



TEST REPORT

Application No.: SZCR2106021354AT
Applicant: Baicells Technologies Co., Ltd.
Address of Applicant: 9-10F, 1st Bldg., No. 81 Beiqing Road, Haidian District, Beijing, China
Manufacturer: Baicells Technologies Co., Ltd.
Address of Manufacturer: 9-10F, 1st Bldg., No. 81 Beiqing Road, Haidian District, Beijing, China
Equipment Under Test (EUT):
EUT Name: LTE Base Station
Model No.: sBS71010
Trade Mark: Baicells
FCC ID: 2AG32SBS71010
Standard(s) : CBRSA-TS-9001-V1.0.0
WINNF-TS-0122-V1.0
FCC 47 CFR Part 96
KDB 940660 D01
Date of Receipt: 2021-06-15
Date of Test: 2021-06-24 to 2021-06-29
Date of Issue: 2021-06-29

Test Result:	Pass*
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* In the configuration tested, the EUT complied with the standards specified above.

Keny Xu

Keny Xu
EMC Laboratory Manager



SGS-CSTC Standards Technical Services Co., Ltd.
Shenzhen Branch, EMC Laboratory

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Revision Record				
Version	Chapter	Date	Modifier	Remark
01		2021-06-29		Original

Authorized for issue by:				
		Calvin Weng		
		Calvin Weng /Project Engineer		
		Eric Fu		
		Eric Fu /Reviewer		



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2 Test Summary

Item	Standard	Test Case ID	Result
Domain Proxy Multi-Step registration	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.2	Pass
Domain Proxy Single-Step registration for CBSD with CPI signed data	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.6	Pass
Domain Proxy Missing Required parameters (responseCode 102)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.9	Pass
Domain Proxy Pending registration (responseCode 200)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.11	Pass
Domain Proxy Invalid parameters (responseCode 103)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.13	Pass
Domain Proxy Blacklisted CBSD (responseCode 101)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.15	Pass
Domain Proxy Unsupported SAS protocol version responseCode 100)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.17	Pass
Domain Proxy Group Error (responseCode 201)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.REG.19	Pass
Unsuccessful Grant responseCode=400 (INTERFERENCE)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.GRA.1	Pass
Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.GRA.2	Pass
Domain Proxy Heartbeat Success Case (first Heartbeat Response)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.HBT.2	Pass
Heartbeat responseCode=105 (DEREGISTER)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.3	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.5	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.6	Pass
Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.7	Pass
Domain Proxy Heartbeat responseCode=500 (TEMINATED_GRANT)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.HBT.8	Pass
Heartbeat Response Absent (First Heartbeat)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.9	Pass
Heartbeat Response Absent (Subsequent Heartbeat)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.10	Pass
Domain Proxy Registration Response contains measReportConfig	WINNF-TS-0122-V1.0.0	WINNF.FT.D.MES.2	Pass



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Item	Standard	Test Case ID	Result
Grant Response contains measReportConfig	WINNF-TS-0122-V1.0.0	WINNF.FT.C.MES.3	Pass
Domain Proxy Heartbeat Response contains measReportConfig	WINNF-TS-0122-V1.0.0	WINNF.FT.D.MES.5	Pass
Domain Proxy Successful Relinquishment	WINNF-TS-0122-V1.0.0	WINNF.FT.D.RLQ.2	Pass
Domain Proxy Successful Deregistration	WINNF-TS-0122-V1.0.0	WINNF.FT.D.DRG.2	Pass
Successful TLS connection between UUT and SAS Test Harness	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.1	Pass
TLS failure due to revoked certificate	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.2	Pass
TLS failure due to expired server certificate	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.3	Pass
TLS failure when SAS Test Harness certificate is issue by unknown CA	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.4	Pass
TLS failure when certificate at the SAS Test Harness is corrupted	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.5	Pass
UUT RF Transmit Power Measurement	WINNF-TS-0122-V1.0.0	WINNF.PT.C.HBT.1	Pass
SAS Version: 1.0.0.3			

The UUT is a CBSD with Domain Proxy. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

Test standards:

CBRSA-TS-9001-V1.0.0

CBRS Alliance Certification Test Plan

WINNF-TS-0122-V1.0.0

Test and Certification for Citizens Broadband Radio Service (CBRS); Conformance and Performance

Test Technical Specification; CBSD/DP as Unit Under Test (UUT)

940660 D01 Part 96 CBRS Eqpt v03



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4 General Information

4.1 Details of E.U.T.

Power supply:	Adapter Model NO.: HEP-480-54 Input: 100-240V~50/60Hz, 5.5A-2.2A Output: 54.0V, 8.9A
Sample Type:	Fixed device
CBSD Class:	B
Transmitter Frequency Band:	LTE Band 48
Transmitter Frequency Range:	3550~3700MHz
Hardware Version:	B
Software Version:	BaiBU_DNB4_1.3.2
Test sample:	SN1: 1102000466000000010 SN2: 1102000466000000011
Antenna Gain:	15dBi
Note: This is a BTS-CBSD communication with Domain Proxy. Domain Proxy information show as below: Model No. of Domain Proxy: LICA-1513A Software Version of Domain Proxy: BaiOMC_7.0.3	

4.2 Description of CBSD/DP Support Features

Condition	Feature Description	Supported
C1	Mandatory for UUT which supports multi-step registration message.	Y
C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	N
C3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	Y
C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type.	Y
C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	Y
C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	N

Y: Supported

N: Not supported

4.3 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT (CBSD, DP/CBSD)	28	28	100
PT (CBSD, DP/CBSD)	1	1	100
Total	29	29	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WinnForum and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

4.4 Measurement Uncertainty

No.	Item	Measurement Uncertainty
1	Radio Frequency	$\pm 7.25 \times 10^{-8}$
2	RF conducted power	$\pm 0.75\text{dB}$
3	Temperature test	$\pm 1^{\circ}\text{C}$
4	Humidity test	$\pm 3\%$
5	Supply voltages	$\pm 1.5\%$
6	Time	$\pm 3\%$

4.5 Description of Support Units

Description	Manufacturer	Model No.	Serial No.
CPE	SMAWAVE	USB Dongle	/
EPC	Lanner Electronics Inc.	LICA-1513A	LR202002004052
Router	TP-LINK	TL-R860+	1175379002425



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4.6 Test Location

All tests were performed at:

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518057.

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No tests were sub-contracted.



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5 Equipment List

Test Equipment	Manufacturer	Model No.	Inventory No.	Cal Date	Cal Due Date
Laptop (With SAS Test harness)	Lenovo	Pro-13API 2019	REF. No.SEA1800	/	/
Spectrum Analyzer	Keysight	N9020A	SEM004-19	2021-5-31	2022-5-30
Shield Room	SAEMC	MSR433	SEM001-11	2021-03-13	2024-03-12
Coaxial Cable	SGS	N/A	SEM031-01	2020-07-11	2021-07-10
Attenuator	Weinschel Associates	WA41	SEM021-09	N/A	N/A
Splitter	MACOM	2090-6214-00	N/A	N/A	N/A
Humidity/ Temperature Indicator	Shanghai Meteorological Industry Factory	ZJ1-2B	SEM002-03	2020-09-27	2021-09-26



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6 Test Method and Environment

6.1 CBSD/DP Conformance and Performance

Test Requirement: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.0
Test Method: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.0
WINNF-IN-0156_WinnForum_SAS_Test_Harness_CBSD_UUT_Tutorial_v1_0_0_1

6.2 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the DP interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results were recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.



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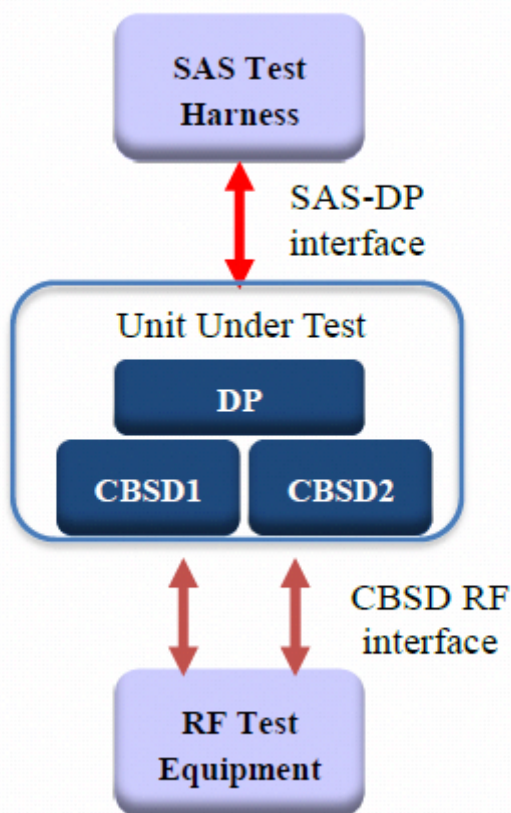
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6.3 Test Environment

Test Harness Version: V1.0.0.3
Operating System: Microsoft Windows 10
TLS Version: 1.2
Python Version: 2.7.13
Environmental Conditions: 25deg. C, 65%RH
Input Power: 120Vac, 60Hz

6.4 Test Setup

- 1) DP is deployed on the network management, and the registration of DP to SAS is to register with SAS according to the granularity of CBSD ID;
- 2) The DP and the network element communicate messages according to the cell granularity, and each CBSDID corresponds to a cell of an RRU which belongs to a base station.



DP/CBSD as UUT, BTS-CBSD communication with Domain Proxy

7 Test Data

7.1 CBSD Registration Process

7.1.1 WINNF.FT.D.REG.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: - UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness - UUT is in the Unregistered state	--	--
2	DP with two CBSD sends correct Registration request information, as specified in [n.5], in the form of one 2-element Array or as individual messages to the SAS Test Harness: - The required userId, fcId and cbsdSerialNumber registration parameters shall be sent for each CBSD and conform to proper format and acceptable ranges. - Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges. Note: It is outside the scope of this document to test the Registration information that is supplied via another means.	■ Pass	□ Fail
3	- SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or individual messages as follows: - cbsdId = Ci - measReportConfig shall not be included - responseCode = 0 for each CBSD	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	■ Pass	□ Fail

7.1.2 WINNF.FT.D.REG.6

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness ● UUT is in the Unregistered state ● All of the required and REG-Conditional parameters shall be configured and CPI signature provided	--	--
2	DP with two CBSD sends correct Registration request information, as specified in [n.5], in the form of one 2-element Array or as individual messages to the SAS Test Harness: ● The required userId, fcId and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges. ● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.	■ Pass	□ Fail
3	● SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or individual messages as follows: - cbsdId = Ci - measReportConfig shall not be included - responseCode = 0 for each CBSD	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

7.1.3 WINNF.FT.D.REG.9

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 102 for CBSD1 and CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.1.4 WINNF.FT.D.REG.11

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 200 for CBSD1 and CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.1.5 WINNF.FT.D.REG.13

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 0 for CBSD1 - responseCode = 103 for CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

7.1.6 WINNF.FT.D.REG.15

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 0 for CBSD1 - responseCode = 101 for CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	■ Pass	□ Fail

7.1.7 WINNF.FT.D.REG.17

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 100 for CBSD1 and CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.1.8 WINNF.FT.D.REG.19

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT is in the Unregistered state	--	--
2	The DP with two CBSDs sends a Registration request in the form of one 2-element Array or as individual messages to SAS Test Harness.	--	--
3	SAS Test Harness sends a CBSD Registration Response in the form of one 2-element Array or as individual messages as follows: - SAS response does not include a cbsdId. - responseCode = 0 for CBSD1 - responseCode = 201 for CBSD2	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.2 CBSD Spectrum Grant Process

7.2.1 WINNF.FT.C.GRA.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including - cbsdId=C - responseCode = 400	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.2.2 WINNF.FT.C.GRA.2

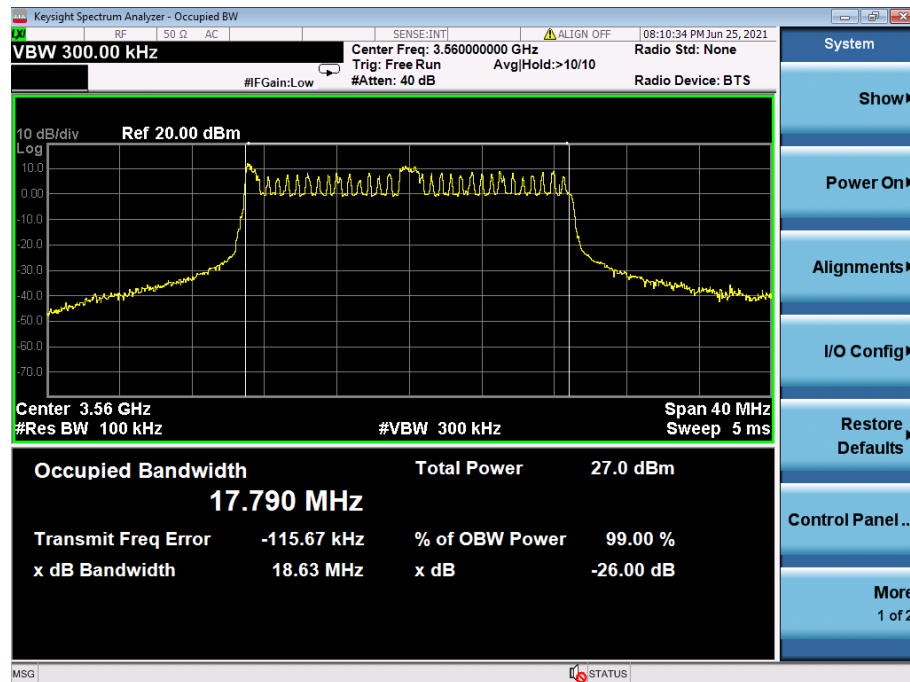
#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness, with cbsdId = C	--	--
2	UUT sends valid Grant Request.	--	--
3	SAS Test Harness sends a Grant Response message, including - cbsdId=C - responseCode = 400	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.3 CBSD HeartBeat Process

7.3.1 WINNF.FT.D.HBT.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: DP has two CBSD registered successfully with SAS Test Harness, with cbsdId = Ci, i={1,2}	--	--
2	DP sends a message: - If message is type Spectrum Inquiry Request, go to step 3, or - If message is type Grant Request, go to step 5	--	--
3	DP sends a Spectrum Inquiry Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: - cbsdId = Ci - List of frequencyRange objects sent by DP are within the CBRS frequency range	■ Pass	□ Fail
4	If a separate Spectrum Inquiry Request message was sent for each CBSD, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array. Verify parameters for each CBSD within the Spectrum Inquiry Response message are as follows, for CBSDi, i={1,2}: - cbsdId = Ci - availableChannel is an array of availableChannel objects - responseCode = 0	--	--
5	DP sends a Grant Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Grant Request message is formatted correctly for each CBSD, including for CBSDi, i={1,2}: - cbsdId = C - maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96 - operationFrequencyRange, Fi, sent by UUT is a valid range within the CBRS band	■ Pass	□ Fail
6	If a separate Grant Request message was sent for each CBSD, the SAS Test Harness shall respond to each Grant Request message with a separate Grant Response message. If a single Grant Request message was sent containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Grant Response message containing a 2-object array. Verify parameters for each CBSD within the Grant Response message are as follows, for CBSDi, i={1,2}: 	--	--

	● cbsdId = Ci ● grantId = Gi = a valid grant ID ● grantExpireTime = UTC time greater than duration of the test ● responseCode = 0		
7	Ensure DP sends first Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message is formatted correctly for each CBSD, including, for CBSDi i={1,2}: ● cbsdId = Ci, i={1,2} ● grantId = Gi, i={1,2} ● operationState = "GRANTED"	☒ Pass	☐ Fail
8	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Verify parameters for each CBSD within the Heartbeat Response message are as follows, for CBSDi: ● cbsdId = Ci ● grantId = Gi ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0	--	--
9	For further Heartbeat Request messages sent from DP after completion of step 8, validate message is sent within latest specified heartbeatInterval for CBSDi: ● cbsdId = Ci ● grantId = Gi ● operationState = "AUTHORIZED" and SAS Test Harness responds with a Heartbeat Response message including the following parameters, for CBSDi ● cbsdId = Ci ● grantId = Gi ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0	☒ Pass	☐ Fail
10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Monitor the RF output of the UUT from start of test until RF transmission commences. Verify: ● UUT does not transmit at any time prior to completion of the first heartbeat response ● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range Fi.	☒ Pass	☐ Fail



7.3.2 WINNF.FT.C.HBT.3

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = T = Current UTC time ● responseCode = 105 (DEREGISTER)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T + 60 seconds) of completion of step 3	☒ Pass	☐ Fail

7.3.3 WINNF.FT.C.HBT.5

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "GRANTED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● responseCode = 501 (SUSPENDED_GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: ● cbsdId = C ● grantId = G ● operationState = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: ● cbsdId = C ● grantId = G Monitor the RF output of the UUT. Verify: ● UUT does not transmit at any time	☒ Pass	☐ Fail

7.3.4 WINNF.FT.C.HBT.6

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● responseCode = 501 (SUSPENDED_GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify either A OR B occurs: A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters: ● cbsdId = C ● grantId = G ● operationState = "GRANTED" B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters: ● cbsdId = C ● grantId = G Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T+60) seconds of completion of step 3	☒ Pass	☐ Fail

7.3.5 WINNF.FT.C.HBT.7

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time ● responseCode = 502 (UNSYNC_OP_PARAM)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the SAS-CBSD interface. Verify: ● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: ○ cbsdId = C ○ grantId = G Monitor the RF output of the UUT. Verify: ● UUT shall stop transmission within (T+60) seconds of completion of step 3	☒ Pass	☐ Fail

7.3.6 WINNF.FT.D.HBT.8

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● DP has two CBSD registered successfully with SAS Test Harness ● Each CBSD {1,2} has a valid single grant as follows ○ valid cbsdId = Ci, i={1,2} ○ valid grantId = Gi, i={1,2} ○ grant is for frequency range Fi, power Pi ○ grantExpireTime = UTC time greater than duration of the test ● Both CBSD are in AUTHORIZED state and transmitting within their granted bandwidth on RF interface	--	--
2	DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of size 2. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly for each CBSD, including, for CBSDi i={1,2}: ● cbsdId = Ci, i = {1,2} ● grantId = Gi, i = {1,2} ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	If separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message should be as follows, for CBSDi: ● cbsdId = Ci ● grantId = Gi ● For CBSD1: ○ transmitExpireTime = current UTC time + 200 seconds ○ responseCode = 0 ● For CBSD2: ○ transmitExpireTime = T = current UTC time ○ responseCode = 500 (TERMINATED_GRANT)	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT. If CBSD sends further Heartbeat Request messages for CBSD1, SAS Test Harness shall respond with a Heartbeat Response message with parameters: ● cbsdId = C1 ● grantId = G1 ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0 ● Heartbeat Request message is within heartbeatInterval of previous Heartbeat Request message	--	--
5	Monitor the RF output of CBSD2. Verify: ● CBSD2 shall stop transmission within bandwidth F2 within (T + 60 seconds) of completion of step 3	☒ Pass	☐ Fail

7.3.7 WINNF.FT.C.HBT.9

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)	--	--
2	UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "GRANTED"	☒ Pass	☐ Fail
3	After completion of step 2, SAS Test Harness does not respond to any further ● messages from UUT to simulate loss of network connection	--	--
4	Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify: ● At any time during the test, UUT shall not transmit on RF interface	☒ Pass	☐ Fail

7.3.8 WINNF.FT.C.HBT.10

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has registered successfully with SAS Test Harness ● UUT has a valid single grant as follows: ○ valid cbsdId = C ○ valid grantId = G ○ grant is for frequency range F, power P ○ grantExpireTime = UTC time greater than duration of the test ● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface	--	--
2	UUT sends a Heartbeat Request message. Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including: ● cbsdId = C ● grantId = G ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	SAS Test Harness sends a Heartbeat Response message, including the following parameters: ● cbsdId = C ● grantId = G ● transmitExpireTime = T = current UTC time + 200 seconds ● responseCode = 0	--	--
4	After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.	--	--
5	Monitor the RF output of the UUT. Verify: ● UUT shall stop all transmission on RF interface within (transmitExpireTime + 60 seconds), using the transmitExpireTime sent in Step 3.	☒ Pass	☐ Fail

7.4 CBSD Measurement Report

7.4.1 WINNF.FT.D.MES.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● DP has successfully completed SAS Discovery and Authentication with SAS Test Harness	--	--
2	DP sends a Registration Request message for each of two CBSD. This may occur in a separate Request message per CBSD, or together in a single Request message with array of 2. Verify Registration Request message contains all required parameters properly formatted for CBSDi, i={1,2}, and specifically: ● userId is present and correct ● fcclId is present and correct ● cbsdSerialNumber is present and correct ● measCapability = "RECEIVED_POWER_WITHOUT_GRANT"	■ Pass	□ Fail
3	If a separate Registration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Registration Request message with a separate Registration Response message. If a single Registration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Registration Response message containing a 2-object array. Parameters for each CBSD within the Registration Response message should be as follows, for CBSDi: ● cbsdId = Ci ● measReportConfig= "RECEIVED_POWER_WITHOUT_GRANT" ● responseCode = 0	--	--
4	UUT sends a message: ● If message is type Spectrum Inquiry Request, go to step 5, or ● If message is type Grant Request, go to step 7	--	--
5	UUT sends message type Spectrum Inquiry Request. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Spectrum Inquiry Request message contains all required parameters properly formatted for CBSDi, i= {1,2}, and specifically: ● cbsdId = Ci ● measReport is present, and is a properly formatted rcvdPowerMeasReport.	■ Pass	□ Fail
6	If a separate Spectrum Inquiry Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Spectrum Inquiry Request message with a separate Spectrum Inquiry Response message. If a single Spectrum Inquiry Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Spectrum Inquiry Response message containing a 2-object array. Parameters for each CBSD within the Spectrum Inquiry Response message should be as follows:	--	--

	● cbsdId = Ci ● availableChannel is an array of availableChannel objects ● responseCode = 0		
7	UUT sends message type Grant Request message. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify the Grant Request message contains all required parameters properly formatted for CBSDi, i= {1,2}, and specifically: ● cbsdId = Ci ● measReport is present, and is a properly formatted rcvdPowerMeasReport.	☒ Pass	☐ Fail

7.4.2 WINNF.FT.C.MES.3

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness ● UUT has successfully registered with SAS Test Harness, with cbsdId=C and measCapability = "RECEIVED_POWER_WITH_GRANT"	--	--
2	UUT sends a Grant Request message. Verify Grant Request message contains all required parameters properly formatted, and specifically: ● cbsdId = C ● operationParam is present and format is valid	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, with the following parameters: ● cbsdId = C ● grantId = G = valid grant ID ● grantExpireTime = UTC time in the future ● heartbeatInterval = 60 seconds ● measReportConfig= "RECEIVED_POWER_WITH_GRANT" ● operationParam is set to valid operating parameters ● channelType = "GAA" ● responseCode = 0	--	--
4	UUT sends a Heartbeat Request message. Verify message contains all required parameters properly formatted, and specifically: ● cbsdId = C ● grantId = G ● operationState = "GRANTED"	■ Pass	□ Fail
5	If Heartbeat Request message (step 4) contains measReport object, then: ● verify measReport is properly formatted as object rcvdPowerMeasReport ● end test, with PASS result else, if Heartbeat Request message (step 4) does not contain measReport object, then: ● If number of Heartbeat Requests sent by UUT after Step 3 is = 5, then stop test with result of FAIL	■ Pass	□ Fail
6	SAS Test Harness sends a Heartbeat Response message, containing all required parameters properly formatted, and specifically: ● cbsdId = C ● grantId = G ● transmitExpireTime = current UTC time + 200 seconds ● responseCode = 0 Go to Step 4, above	--	--

7.4.3 WINNF.FT.D.MES.5

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● DP has successfully completed SAS Discovery and Authentication with SAS Test Harness ● DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdId=Ci, i={1,2} and measCapability = "RECEIVED_POWER_WITH_GRANT" ● DP has received a valid grant with grantId = Gi, i={1,2} for each CBSD ● Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. ● Grants have heartbeatInterval =60 seconds	--	--
2	Verify DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: ● cbsdId = Ci ● grantId = Gi ● operationState = "AUTHORIZED"	☒ Pass	☐ Fail
3	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message containing all required parameters properly formatted, and specifically: ● cbsdId = Ci ● grantId = Gi ● measReportConfig= "RECEIVED_POWER_WITH_GRANT" ● responseCode = 0	--	--
4	Verify DP sends a Heartbeat Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Heartbeat Request message contains all required parameters properly formatted for each CBSD, and specifically, for CBSDi, i = {1,2}: ● cbsdId = Ci ● grantId = Gi ● operationState = "AUTHORIZED" ● Check whether measReport is present, and if present, ensure it is a properly formatted rcvdPowerMeasReport object, and record its reception for each CBSDi, i = {1,2}.	☒ Pass	☐ Fail
5	If Heartbeat Request message (step 4) contains measReport object, then: ● Verify measReport is properly formatted as object rcvdPowerMeasReport ● record which CBSD have successfully sent a measReport object	☒ Pass	☐ Fail

	If all CBSDi, $i = \{1,2\}$ have successfully sent a measReport object, then ● end test, with PASS result else, if the number of Heartbeat Requests sent per CBSD is 5 or more, then stop test with result of FAIL		
6	If a separate Heartbeat Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each Heartbeat Request message with a separate Heartbeat Response message. If a single Heartbeat Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Heartbeat Response message containing a 2-object array. Parameters for each CBSD within the Heartbeat Response message containing all required parameters properly formatted, and specifically: ● cbsdId = Ci ● grantId = Gi ● responseCode = 0 Go to Step 4, above.	--	--

7.5 CBSD Relinquishment Process

7.5.1 WINNF.FT.D.RLQ.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● DP has successfully completed SAS Discovery and Authentication with SAS Test Harness ● DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdId=Ci, i={1,2} ● DP has received a valid grant with grantId = Gi, i={1,2} for each CBSD ● Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	Verify DP sends a Relinquishment Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Relinquishment Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: ● cbsdId = Ci ● grantId = Gi	☒ Pass	☐ Fail
3	If a separate Relinquishment Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Relinquishment Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Relinquishment Response shall be as follows: ● cbsdId = Ci ● grantId = Gi ● responseCode = 0	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of each UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall stop RF transmission at any time between triggering the relinquishments and UUT sending the relinquishment requests for each CBSD.	☒ Pass	☐ Fail

7.6 CBSD Deregistration Process

7.6.1 WINNF.FT.D.DRG.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: ● Each UUT has successfully registered with SAS Test Harness ● Each UUT is in the authorized state ● DP has successfully completed SAS Discovery and Authentication with SAS Test Harness ● DP has successfully registered 2 CBSD with SAS Test Harness, each with cbsdId=Ci, i={1,2} ● DP has received a valid grant with grantId = Gi, i={1,2} for each CBSD ● Both CBSD are in Grant State AUTHORIZED and actively transmitting within the bounds of their grants. Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment request and receives Relinquishment response with responseCode=0	--	--
3	Verify DP sends a Deregistration Request message for each CBSD. This may occur in a separate message per CBSD, or together in a single message with array of 2. Verify Deregistration Request message contains all required parameters properly formatted for each CBSD, specifically, for CBSDi: ● cbsdId = Ci	☒ Pass	☐ Fail
4	If a separate Deregistration Request message was sent for each CBSD by the DP, the SAS Test Harness shall respond to each request message with a separate response message. If a single Deregistration Request message was sent by the DP containing a 2-object array (one per CBSD), the SAS Test Harness shall respond with a single Response message containing a 2-object array. Parameters for each CBSD within the Deregistration Response shall be as follows: ● cbsdId = Ci ● responseCode = 0	--	--
5	After completion of step 4, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
6	Monitor the RF output of each UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify: ● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: A. UUT sending a Registration Request message, as this is not mandatory B. UUT sending a Deregistration Request message	☒ Pass	☐ Fail

7.7 CBSD Security Validation

7.7.1 WINNF.FT.C.SCS.1

#	Test Execution Steps	Results	
1	- UUT shall start CBSD-SAS communication with the security procedure - The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate. - Configure the SAS Test Harness to accept the security procedure and establish the connection	☒ Pass	☐ Fail
2	- Make sure that Mutual authentication happens between UUT and the SAS Test Harness. - Make sure that UUT uses TLS v1.2 - Make sure that cipher suites from one of the following is selected, - TLS_RSA_WITH_AES_128_GCM_SHA256 - TLS_RSA_WITH_AES_256_GCM_SHA384 - TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256 - TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384 - TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256	☒ Pass	☐ Fail
3	A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability. UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdId.	☒ Pass	☐ Fail
4	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

7.7.2 WINNF.FT.C.SCS.2

#	Test Execution Steps	Results	
1	UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	- Make sure that UUT uses TLS v1.2 for security establishment. - Make sure UUT selects the correct cipher suite. - UUT shall use CRL or OCSP to verify the validity of the server certificate. - Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

7.7.3 WINNF.FT.C.SCS.3

#	Test Execution Steps	Results	
1	● UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	● Make sure that UUT uses TLS v1.2 for security establishment. ● Make sure UUT selects the correct cipher suite. ● UUT shall use CRL or OCSP to verify the validity of the server certificate. ● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	● UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	● SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.7.4 WINNF.FT.C.SCS.4

#	Test Execution Steps	Results	
1	● UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	● Make sure that UUT uses TLS v1.2 for security establishment. ● Make sure UUT selects the correct cipher suite. ● UUT shall use CRL or OCSP to verify the validity of the server certificate ● Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	● UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	● SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: ● UUT shall not transmit RF	☒ Pass	☐ Fail

7.7.5 WINNF.FT.C.SCS.5

#	Test Execution Steps	Results	
1	UUT shall start CBSD-SAS communication with the security procedures	☒ Pass	☐ Fail
2	- Make sure that UUT uses TLS v1.2 for security establishment. - Make sure UUT selects the correct cipher suite. - UUT shall use CRL or OCSP to verify the validity of the server certificate - Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.	☒ Pass	☐ Fail
3	UUT may retry for the security procedure which shall fail.	☒ Pass	☐ Fail
4	SAS Test-Harness shall not receive any Registration request or any application data.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: UUT shall not transmit RF	☒ Pass	☐ Fail

7.8 CBSD RF Power Measurement

7.8.1 WINNF.PT.C.HBT.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: - UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness - UUT has registered with the SAS, with CBSD ID = C - UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters	--	--
2	UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows: - UUT sends Heartbeat Request, including: - cbsdId = C - grantId = G - SAS Test Harness responds with Heartbeat Response, including: - cbsdId = C - grantId = G - transmitExpireTime = current UTC time + 200 seconds - responseCode = 0	--	--
3	Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method. Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.	☒ Pass	☐ Fail

RF measurement plot for Test Case:

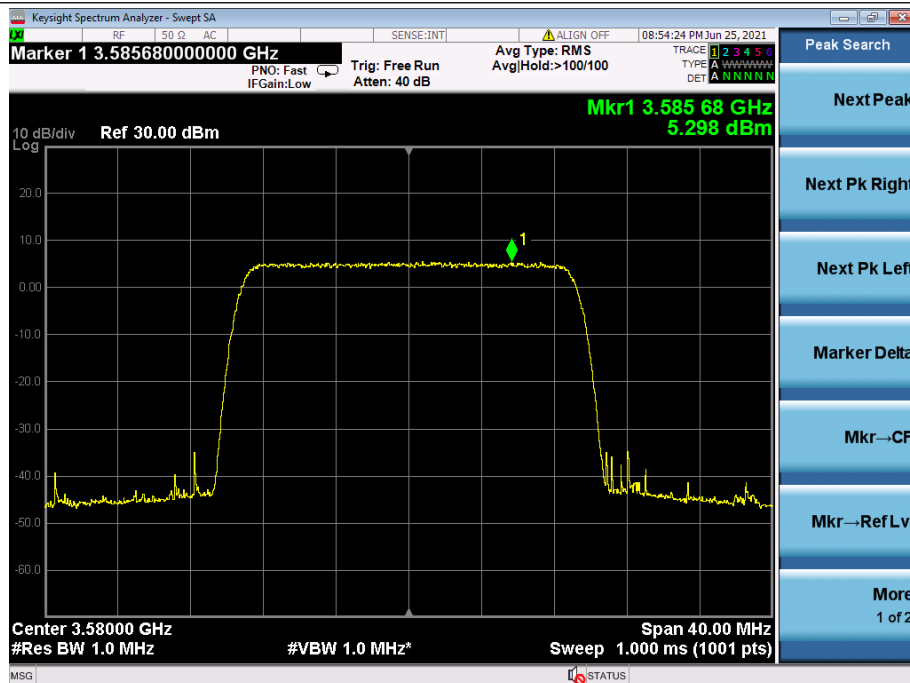
- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Freq. (MHz)	Conducted PSD (dBm/MHz)	Antenna Gain (dBi)	Array Gain (dBi)	EIRP PSD (dBm/MHz)	Limit (dBm/MHz)	Verdict
3590	5.298	15.0	3.01	34.308	35	Pass
3590	-17.928	15.0	3.01	11.082	15	Pass

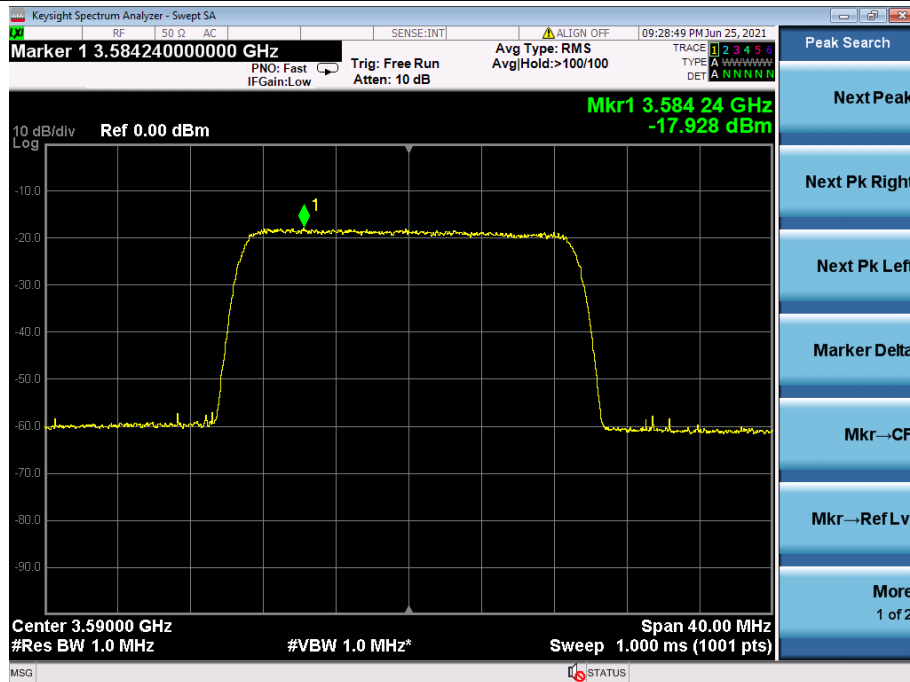
Note:

- Array Gain=10log(n), n is the antenna number, for this test the n=2
- EIRP PSD= Conducted PSD+ Antenna Gain+ Array Gain
- Conducted PSD test data include cable loss and loss of attenuator, cable loss, loss of attenuator and loss of splitter is 11dBi,

Target Power: 35dBm/MHz



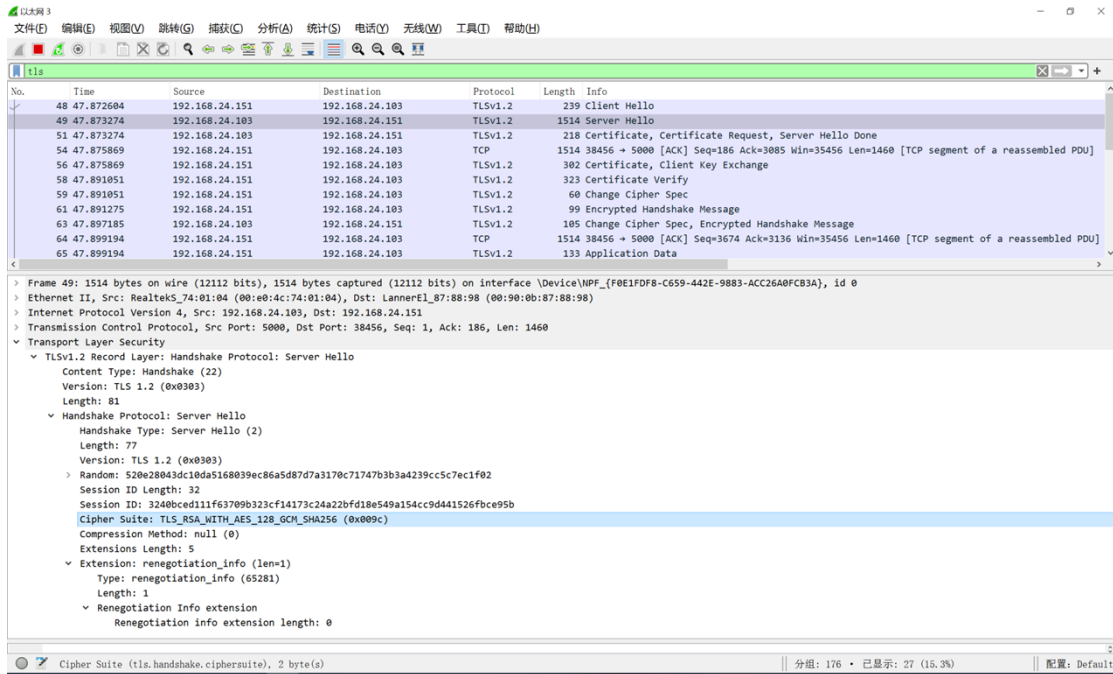
Target Power: 15dBm/MHz



8 Test Data Log

Test data log refer to log files (Log files appendix) except for securitytest cases which shows below.

8.1 WINNF.FT.C.SCS.1



No.	Time	Source	Destination	Protocol	Length	Info
48	47.872604	192.168.24.151	192.168.24.103	TLSv1.2	239	Client Hello
49	47.873274	192.168.24.103	192.168.24.151	TLSv1.2	1514	Server Hello
51	47.873274	192.168.24.103	192.168.24.151	TLSv1.2	218	Certificate, Certificate Request, Server Hello Done
54	47.875869	192.168.24.151	192.168.24.103	TCP	1514	38456 → 5000 [ACK] Seq=186 Ack=3085 Win=35456 Len=1460 [TCP segment of a reassembled PDU]
56	47.875869	192.168.24.151	192.168.24.103	TLSv1.2	302	Certificate, Client Key Exchange
58	47.891051	192.168.24.151	192.168.24.103	TLSv1.2	323	Certificate Verify
59	47.891051	192.168.24.151	192.168.24.103	TLSv1.2	60	Change Cipher Spec
61	47.891275	192.168.24.151	192.168.24.103	TLSv1.2	99	Encrypted Handshake Message
63	47.891785	192.168.24.103	192.168.24.151	TLSv1.2	105	Change Cipher Spec, Encrypted Handshake Message
64	47.891914	192.168.24.151	192.168.24.103	TCP	1514	38456 → 5000 [ACK] Seq=3674 Ack=3136 Win=35456 Len=1460 [TCP segment of a reassembled PDU]
65	47.891914	192.168.24.151	192.168.24.103	TLSv1.2	133	Application Data

Frame 49: 1514 bytes on wire (12112 bits), 1514 bytes captured (12112 bits) on interface \Device\NPF_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0
 Ethernet II, Src: RealtekS_74:01:04 (00:e0:4c:74:01:04), Dst: LanmerE1_87:88:98 (00:90:0b:87:88:98)
 Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.151
 Transmission Control Protocol, Src Port: 5000, Dst Port: 38456, Seq: 1, Ack: 186, Len: 1460
 Transport Layer Security
 TLSv1.2 Record Layer: Handshake Protocol: Server Hello
 Content Type: Handshake (22)
 Version: TLS 1.2 (0x0303)
 Length: 81
 Handshake Protocol: Server Hello (2)
 Length: 77
 Version: TLS 1.2 (0x0303)
 Random: 520e28043dc10da5168039ec86a5d87d7a3170c71747b3b3a4239cc5c7ec1f02
 Session ID Length: 32
 Session ID: 3240bcd111f63709b323cf14173c24a22bfd18e549a154cc9d441526fbc95b
 Cipher Suite: TLS_RSA_WITH_AES_128_GCM_SHA256 (0x009c)
 Compression Method: null (0)
 Extensions Length: 5
 Extension: renegotiation_info (len=1)
 Type: renegotiation_info (65281)
 Length: 1
 Renegotiation Info extension
 Renegotiation info extension length: 0



8.2 WINNF.FT.C.SCS.2

以太网 3

文件(F) 编辑(E) 视图(V) 跳转(J) 捕获(C) 分析(A) 统计(S) 电话(T) 无线(W) 工具(I) 帮助(H)

http

No.	Time	Source	Destination	Protocol	Length	Info
265	395.147870	192.168.24.151	192.168.24.103	HTTP	221	GET /cr1server.cr1 HTTP/1.1
267	395.176534	192.168.24.103	192.168.24.151	HTTP	1152	HTTP/1.1 200 OK

<

>

Frame 267: 1152 bytes on wire (9216 bits), 1152 bytes captured (9216 bits) on interface \Device\NPF_{F0E1FDF8-C659-442E-98B3-ACC26A0FCB3A}, id 0
> Ethernet II, Src: RealtekS_74:81:04 (00:e0:4c:74:81:04), Dst: LannerE1_87:88:98 (08:90:0b:87:88:98)
> Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.151
> Transmission Control Protocol, Src Port: 80, Dst Port: 55589, Seq: 326, Ack: 168, Len: 1098
> [2 Reassembled TCP Segments (1423 bytes): #266(325), #267(1098)]
> Hypertext Transfer Protocol
> Data (1098 bytes)

Frame (1152 bytes) Reassembled TCP (1423 bytes)

Hypertext Transfer Protocol: Protocol

分组: 274 · 已显示: 2 (0.7%) 配置: Default

正在捕获 以太网 3

文件(F) 编辑(E) 视图(V) 跳转(J) 捕获(C) 分析(A) 统计(S) 电话(T) 无线(W) 工具(I) 帮助(H)

tls

No.	Time	Source	Destination	Protocol	Length	Info
104	331.775454	192.168.24.151	192.168.24.103	TLSv1.2	207	Client Hello
105	331.775739	192.168.24.103	192.168.24.151	TLSv1.2	1514	Server Hello
107	331.775739	192.168.24.103	192.168.24.151	TLSv1.2	348	Certificate, Certificate Request, Server Hello Done
128	332.182136	192.168.24.151	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Revoked)

<

>

Frame 120: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF_{F0E1FDF8-C659-442E-98B3-ACC26A0FCB3A}, id 0
> Ethernet II, Src: LannerE1_87:88:98 (08:90:0b:87:88:98), Dst: RealtekS_74:81:04 (00:e0:4c:74:81:04)
> Internet Protocol Version 4, Src: 192.168.24.151, Dst: 192.168.24.103
> Transmission Control Protocol, Src Port: 42878, Dst Port: 5800, Seq: 154, Ack: 3215, Len: 7
> Transport Layer Security
 ▼ TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Certificate Revoked)
 Content Type: Alert (21)
 Version: TLS 1.2 (0x0303)
 Length: 2
 ▼ Alert Message
 Level: Fatal (2)
 Description: Certificate Revoked (44)

以太网 3: (live capture in progress)

分组: 153 · 已显示: 4 (2.6%) 配置: Default



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8.3 WINNF.FT.C.SCS.3

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文件(F) 编辑(E) 视图(V) 跳转(G) 捕获(C) 分析(A) 统计(S) 电话(T) 无线(W) 工具(I) 帮助(H)

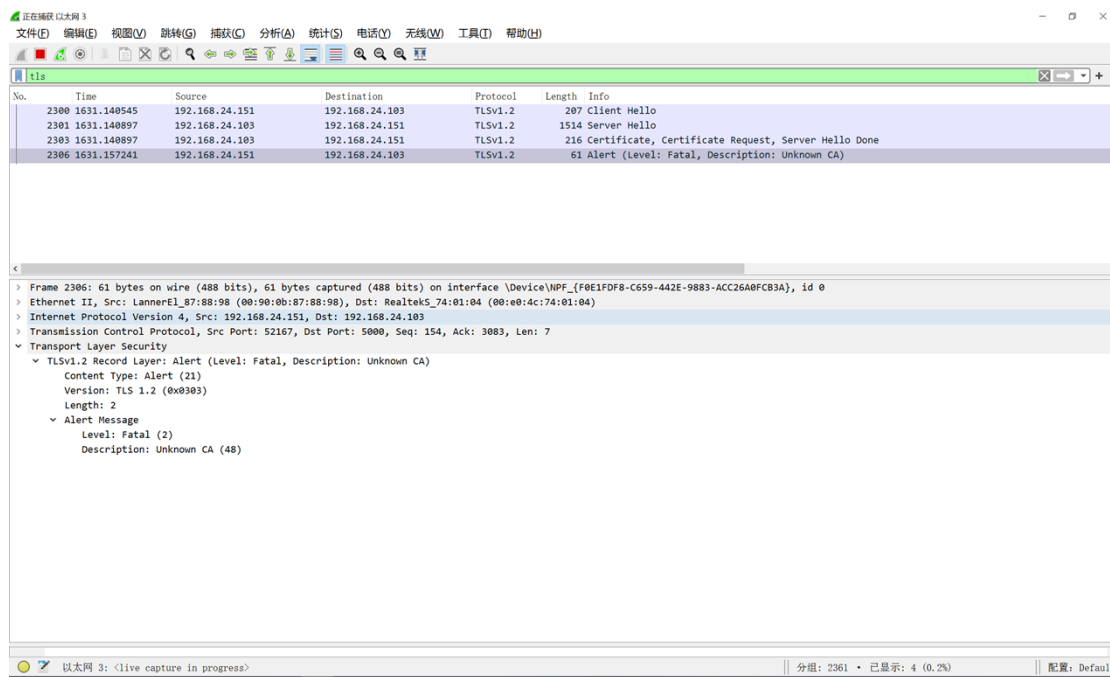
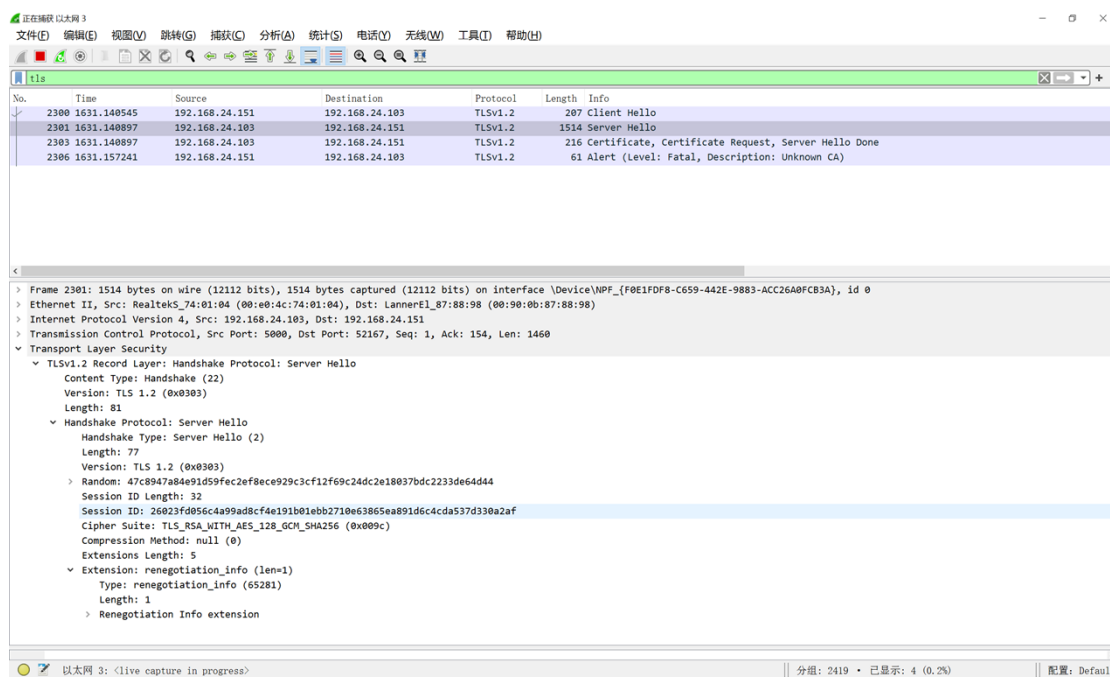
No.	Time	Source	Destination	Protocol	Length	Info
10	7.329732	192.168.24.151	192.168.24.103	TLSv1.2	207	Client Hello
11	7.330044	192.168.24.103	192.168.24.151	TLSv1.2	1514	Server Hello
13	7.330044	192.168.24.103	192.168.24.151	TLSv1.2	212	Certificate, Certificate Request, Server Hello Done
16	7.334495	192.168.24.151	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Expired)

< Frame 16: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF_{F8E1FDF8-C659-442E-9883-ACC26A8FCB3A}, id 0
 > Ethernet II, Src: LanierE1_87:88:98 (00:90:0b:87:88:98), Dst: Realtek5_74:01:04 (00:e0:4c:74:01:04)
 > Internet Protocol Version 4, Src: 192.168.24.151, Dst: 192.168.24.103
 > Transmission Control Protocol, Src Port: 40428, Dst Port: 5000, Seq: 154, Ack: 3079, Len: 7
 > Transport Layer Security
 > TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Certificate Expired)
 > Content Type: Alert (21)
 > Version: TLS 1.2 (0x0303)
 > Length: 2
 > Alert Message
 > Level: Fatal (2)
 > Description: Certificate Expired (45)

Record Layer (tls.record0, 7 byte(s)) 分组: 38 • 已显示: 4 (10.5%) 配置: Default

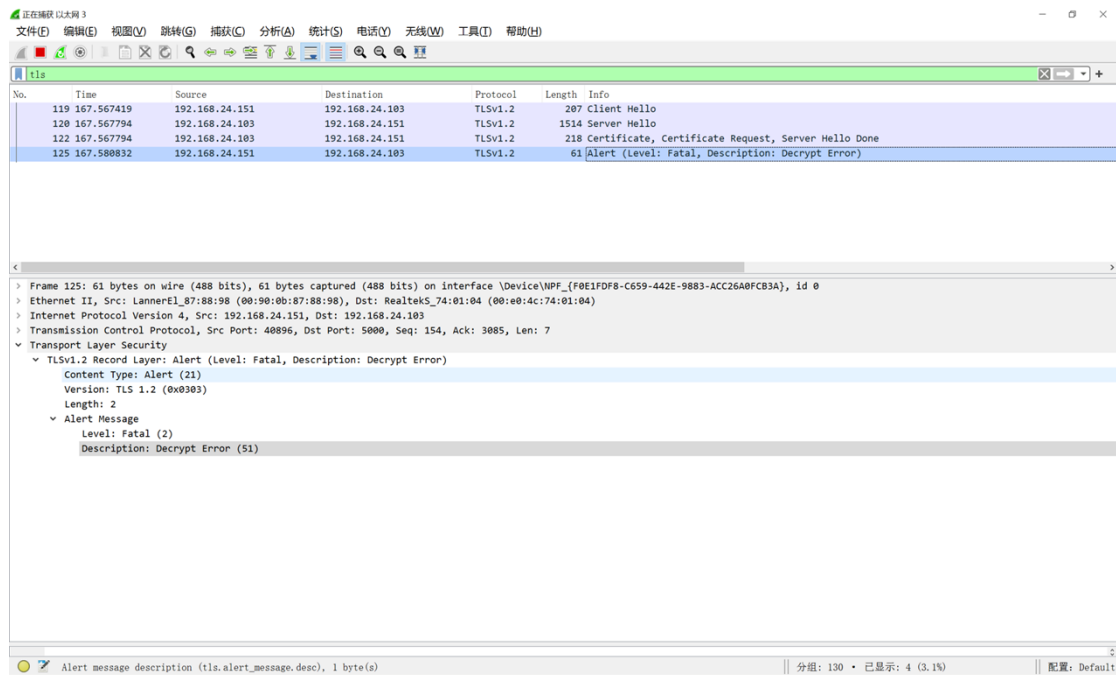


8.4 WINNF.FT.C.SCS.4





8.5 WINNF.FT.C.SCS.5



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9 Photographs

9.1 EUT Constructional Details (EUT Photos)

Please Refer to external and internal photos for details.

- End of the Report -



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