i.e. has not	
	performed its first Heartbeat Request)	
	UUT sends a Heartbeat Request message.	
	Ensure Heartbeat Request message is sent within latest specified	
2	heartbeatInterval, and is formatted correctly, including:	PASS
	• cbsdld = C	FAGG
	● grantId = G	
	operationState = "GRANTED"	
3	After completion of Step 2, SAS Test Harness does not respond to any	
	further messages from UUT to simulate loss of network connection	
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3.	
	Verify:	PASS
	At any time during the test, UUT shall not transmit on RF	1 700
	interface	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 30 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.18 [WINNF.FT.C.HBT.10] Heartbeat Response Absent (Subsequent Heartbeat)

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has registered successfully with SAS Test Harness	
	UUT has a valid single grant as follows:	
	○ valid cbsdld = C	
1	○ valid grantId = G	
	<ul> <li>grant is for frequency range F, power P</li> </ul>	
	<ul> <li>grantExpireTime = UTC time greater than duration of the</li> </ul>	
	test	
	UUT is in AUTHORIZED state and is transmitting within the grant	
	bandwidth F on RF interface	
	UUT sends a Heartbeat Request message.	
	Verify Heartbeat Request message issent within the latest specified	
2	heartbeatInterval, and is formatted correctly, including:	DASS
2	• cbsdld = C	PASS
	• grantId = G	
	operationState = "AUTHORIZED"	
	SAS Test Harness sends a Heartbeat Response message, with the	
	following parameters:	
	• cbsdld = C	
3	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	• responseCode = 0	
_	After completion of Step 3, SAS Test Harness does not respond to any	
4	further messages from UUT	
	Monitor the RF output of the UUT. Verify:	
_	UUT shall stop all transmission on RF interface within	DAGG
5	(transmitExpireTime + 60 seconds), using the	PASS
	transmitExpireTime sent in Step 3.	
	ı	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 31 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.19 [WINNF.FT.C.MES.3] Grant Response contains measReportConfig

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
1	UUT has successfully registered with SAS Test Harness, with	
	cbsdld=C and measCapability =	
	"RECEIVED_POWER_WITH_GRANT"	
	UUT sends a Grant Request message.	
	Verify Grant Request message contains all required parameters properly	
2	formatted, and specifically:	PASS
	• cbsdld = C	
	operationParam is present and format is valid	
	SAS Test Harness sends a Grant Response message, with the following	
	parameters:	
	• cbsdld = C	
	grantId = G = valid grant ID	
3	<ul> <li>grantExpireTime = UTC time in the future</li> </ul>	
	<ul> <li>heartbeatInterval = 60 seconds</li> </ul>	
	<ul><li>measReportConfig= "RECEIVED_POWER_WITH_GRANT"</li></ul>	
	operationParam is set to valid operating parameters	
	• channelType = "GAA"	
	• responseCode = 0	
	UUT sends a Heartbeat Request message. Verify message contains all	
	required parameters properly formatted, and specifically:	
4	• cbsdld = C	PASS
	● grantId = G	
	operationState = "GRANTED"	
	If Heartbeat Request message (step 4) contains measReport object,	
	then:	
	<ul> <li>verify measReport is properly formatted as object</li> </ul>	
5	rcvdPowerMeasReport	PASS
	end test, with PASS result	
	else, if Heartbeat Request message (step 4) does not contain	
	measReport object, then:	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024

Report Version

Page Number

: 01

: 32 of 48

Report No.: FG482211C

VINNF-TS-0122 Test Report	Report No. : FG482211C
---------------------------	------------------------

	If number of Heartbeat Requests sent by UUT after Step 3 is = 5,	
	then stop test with result of FAIL	
	SAS Test Harness sends a Heartbeat Response message, containing all	
	required parameters properly formatted, and specifically:	
	• cbsdld = C	
6	• grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	• responseCode = 0	
	Go to Step 4, above	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 33 of 48 Report Issued Date : Oct. 28, 2024

# 4.20 [WINNF.FT.C.MES.4] Heartbeat Response contains measReportConfig

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:</li> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with cbsdld=C and measCapability =         "RECEIVED_POWER_WITH_GRANT"</li> <li>UUT has received a valid grant with grantId = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> <li>Grant has heartbeatInterval = 60 seconds</li> </ul>	
2	UUT sends a Heartbeat Request message.  Verify Heartbeat Request message contains all required parameters properly formatted, and specifically:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	PASS
3	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically:  • cbsdld = C  • grantld = G  • measReportConfig= "RECEIVED_POWER_WITH_GRANT"  • responseCode = 0	
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically:  • cbsdld = C  • grantId = G  • operationState = "AUTHORIZED"	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date: Oct. 28, 2024

Report Version : 01

Page Number

: 34 of 48

Report No.: FG482211C

5	If Heartbeat Request message (step 4) contains measReport object,	
	then:	
	<ul> <li>verify measReport is properly formatted as object</li> </ul>	
	rcvdPowerMeasReport	
	end test, with PASS result	PASS
	else, if Heartbeat Request message (step 4) does not contain	
	measReport object, then:	
	<ul> <li>If number of Heartbeat Requests sent by UUT after Step 3 is = 5,</li> </ul>	
	then stop test with result of FAIL	
6	SAS Test Harness sends a Heartbeat Response message, containing all	
	required parameters properly formatted, and specifically:	
	• cbsdld = C	
	● grantId = G	
	• responseCode = 0	
	Go to Step 4, above	

Report No. : FG482211C

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024 Report Version : 01

Page Number

: 35 of 48

# 4.21 [WINNF.FT.C.RLQ.1] Successful Relinquishment

#	Test Execution Steps	Results
1	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with SAS Test Harness	
	<ul> <li>UUT has successfully registered with SAS Test Harness, with cbsdld=C</li> </ul>	
	<ul> <li>UUT has received a valid grant with grantId = G</li> </ul>	
	UUT is in Grant State AUTHORIZED and is actively	
	transmitting within the bounds of its grant.	
	Invoke trigger to relinquish UUT Grant from the SAS Test Harness	
2	UUT sends a Relinquishment Request message. Verify message contains	
	all required parameters properly formatted, and specifically:	PASS
	• cbsdld = C	
	• grantId = G	
3	SAS Test Harness shall approve the request with a Relinquishment	
	Response message with parameters:	
	- cbsdld = C	
	− grantId = G	
	- responseCode = 0	
4	After completion of step 3, SAS Test Harness will not provide any	
	additional positive response (responseCode=0) to further request	
	messages from the UUT.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after	PASS
	Step 3 is complete. This is the end of the test. Verify:	
	UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request	

Report No.: FG482211C

: 36 of 48

Page Number

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158 Report Issued Date : Oct. 28, 2024 Report Version : 01

# 4.22 [WINNF.FT.C.DRG.1] Successful Deregistration

#	Test Execution Steps	Results
1	<ul> <li>Ensure the following conditions are met for test entry:         <ul> <li>UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>UUT has successfully registered with SAS Test Harness, with cbsdld=C</li> <li>UUT has received a valid grant with grantId = G</li> <li>UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> </li> <li>Invoke trigger to deregister UUT from the SAS Test Harness</li> </ul>	
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0	
3	UUT sends Deregistration Request to SAS Test Harness with cbsdld = C.	PASS
4	SAS Test Harness shall approve the request with a Deregistration Response message with parameters:  • cbsdld = C  • responseCode = 0	
5	After completion of step 3, SAS Test Harness will not provide any additional positive response (responseCode=0) to further request messages from the UUT.	
6	<ul> <li>Monitor the RF output of the UUT from start of test until 60 seconds after</li> <li>Step 4 is complete. This is the end of the test. Verify:</li> <li>UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs:</li> <li>A. UUT sending a Registration Request message, as this is not mandatory</li> <li>B. UUT sending a Deregistration Request message</li> </ul>	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 37 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.23 [WINNF.FT.C.SCS.1] Successful TLS connection between UUT and SAS Test Harness

#	Test Execution Steps	Results
1	<ul> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	PASS
2	<ul> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected,</li> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA2 56</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA3 84</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul>	PASS
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.  • UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdld.	PASS
4	Monitor the RF output of the UUT from start of test until 60 seconds after  Step 3 is complete. This is the end of the test. Verify:  • UUT shall not transmit RF	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 38 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

## 4.24 [WINNF.FT.C.SCS.2] TLS failure due to revoked certificate

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
2	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	PASS
3	UUT may retry for the security procedure which shall fail	PASS
4	SAS Test-Harness shall not receive any Registration request or any application data.	
5	Monitor the RF output of the UUT from start of test until 60 seconds after  Step 3 is complete. This is the end of the test. Verify:  • UUT shall not transmit RF	PASS

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 39 of 48 Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

# 4.25 [WINNF.FT.C.SCS.3] TLS failure due to expired server certificate

#	Test Execution Steps	Results
1	UUT shall start CBSD-SAS communication with the security procedures	PASS
	Make sure that UUT uses TLS v1.2 for security establishment.	
	Make sure UUT selects the correct cipher suite.      INT shall you ODE as OCCE to your for the control of the control.	
2	<ul> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> </ul>	PASS
	Make sure that Mutual authentication does not happen between UUT	
	and the SAS Test Harness.	
3	UUT may retry for the security procedure which shall fail.	PASS
4	SAS Test-Harness shall not receive any Registration request or any	
4	application data.	
	Monitor the RF output of the UUT from start of test until 60 seconds after	
5	Step 3 is complete. This is the end of the test. Verify:	PASS
	UUT shall not transmit RF	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

: 40 of 48 Page Number Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

### 4.26 [WINNF.FT.C.SCS.4] TLS failure when SAS Test Harness certificate is issued by an unknown CA

#	Test Execution Steps	Results		
1	UUT shall start CBSD-SAS communication with the security procedures	PASS		
	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> </ul>			
2	UUT shall use CRL or OCSP to verify the validity of the server certificate	PASS		
	<ul> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>			
3	UUT may retry for the security procedure which shall fail.	PASS		
4	SAS Test-Harness shall not receive any Registration request or any application data.			
	Monitor the RF output of the UUT from start of test until 60 seconds after			
5	Step 3 is complete. This is the end of the test. Verify:	PASS		
	UUT shall not transmit RF			

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

# 4.27 [WINNF.FT.C.SCS.5] TLS failure when certificate at the SAS Test Harness is corrupted

#	Test Execution Steps	Results			
1	UUT shall start CBSD-SAS communication withthe security procedures	PASS			
	<ul> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> </ul>				
2	UUT shall use CRL or OCSP to verify the validity of the server certificate.	PASS			
	<ul> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>				
3	UUT may retry for the security procedure which shall fail. PASS				
4	SAS Test-Harness shall not receive any Registration request or any application data.				
	Monitor the RF output of the UUT from start of test until 60 seconds after				
5	Step 3 is complete. This is the end of the test. Verify:	PASS			
	UUT shall not transmit RF				

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Page Number : 42 of 48 Report Issued Date: Oct. 28, 2024

Report No.: FG482211C

## 4.28 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

#	Test Execution Steps	Results
	Ensure the following conditions are met for test entry:	
	UUT has successfully completed SAS Discovery and	
	Authentication with the SAS Test Harness	
	<ul> <li>UUT has registered with the SAS, with CBSD ID = C</li> </ul>	
	UUT has a single valid grant G with parameters {lowFrequency	
	= FL, highFrequency = FH, maxEirp = Pi}, with grant in	
	AUTHORIZED state, and grantExpireTime set to a value far past	
1	the duration of this test case	
'		
	Note: in order for the UUT to request a grant with the parameters	
	{lowFrequency, highFrequency, maxEirp), the SAS Test Harness may need	
	to provide appropriate guidance in the availableChannel object of the	
	spectrumInquiry response message, and the operationParam object of the	
	grant response message. Alternately, the UUT vendor may provide the ability	
	to set those parameters on the UUT so that the UUT will request a grant with	
	those parameters.	
	UUT and SAS Test Harness perform a series of Heartbeat Request/Response	
	cycles, which continues until the other test steps are complete. Messaging for	
	each cycle is as follows:	
	UUT sends Heartbeat Request, including:	
	○ cbsdld = C	
2	○ grantId = G	
_	SAS Test Harness responds with Heartbeat Response,	
	including:	
	○ cbsdld = C	
	○ grantId = G	
	<ul> <li>transmitExpireTime = current UTC time + 200 seconds</li> </ul>	
	o responseCode = 0	

Sporton International Inc. (Kunshan)

TEL: +86-512-57900158

Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

Report Version : 01

Page Number

	Tester performs power measurement on RF interface(s) of UUT, and verifies it	
	complies with the maxEirp setting, Pi. The RF measurement method is out of	
	scope of this document, but may include additional configuration of the UUT, as	
	required, to fulfil the requirements of the power measurement method.	
3		PASS
	Note: it may be required for the vendor to provide a method or	
	configuration to bring the UUT to a mode which is required by the	
	measurement methodology. Any such mode is vendor-specific and	
	depends upon UUT behavior and the measurement methodology.	

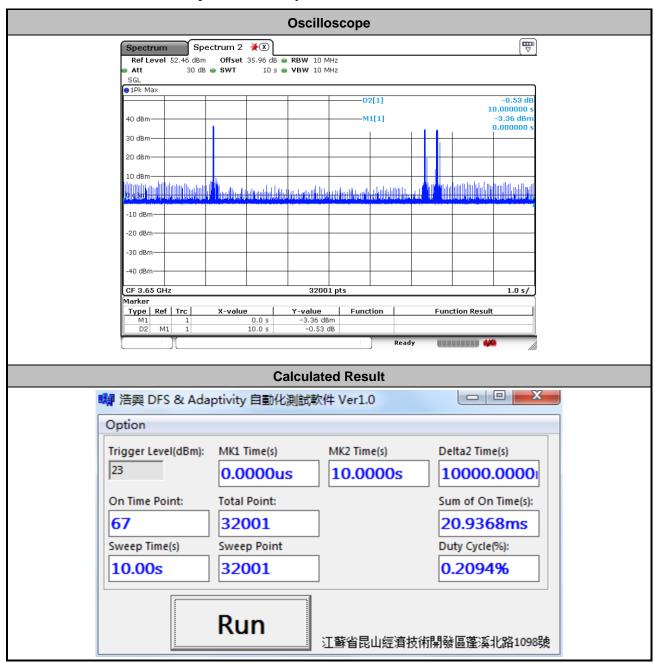
 Sporton International Inc. (Kunshan)
 Page Number
 : 44 of 48

 TEL: +86-512-57900158
 Report Issued Date
 : Oct. 28, 2024

Report Version : 01

### 5. Result of Time test for getting Grant

#### 5.1 1 second within any 10-second period



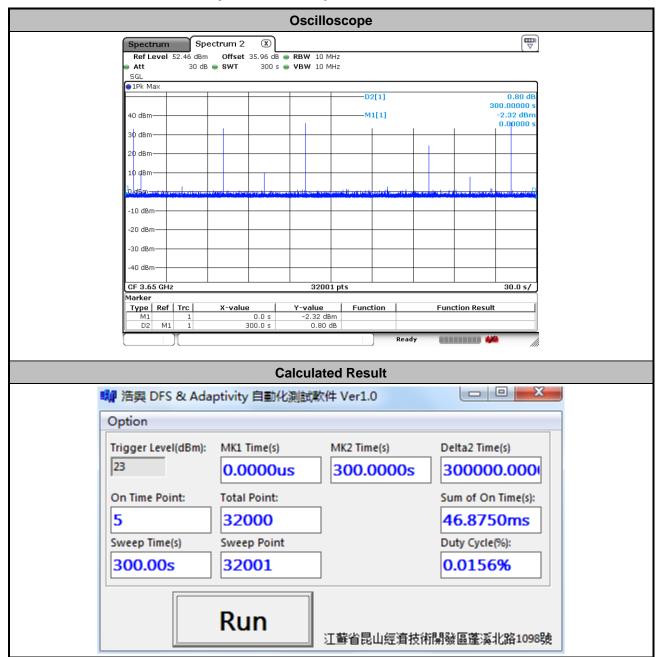
The sum of On Time (aggregated time from marker 1 to 2): 20.9368ms < 1s, Pass.

TEL: +86-512-57900158

Page Number : 45 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

#### 5.2 10 seconds within any 300-second period



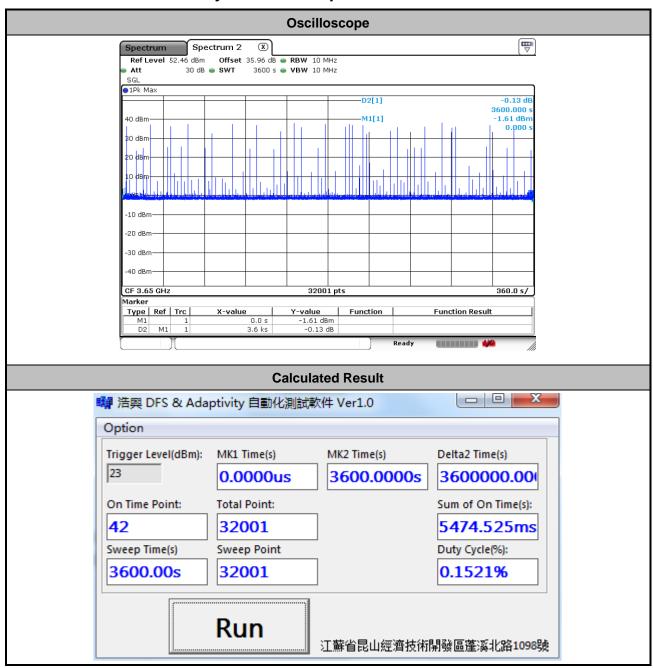
The sum of On Time (aggregated time from marker 1 to 2): 46.8750 ms < 10s, Pass.

TEL: +86-512-57900158

Page Number : 46 of 48
Report Issued Date : Oct. 28, 2024

Report No.: FG482211C

#### 5.3 20 seconds within any 3600-second period



The sum of On Time (aggregated time from marker 1 to 2): 5474.525ms < 20s, Pass.

TEL: +86-512-57900158

Page Number : 47 of 48
Report Issued Date : Oct. 28, 2024

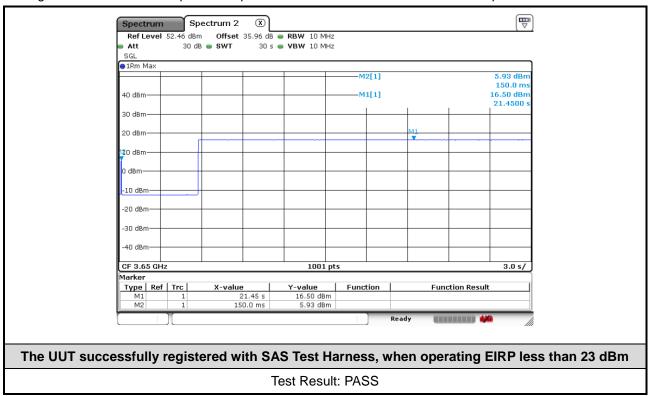
Report No.: FG482211C

#### 6. UUT register with the SAS irrespective of power levels

#### 6.1 Test Procedure

- Ensure the UUT power be below 23 dBm EIRP.
- 2. Make SAS test harness to grant UUT power level above 23 dBm EIRP.
- 3. Enable UUT, then check UUT power will follow the power limit that SAS test harness authorized.

Result The UUT can register with SAS under above operating conditions to meet the FCC criteria that the UUT will register with the SAS irrespective of power levels at which the device is set to operate – even below 23 dBm.



Note: The Ref Offset 35.96 dB includes the antenna gain 19.46 dBi and cable path loss 16.5 dB.

Marker 1: The UUT successfully registered with SAS Test Harness, when operating 5.93 dBm EIRP.

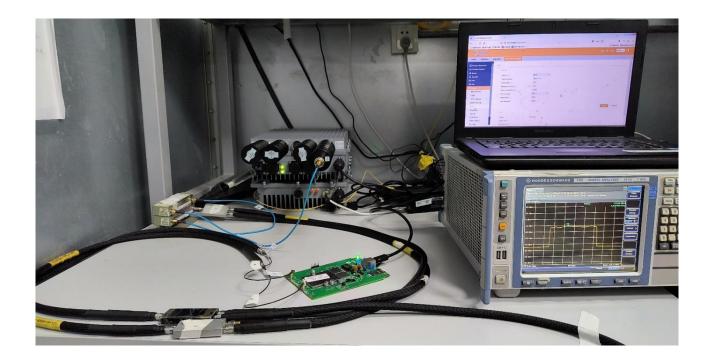
**Marker 2:** After the UUT granted/authorized by the SAS, it can transmit with power less than the maxEIRP granted from SAS.

#### **END of this report**

Sporton International Inc. (Kunshan)Page Number: 48 of 48TEL: +86-512-57900158Report Issued Date: Oct. 28, 2024

Report Version : 01

# **Appendix A. Setup Plot**



Page Number

: A1-1 of A1



# Appendix B. RF measurement plots

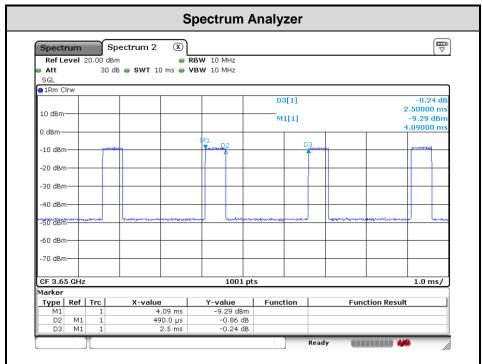
### **B.1 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement**

Report Clause 4.28 [WINNF.PT.C.HBT] UUT RF Transmit Power Measurement

Center Frequency [MHz]	Bandwidth [MHz]	Granted maxEIRP [dBm/MHz]	Conducted PSD [dBm/MHz]	Duty Cycle Factor [dB]	Antenna Gain [dBi]	Additional MIMO Gain	UUT MaxEIRP [dBm/MHz]			
		26	-5.56				23.99			
		24	-6.06	7.08						23.49
3650	40	22	-8.01		19.46	3.01	21.54			
3030	40	20	-10.46	7.00	19.40	3.01	19.09			
		18	-11.9				17.65			
		17	-12.9				16.65			

Note: The total path loss is offset with 16.5 dB.

#### **Duty Cycle factor:**



Note: The duty cycle value is 19.60%, add 10log(1/duty cycle) to the measured power level to compute the average power during continuous transmission.

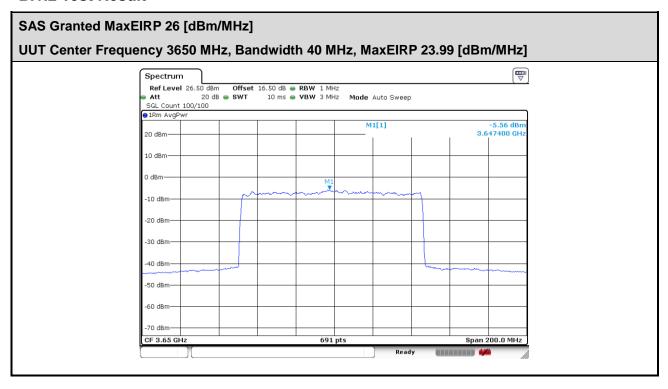
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#### **B.1.1 Test Procedure**

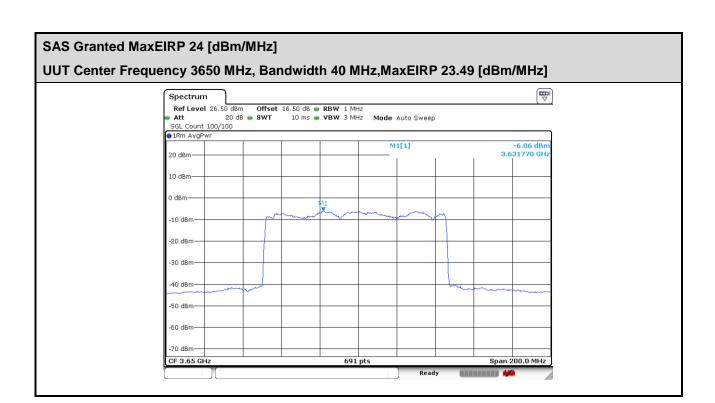
[WINNF.PT.C.HBT] UUT RF Transmit Power Measurement defined in clause 4.28 of this test report.

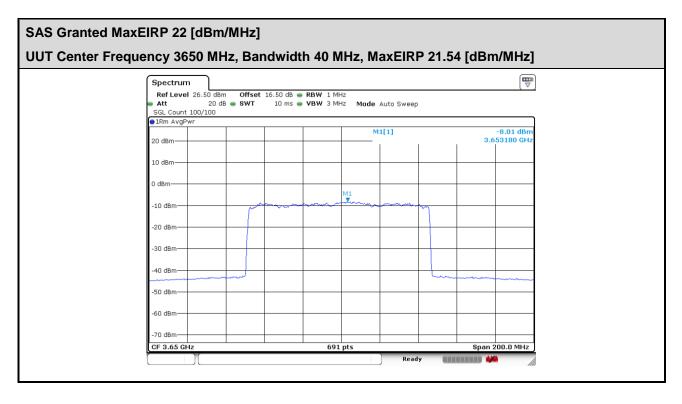
#### **B.1.2 Test Result**

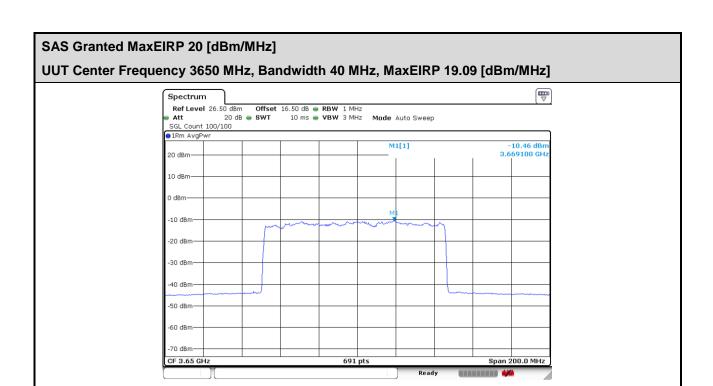


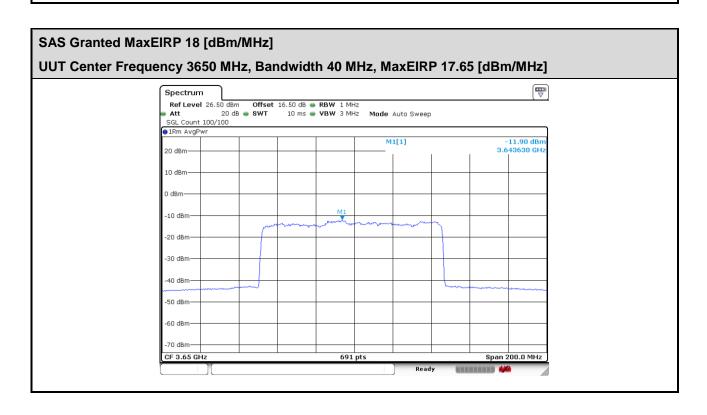
Page Number

: B2 of B5





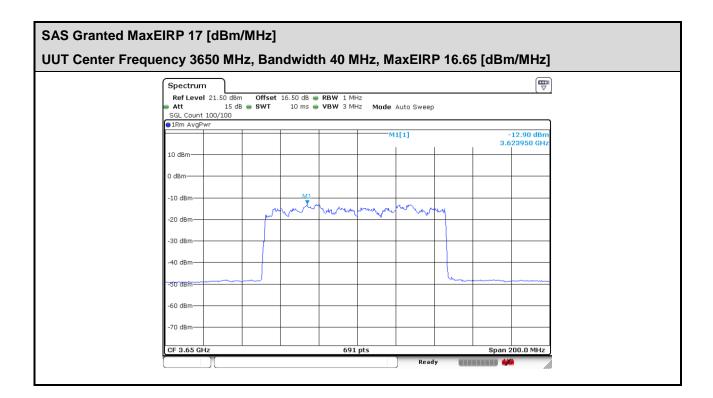




Page Number

: B4 of B5





Page Number : B5 of B5

Report No.: FG482211B

TEL: +86-512-57900158