



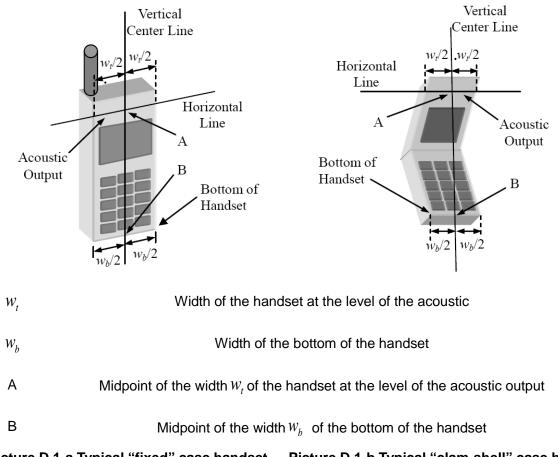
Picture C.10: SAM Twin Phantom



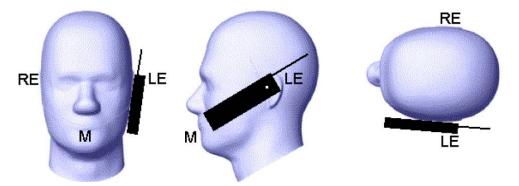
## ANNEX D Position of the wireless device in relation to the phantom

#### **D.1 General considerations**

This standard specifies two handset test positions against the head phantom – the "cheek" position and the "tilt" position.

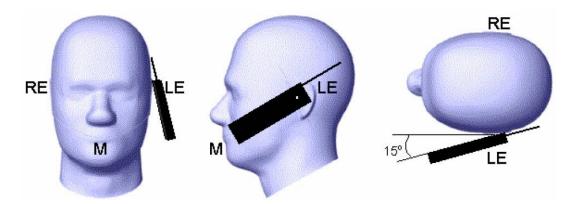


Picture D.1-a Typical "fixed" case handset Picture D.1-b Typical "clam-shell" case handset



Picture D.2 Cheek position of the wireless device on the left side of SAM

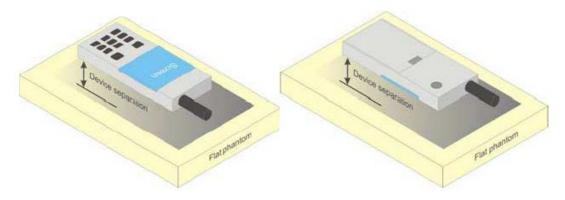




Picture D.3 Tilt position of the wireless device on the left side of SAM

#### D.2 Body-worn device

A typical example of a body-worn device is a mobile phone, wireless enabled PDA or other battery operated wireless device with the ability to transmit while mounted on a person's body using a carry accessory approved by the wireless device manufacturer.



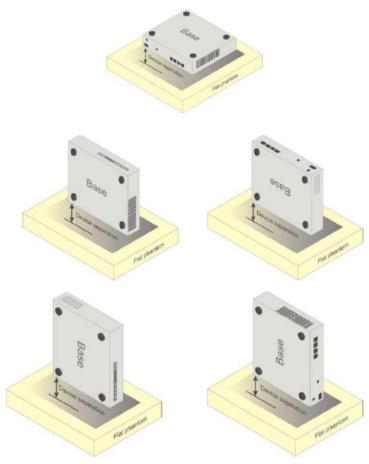
Picture D.4Test positions for body-worn devices

#### D.3 Desktop device

A typical example of a desktop device is a wireless enabled desktop computer placed on a table or desk when used.

The DUT shall be positioned at the distance and in the orientation to the phantom that corresponds to the intended use as specified by the manufacturer in the user instructions. For devices that employ an external antenna with variable positions, tests shall be performed for all antenna positions specified. Picture8.5 show positions for desktop device SAR tests. If the intended use is not specified, the device shall be tested directly against the flat phantom.





Picture D.5 Test positions for desktop devices

D.4 DUT Setup Photos



Picture D.6



## ANNEX E Equivalent Media Recipes

The liquid used for the frequency range of 800-3000 MHz consisted of water, sugar, salt, preventol, glycol monobutyl and Cellulose. The liquid has been previously proven to be suited for worst-case. The Table E.1 shows the detail solution. It's satisfying the latest tissue dielectric parameters requirements proposed by the IEEE 1528 and IEC 62209.

Frequency	835Head	835Body	1900	1900	2450	2450	5800	5800	
(MHz)	ooonicaa	OSSIDUUy	Head	Body	Head	Body	Head	Body	
Ingredients (% by	v weight)								
Water	41.45	52.5	55.242	69.91	58.79	72.60	65.53	65.53	
Sugar	56.0	45.0	١	١	\	١	\	١	
Salt	1.45	1.4	0.306	0.13	0.06	0.18	\	١	
Preventol	0.1	0.1	١	١	\	١	\	١	
Cellulose	1.0	1.0	١	١	\	١	\	١	
Glycol	1	1	44.452	29.96	41.15	27.22	١	1	
Monobutyl	١	١	44.452	29.90	41.15	21.22	١	١	
Diethylenglycol	1	1	1	N	N	N	17.24	17.24	
monohexylether	۸	١	١	١	١	١	17.24	17.24	
Triton X-100	١	١	١	١	\	١	17.24	17.24	
Dielectric	ε=41.5	ε=55.2	ε=40.0	ε=53.3	ε=39.2	ε=52.7	ε=35.3	ε=48.2	
Parameters									
Target Value	σ=0.90	σ=0.97	σ=1.40	σ=1.52	σ=1.80	σ=1.95	σ=5.27	σ=6.00	

Note: There are a little adjustment respectively for 750, 1750, 2600, 5200, 5300 and 5600 based on the recipe of closest frequency in table E.1.

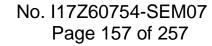


## ANNEX F System Validation

The SAR system must be validated against its performance specifications before it is deployed. When SAR probes, system components or software are changed, upgraded or recalibrated, these must be validated with the SAR system(s) that operates with such components.

Probe SN.	Liquid name	Validation date	Frequency point	Status (OK or Not)
3846	Head 750MHz	Jan.19,2017	750 MHz	OK
3846	Head 850MHz	Jan.19,2017	850 MHz	OK
3846	Head 900MHz	Jan.18,2017	900 MHz	OK
3846	Head 1750MHz	Jan.17,2017	1750 MHz	OK
3846	Head 1810MHz	Jan.17,2017	1810 MHz	OK
3846	Head 1900MHz	Jan.16,2017	1900 MHz	OK
3846	Head 1950MHz	Jan.16,2017	1950 MHz	OK
3846	Head 2000MHz	Jan.16,2017	2000 MHz	OK
3846	Head 2100MHz	Jan.16,2017	2100 MHz	OK
3846	Head 2300MHz	Jan.15,2017	2300 MHz	OK
3846	Head 2450MHz	Jan.15,2017	2450 MHz	OK
3846	Head 2550MHz	Jan.15,2017	2550 MHz	OK
3846	Head 2600MHz	Jan.15,2017	2600 MHz	OK
3846	Head 3500MHz	Jan.14,2017	3500 MHz	OK
3846	Head 3700MHz	Jan.14,2017	3700 MHz	OK
3846	Head 5200MHz	Jan.13,2017	5200 MHz	OK
3846	Head 5500MHz	Jan.13,2017	5500 MHz	OK
3846	Head 5800MHz	Jan.13,2017	5800 MHz	OK
3846	Body 750MHz	Jan.19,2017	750 MHz	OK
3846	Body 850MHz	Jan.19,2017	850 MHz	OK
3846	Body 900MHz	Jan.18,2017	900 MHz	OK
3846	Body 1750MHz	Jan.17,2017	1750 MHz	OK
3846	Body 1810MHz	Jan.17,2017	1810 MHz	OK
3846	Body 1900MHz	Jan.16,2017	1900 MHz	OK
3846	Body 1950MHz	Jan.16,2017	1950 MHz	OK
3846	Body 2000MHz	Jan.16,2017	2000 MHz	OK
3846	Body 2100MHz	Jan.16,2017	2100 MHz	OK
3846	Body 2300MHz	Jan.15,2017	2300 MHz	OK
3846	Body 2450MHz	Jan.15,2017	2450 MHz	OK
3846	Body 2550MHz	Jan.15,2017	2550 MHz	OK
3846	Body 2600MHz	Jan.15,2017	2600 MHz	OK
3846	Body 3500MHz	Jan.14,2017	3500 MHz	OK
3846	Body 3700MHz	Jan.14,2017	3700 MHz	OK
3846	Body 5200MHz	Jan.13,2017	5200 MHz	OK
3846	Body 5500MHz	Jan.13,2017	5500 MHz	OK
3846	Body 5800MHz	Jan.13,2017	5800 MHz	OK

#### Table F.1: System Validation for 3846





## ANNEX G Probe Calibration Certificate

#### Probe 3846 Calibration Certificate

Client CTT CALIBRATION C Object Calibration Procedure(s)	633-2218 Fax: +8( Http://w FL ERTIFICATE EX3DV4	ct, Beijing, 100191, China 5-10-62304633-2209 www.chinattl.cn Certificate No: Z16-9	7251				
Client CTT CALIBRATION CI Object	ERTIFICATE	Certificate No: Z16-9	7251				
CALIBRATION CI	ERTIFICATE						
Object	EX3DV4						
		- SN:3846					
Calibration Procedure(s)							
		FD-Z11-004-01					
		004-01 on Procedures for Dosimetric E-field Probes					
0 11 11 1							
Calibration date:	January	13, 2017					
This calibration Certificate	documents the tr	aceability to national standards, which real	ize the physical units of				
		ne uncertainties with confidence probability a	ire given on the following				
pages and are part of the ce	ertificate.						
All calibrations have been	conducted in th	e closed laboratory facility: environment	temperature(22±3)°C and				
humidity<70%.							
Calibration Equipment used	(M&TE critical for	calibration)					
Primary Standards	ID# (	Cal Date(Calibrated by, Certificate No.)	Scheduled Calibration				
Power Meter NRP2	101919		ochequied Galibration				
		27-Jun-16 (CTTL, No.J16X04777)	Jun-17				
Power sensor NRP-Z91	101547	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777)					
Power sensor NRP-Z91 Power sensor NRP-Z91	101547 101548	and a second second and a second s	Jun-17				
	101548	27-Jun-16 (CTTL, No.J16X04777)	Jun-17 Jun-17				
Power sensor NRP-Z91	101548 18N50W-10dB	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777)	Jun-17 Jun-17 Jun-17				
Power sensor NRP-Z91 Reference10dBAttenuator	101548 18N50W-10dB 18N50W-20dB	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL,No.J16X01547)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator	101548 18N50W-10dB 18N50W-20dB	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL,No.J16X01547) 13-Mar-16(CTTL, No.J16X01548)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID #	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776) 26-Jan-16 (CTTL, No.J16X00894)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673 Name	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17 Jan -17 Signature				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776) 26-Jan-16 (CTTL, No.J16X00894)	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17 Jan -17				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673 Name Yu Zongying	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776) 26-Jan-16 (CTTL, No.J16X00894) Function SAR Test Engineer	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17 Jan -17 Signature				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673 Name	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776) 26-Jan-16 (CTTL, No.J16X00894) Function	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17 Jan -17 Signature				
Power sensor NRP-Z91 Reference10dBAttenuator Reference20dBAttenuator Reference Probe EX3DV4 DAE4 Secondary Standards SignalGeneratorMG3700A Network Analyzer E5071C	101548 18N50W-10dB 18N50W-20dB SN 7433 SN 1331 ID # 6201052605 MY46110673 Name Yu Zongying	27-Jun-16 (CTTL, No.J16X04777) 27-Jun-16 (CTTL, No.J16X04777) 13-Mar-16(CTTL, No.J16X01547) 13-Mar-16(CTTL, No.J16X01548) 26-Sep-16(SPEAG, No.EX3-7433_Sep16) 21-Jan-16(SPEAG, No.DAE4-1331_Jan16) Cal Date(Calibrated by, Certificate No.) 27-Jun-16 (CTTL, No.J16X04776) 26-Jan-16 (CTTL, No.J16X00894) Function SAR Test Engineer	Jun-17 Jun-17 Jun-17 Mar-18 Mar-18 Sep-17 Jan -17 Scheduled Calibration Jun-17 Jan -17 Signature				

Certificate No: Z16-97251

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#### Glossary:

TSL	tissue simulating liquid
NORMx, y, z	sensitivity in free space
ConvF	sensitivity in TSL / NORMx,y,z
DCP	diode compression point
CF	crest factor (1/duty_cycle) of the RF signal
A,B,C,D	modulation dependent linearization parameters
Polarization Φ	Φ rotation around probe axis
Polarization θ	θ rotation around an axis that is in the plane normal to probe axis (at measurement center), i
	$\theta$ =0 is normal to probe axis

Connector Angle information used in DASY system to align probe sensor X to the robot coordinate system Calibration is Performed According to the Following Standards:

- a) IEEE Std 1528-2013, "IEEE Recommended Practice for Determining the Peak Spatial-Averaged Specific Absorption Rate (SAR) in the Human Head from Wireless Communications Devices: Measurement Techniques", June 2013
- b) IEC 62209-1, "Procedure to measure the Specific Absorption Rate (SAR) for hand-held devices used in close proximity to the ear (frequency range of 300MHz to 3GHz)", February 2005
- c) IEC 62209-2, "Procedure to determine the Specific Absorption Rate (SAR) for wireless communication devices used in close proximity to the human body (frequency range of 30 MHz to 6 GHz)", March 2010
- d) KDB 865664, "SAR Measurement Requirements for 100 MHz to 6 GHz"

#### Methods Applied and Interpretation of Parameters:

- NORMx, y, z: Assessed for E-field polarization θ=0 (f≤900MHz in TEM-cell; f>1800MHz: waveguide). NORMx, y, z are only intermediate values, i.e., the uncertainties of NORMx, y, z does not effect the E<sup>2</sup>-field uncertainty inside TSL (see below ConvF).
- NORM(f)x, y, z = NORMx, y, z\* frequency\_response (see Frequency Response Chart). This linearization is implemented in DASY4 software versions later than 4.2. The uncertainty of the frequency response is included in the stated uncertainty of ConvF.
- DCPx, y, z: DCP are numerical linearization parameters assessed based on the data of power sweep (no uncertainty required). DCP does not depend on frequency nor media.
- PAR: PAR is the Peak to Average Ratio that is not calibrated but determined based on the signal characteristics.
- Ax,y,z; Bx,y,z; Cx,y,z; VRx,y,z:A,B,C are numerical linearization parameters assessed based on the data of power sweep for specific modulation signal. The parameters do not depend on frequency nor media. VR is the maximum calibration range expressed in RMS voltage across the diode.
- ConvF and Boundary Effect Parameters: Assessed in flat phantom using E-field (or Temperature Transfer Standard for f≤800MHz) and inside waveguide using analytical field distributions based on power measurements for f >800MHz. The same setups are used for assessment of the parameters applied for boundary compensation (alpha, depth) of which typical uncertainty valued are given. These parameters are used in DASY4 software to improve probe accuracy close to the boundary. The sensitivity in TSL corresponds to NORMx,y,z\* ConvF whereby the uncertainty corresponds to that given for ConvF. A frequency dependent ConvF is used in DASY version 4.4 and higher which allows extending the validity from±50MHz to±100MHz.
- Spherical isotropy (3D deviation from isotropy): in a field of low gradients realized using a flat phantom exposed by a patch antenna.
- Sensor Offset: The sensor offset corresponds to the offset of virtual measurement center from the probe tip (on probe axis). No tolerance required.
- Connector Angle: The angle is assessed using the information gained by determining the NORMx (no uncertainty required).

Certificate No: Z16-97251

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# Probe EX3DV4

## SN: 3846

Calibrated: January 13, 2017

Calibrated for DASY/EASY Systems (Note: non-compatible with DASY2 system!)

Certificate No: Z16-97251

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### DASY/EASY – Parameters of Probe: EX3DV4 – SN: 3846

#### **Basic Calibration Parameters**

	Sensor X	Sensor Y	Sensor Z	Unc (k=2)
Norm(µV/(V/m) <sup>2</sup> ) <sup>A</sup>	0.39	0.47	0.47	±10.8%
DCP(mV) <sup>B</sup>	99.4	98.9	99.6	

#### **Modulation Calibration Parameters**

UID	Communication		A	В	С	D	VR	Unc <sup>E</sup>
	System Name		dB	dBõV		dB	mV	(k=2)
0	CW	х	0.0	0.0	1.0	0.00	175.0	±2.1%
		Y	0.0	0.0	1.0		188.3	
		Z	0.0	0.0	1.0		190.7	

The reported uncertainty of measurement is stated as the standard uncertainty of Measurement multiplied by the coverage factor k=2, which for a normal distribution Corresponds to a coverage probability of approximately 95%.

<sup>A</sup> The uncertainties of Norm X, Y, Z do not affect the E<sup>2</sup>-field uncertainty inside TSL (see Page 5 and Page 6). <sup>B</sup> Numerical linearization parameter: uncertainty not required.

<sup>E</sup> Uncertainly is determined using the max. deviation from linear response applying rectangular distribution and is expressed for the square of the field value.

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