



# TEST REPORT

**Application No.:** SZCR2106021337AT  
**Applicant:** Baicells Technologies Co., Ltd.  
**Address of Applicant:** 9-10F, 1stBldg., No.81BeiqingRoad, Haidian District, Beijing, China  
**Equipment Under Test (EUT):**  
**EUT Name:** LTE Outdoor CPE  
**Model No.:** EG8013L-M11  
**Trade Mark:** Baicells  
**FCC ID:** 2AG32EG8013LM11  
**Standard(s) :** CBRSA-TS-9001-V1.0.0  
 WINNF-19-IN-00033 V1.0  
 WINNF-TS-0122-V1.0  
 FCC 47 CFR Part 96  
 KDB 940660 D01  
 KDB 940660 D02  
**Date of Receipt:** 2021-06-28  
**Date of Test:** 2021-06-28 to 2021-06-29  
**Date of Issue:** 2021-06-30

<b>Test Result:</b>	<b>Pass*</b>
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\* In the configuration tested, the EUT complied with the standards specified above.

Keny Xu  
 EMC Laboratory Manager



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<b>Revision Record</b>				
<b>Version</b>	<b>Chapter</b>	<b>Date</b>	<b>Modifier</b>	<b>Remark</b>
01		2021-06-30		Original

<b>Authorized for issue by:</b>			
			
		<hr/> <b>Jacky Li /Project Engineer</b>	
			
		<hr/> <b>Eric Fu /Reviewer</b>	



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## 2 Test Summary

Item	Standard	Test Case ID	Result
Multi-Step registration	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.1	Pass
Single-Step registration for CBSD with CPI signed data	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.5	Pass
Missing Required parameters (responseCode 102)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.8	Pass
Pending registration (responseCode 200)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.10	Pass
Invalid parameter (responseCode 103)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.12	Pass
Blacklisted CBSD (responseCode 101)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.14	Pass
Unsupported SAS protocol version (responseCode 100)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.16	Pass
Group Error (responseCode 201)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.REG.18	Pass
Unsuccessful Grant responseCode=400 (INTERFERENCE)	WINNF-TS-0122-V1.0.0	WINNF.FT.D.GRA.1	Pass
Unsuccessful Grant responseCode=401 (GRANT_CONFLICT)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.GRA.2	Pass
Heartbeat Success Case (first Heartbeat Response)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.1	Pass
Heartbeat responseCode=105 (DEREGISTER)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.3	Pass
Heartbeat responseCode=500 (TERMINATED_GRANT)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.4	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in First Heartbeat Response	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.5	Pass
Heartbeat responseCode=501 (SUSPENDED_GRANT) in Subsequent Heartbeat Response	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.6	Pass
Heartbeat responseCode=502 (UNSYNC_OP_PARAM)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.7	Pass
Heartbeat Response Absent (First Heartbeat)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.9	Pass
Heartbeat Response Absent (Subsequent Heartbeat)	WINNF-TS-0122-V1.0.0	WINNF.FT.C.HBT.10	Pass
Successful Relinquishment	WINNF-TS-0122-V1.0.0	WINNF.FT.C.RLQ.1	Pass



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Item	Standard	Test Case ID	Result
Successful Deregistration	WINNF-TS-0122-V1.0.0	WINNF.FT.C.DRG.1	Pass
Successful TLS connection between UUT and SAS Test Harness	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.1	Pass
TLS failure due to revoked certificate	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.2	Pass
TLS failure due to expired server certificate	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.3	Pass
TLS failure when SAS Test Harness certificate is issue by unknown CA	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.4	Pass
TLS failure when certificate at the SAS Test Harness is corrupted	WINNF-TS-0122-V1.0.0	WINNF.FT.C.SCS.5	Pass
UUT RF Transmit Power Measurement	WINNF-TS-0122-V1.0.0	WINNF.PT.C.HBT	Pass
SAS Version: 1.0.0.3			

The UUT is a CPE-CBSD product. According to the specifications of the manufacturer, it must comply with the requirements of the following standards:

**Test standards:**

**CBRSA-TS-9001-V1.0.0**

CBRS Alliance Certification Test Plan

**WINNF-TS-0122-V1.0.0**

Test and Certification for Citizens Broadband Radio Service (CBRS); Conformance and Performance Test Technical Specification; CBSD/DP as Unit Under Test (UUT)

**WINNF-19-IN-00033 V1.0**

CBRS CPE-CBSD as UUT Test Guidelines

**KDB 940660 D01 Part 96 CBRS Eqpt v03**

**KDB 940660 D02 CPE-CBSD Handshake Procedures v02**



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## 4 General Information

### 4.1 Details of E.U.T.

Power supply:	Adapter Model NO.: G0720-240-050 Input: 100-240V~50/60Hz, 0.75A Output: 24.0V, 0.5A, 12.0W
Sample Type:	Fixed device
CBSD Class:	B (Outdoor CPE)
Transmitter Frequency Band:	LTE Band 48
Transmitter Frequency Range:	3550~3700MHz
Hardware Version:	A
11Software Version:	BaiCE_BG_1.6.17
Test sample:	1203000094204NQ0291 (S/N)
Antenna Gain:	14dBi

### 4.2 Description of CBSD/DP Support Features

Condition	Feature Description	Supported
C1	Mandatory for UUT which supports multi-step registration message.	Y
C2	Mandatory for UUT which supports single-step registration with no CPI-signed data in the registration message. By definition, this is a subset of Category A devices which determine all registration information, including location, without CPI intervention.	N
C3	Mandatory for UUT which supports single-step registration containing CPI-signed data in the registration message.	Y
C4	Mandatory for UUT which supports RECEIVED_POWER_WITHOUT_GRANT measurement report type.	N
C5	Mandatory for UUT which supports RECEIVED_POWER_WITH_GRANT measurement report type.	N
C6	Mandatory for UUT which supports parameter change being made at the UUT and prior to sending a deregistration.	N

Y: Supported

N: Not supported



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### 4.3 Summary of Test Results

WINNF-TS-0122			
Classes	Test Case Items	Pass Items	Pass Rate (%)
FT (CBSD, DP/CBSD)	25	25	100
PT (CBSD, DP/CBSD)	1	1	100
Total	25	25	100

Note:

1. Functional Test (FT): Test to validate the conformance of the Protocols and functionalities implemented in the CBSD/DP UUT to the requirements developed by WinnForum and supporting FCC/DoD requirements.
2. Field/Performance Test (PT): Test to check the capability of the CBSD/DP UUT to support various traffic models and actual operations in the field.

Duration and Duty Cycle		
Period	Limit	Test Result
10-second	1-second	Pass
300-second	10-second	Pass
3600-second	20-second	Pass

Note: Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.

### 4.4 Modification Record

1. The Pass/Fail criteria “UUT shall not transmit RF” is replaced by “CPE-CBSD UUT shall not transmit user traffic”.
2. The Pass/Fail criteria “UUT shall stop transmission” is replaced by CPE-CBSD UUT shall stop transmitting user traffic”





#### 4.5 Measurement Uncertainty

No.	Item	Measurement Uncertainty
1	Radio Frequency	$\pm 7.25 \times 10^{-8}$
2	RF conducted power	$\pm 0.75\text{dB}$
3	Temperature test	$\pm 1^{\circ}\text{C}$
4	Humidity test	$\pm 3\%$
5	Supply voltages	$\pm 1.5\%$
6	Time	$\pm 3\%$

#### 4.6 Description of Support Units

Description	Manufacturer	Model No.	Serial No.
EPC	Lanner Electronics Inc.	LICA-1513A	LR202002004052
Router	TP-LINK	TL-R860+	1175379002425
Base station	Baicells	mBS1105 (FCC ID: 2AG32MBS110596N)	120200007219BSP0001

#### 4.7 Test Location

All tests were performed at:

SGS-CSTC Standards Technical Services Co., Ltd., Shenzhen Branch

No. 1 Workshop, M-10, Middle Section, Science & Technology Park, Shenzhen, Guangdong, China.  
518057.

Tel: +86 755 2601 2053 Fax: +86 755 2671 0594

No tests were sub-contracted.



## 5 Equipment List

Test Equipment	Manufacturer	Model No.	Inventory No.	Cal Date	Cal Due Date
Laptop (With SAS Test harness)	Lenovo	Pro-13API 2019	REF. No.SEA1800	/	/
Spectrum Analyzer	Keysight	N9020A	SEM004-19	2021-5-31	2022-5-30
Shield Room	SAEMC	MSR433	SEM001-11	2021-03-13	2024-03-12
Coaxial Cable	SGS	N/A	SEM031-01	2020-07-11	2021-07-10
Attenuator	Weinschel Associates	WA41	SEM021-09	N/A	N/A
Splitter	MACOM	2090-6214-00	N/A	N/A	N/A
Humidity/ Temperature Indicator	Shanghai Meteorological Industry Factory	ZJ1-2B	SEM002-03	2020-09-27	2021-09-26



## 6 Test Method and Environment

### 6.1 CBSD/DP Conformance and Performance

Test Requirement: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.0  
Test Method: CBRS CBSD Test Specification WINNF-TS-0122-V1.0.0  
WINNF-IN-0156\_WInnForum\_SAS\_Test\_Harness\_CBSD\_UUT\_Tutorial\_v1\_0\_0\_1

### 6.2 CPE-CBSD Handshake Procedures

Test Requirement: KDB 940660 D02 CPE-CBSD Handshake Procedures v02  
Test Method: WINNF-19-IN-00033 V1.0  
KDB 940660 D02 CPE-CBSD Handshake Procedures v02

### 6.3 CBSD Test Procedure

- a. Connect the UUT to SAS Test Harness system and RF Test instruments via the CBSD interface and RF components. The highest level is set to test configuration.
- b. UUT shall be UTC time synchronized
- c. The frequency band is granted and set as UUT supported Modulation and Channels, transmitted power of the UUT according to it granted parameters from the SAS Test Harness.
- d. Each test case results was recorded and validated by SAS Test Harness system and RF instruments test cases was recorded test results from SAS Test Harness system.

### 6.4 Duration and Duty Cycle Measurement

The CPE-CBSD shall validate and ensure that limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS.

### 6.5 Duration and Duty Cycle Test Procedure

- a. CPE-CBSD as UUT does not receive any RF signal from its "Compatible BTS-CBSD" (FCC ID: 2AG32MBS110596N), so CPE-CBSD as UUT does not transmit. UUT shall be UTC time synchronized.
- b. Use the WinnForum SAS Harness #1 for CPE-CBSD as UUT and run test case WINNF.FT.C.GRA.1 for CPE-CBSD as UUT.
- c. CPE-CBSD as UUT starts to receive the RF signal from its "Compatible BTS-CBSD", so CPE-CBSD can start communicating with the WinnForum SAS Harness #1. Make note of the time when RF Test equipment logs the first transmission from CPE-CBSD which is above 23dBm/10MHz – this is the start time of the {X time out of Y time}.
- d. When the test case WINNF.FT.C.GRA.1 finishes and the questions appear on the WinnForum SAS Harness #1 console, do NOT answer the questions. Wait until Y time has passed from step #3. During this Y time, the RF test equipment is logging the amount of time CPE-CBSD as UUT transmitted EIRP above 23dBm/10MHz. The amount of time logged for transmitting EIRP above 23dBm/10MHz is the X time.
- e. Answer the questions on the WinnForum SAS Harness #1 console so the WinnForum SAS Harness #1 is ready for the next test.

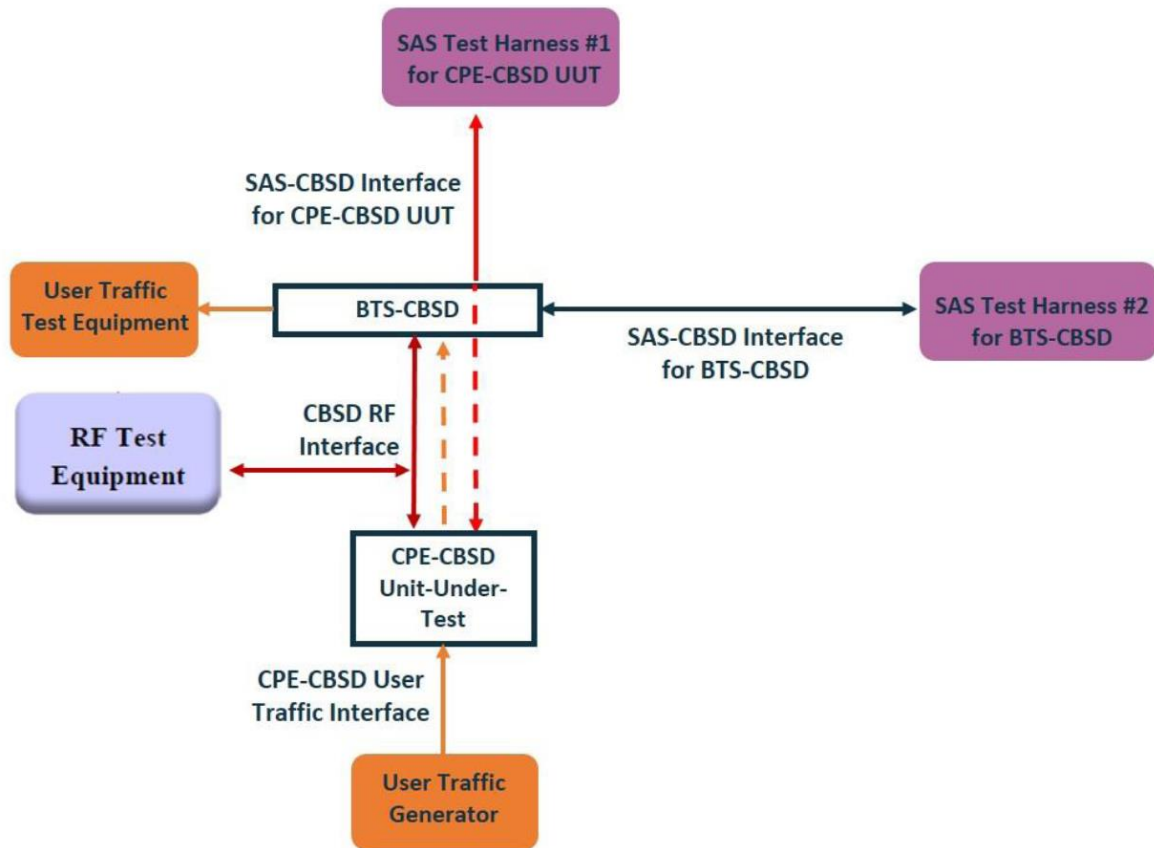


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### 6.6 Test Environment

Test Harness Version: V1.0.0.3  
 Operating System: Microsoft Windows 10  
 TLS Version: 1.2  
 Python Version: 2.7.13  
 Environmental Conditions: 25deg. C, 65%RH  
 Input Power: 120Vac, 60Hz

### 6.7 Test Setup

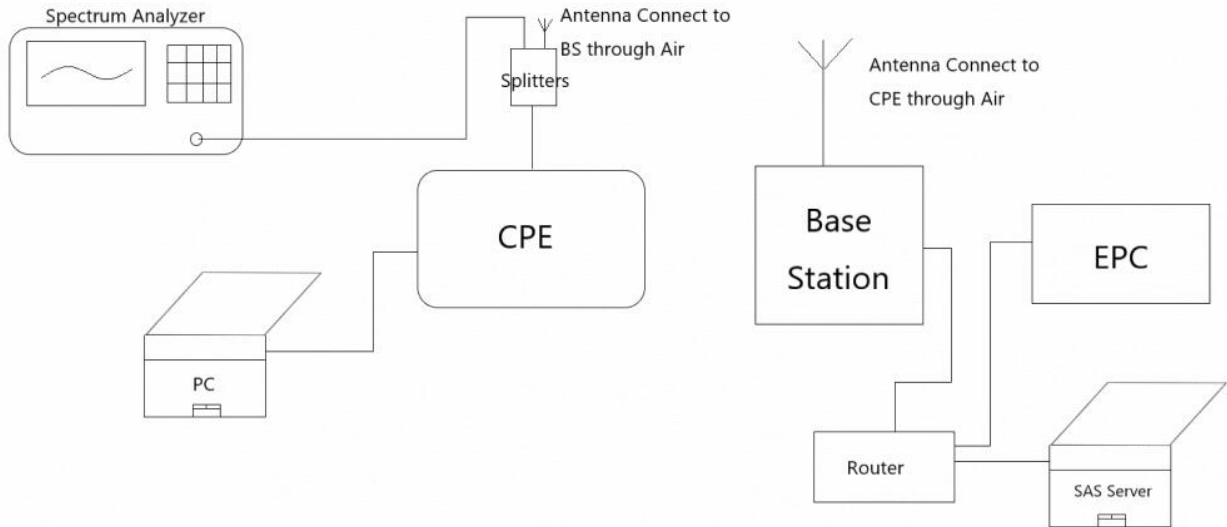


CPE-CBSD as UUT, BTS-CBSD direct communication without Domain Proxy



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Test setup diagram for WINNF.PT.C.HBT Test case



## 7 Test Data

### 7.1 CBSD Registration Process

#### 7.1.1 WINNF.FT.C.REG.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT is in the Unregistered state</li> </ul>	--	--
2	<p>CBSD sends correct Registration request information, as specified in [n.5], to the SAS Test Harness:</p> <ul style="list-style-type: none"> <li>The required userId, fcId and cbsdSerialNumber registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>Any REG-conditional or optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul> <p>Note: It is outside the scope of this document to test the Registration information that is supplied via another means.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>measReportConfig shall not be included</li> <li>responseCode = 0</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.2 WINNF.FT.C.REG.5**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> <li>● All of the required and REG-Conditional parameters shall be configured and CPI signature provided</li> </ul>	--	--
2	<p>CBSD sends Registration request to the SAS Test Harness:</p> <ul style="list-style-type: none"> <li>● The required userId, fcld and cbsdSerialNumber and REG-Conditional cbsdCategory, airInterface, measCapability and cpiSignatureData registration parameters shall be sent from the CBSD and conform to proper format and acceptable ranges.</li> <li>● Any optional registration parameters that may be included in the message shall be verified that they conform to proper format and are within acceptable ranges.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a CBSD Registration Response as follows:</p> <ul style="list-style-type: none"> <li>- cbsdId = C</li> <li>- measReportConfig shall not be included.</li> <li>- responseCode = 0</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.3 WINNF.FT.C.REG.8**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsldId</li> <li>- responseCode = 102</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.4 WINNF.FT.C.REG.10**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 200</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=200) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.5 WINNF.FT.C.REG.12**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 103</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=103) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.6 WINNF.FT.C.REG.14**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 101</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=101) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.7 WINNF.FT.C.REG.16**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 100</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=100) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.1.8 WINNF.FT.C.REG.18**

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT is in the Unregistered state</li> </ul>	--	--
2	CBSD sends a Registration request to SAS Test Harness.	--	--
3	SAS Test Harness rejects the request by sending a CBSD Registration Response as follows: <ul style="list-style-type: none"> <li>- SAS response does not include cbsdId</li> <li>- responseCode = 201</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=201) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.2 CBSD Spectrum Grant Process

### 7.2.1 WINNF.FT.C.GRA.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has registered successfully with SAS Test Harness, with cbsdId = C</li> </ul>	--	--
2	UUT sends valid Grant Request.	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <li>cbsdId=C</li> <li>responseCode = 400</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=0) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	■ Pass	□ Fail

### 7.2.2 WINNF.FT.C.GRA.2

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has registered successfully with SAS Test Harness, with cbsdId = C</li> </ul>	--	--
2	UUT sends valid Grant Request.	■ Pass	□ Fail
3	SAS Test Harness sends a Grant Response message, including <ul style="list-style-type: none"> <li>cbsdId=C</li> <li>responseCode = 401</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any positive response (responseCode=401) to further request messages from the UUT.	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	■ Pass	□ Fail



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### 7.3 CBSD HeartBeat Process

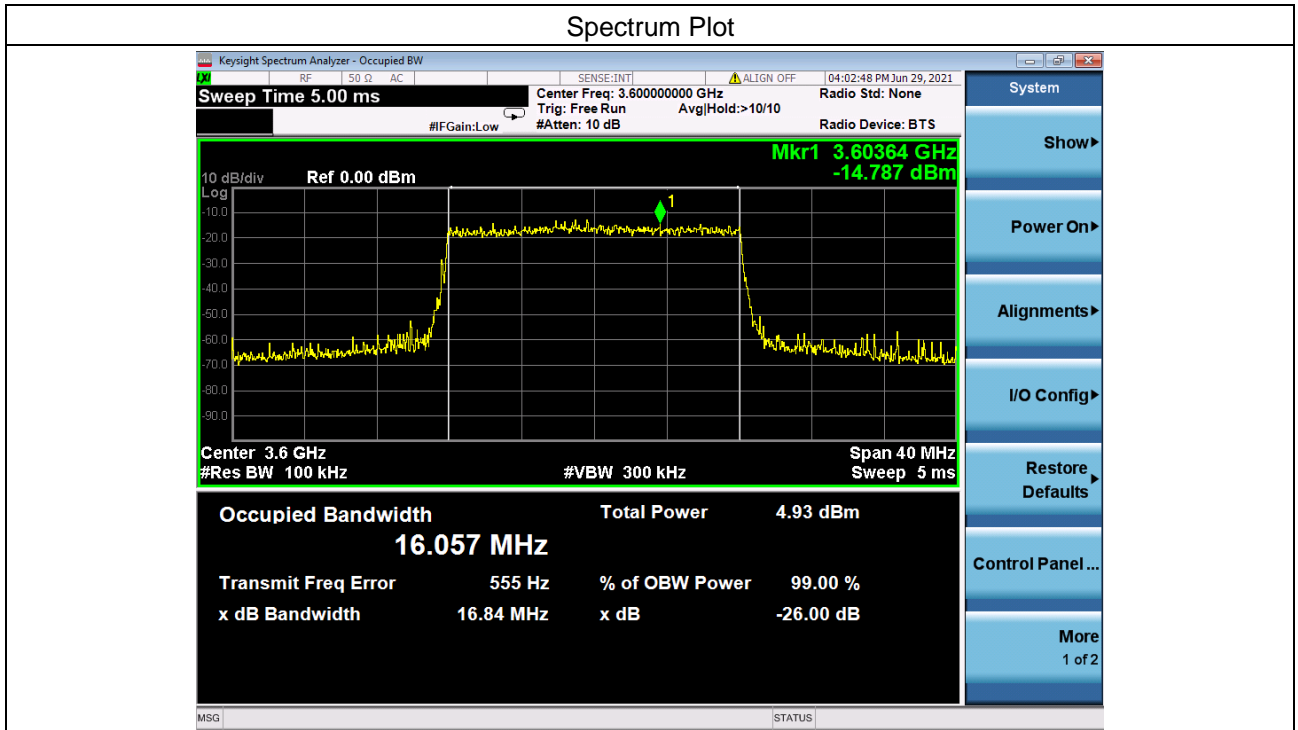
#### 7.3.1 WINNF.FT.C.HBT.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>UUT has registered successfully with SAS Test Harness, with cbsdId = C</li> </ul>	--	--
2	UUT sends a message: <ul style="list-style-type: none"> <li>If message is type Spectrum Inquiry Request, go to step 3, or</li> <li>If message is type Grant Request, go to step 5</li> </ul>	--	--
3	UUT sends Spectrum Inquiry Request. Validate: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>List of frequencyRange objects sent by UUT are within the CBRS frequency range</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	SAS Test Harness sends a Spectrum Inquiry Response message, including the following parameters: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>availableChannel is an array of availableChannel objects</li> <li>responseCode = 0</li> </ul>	--	--
5	UUT sends Grant Request message. Validate: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>maxEIRP is at or below the limit appropriate for CBSD category as defined by Part 96</li> <li>operationFrequencyRange, F, sent by UUT is a valid range within the CBRS band</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
6	SAS Test Harness sends a Grant Response message, including the parameters: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G = a valid grant ID</li> <li>grantExpireTime = UTC time greater than duration of the test</li> <li>responseCode = 0</li> </ul>	--	--
7	UUT sends a first Heartbeat Request message. Verify Heartbeat Request message is formatted correctly, including: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> <li>operationState = "GRANTED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
8	SAS Test Harness sends a Heartbeat Response message, with the following parameters: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>	--	--
9	For further Heartbeat Request messages sent from UUT after completion of step 8, validate message is sent within latest specified heartbeatInterval, and: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> <li>operationState = "AUTHORIZED"</li> </ul> and SAS Test Harness responds with a Heartbeat Response message including the following parameters: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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10	Monitor the RF output of the UUT from start of test until UUT transmission commences. Verify: <ul style="list-style-type: none"> <li>● UUT does not transmit at any time prior to completion of the first heartbeat response</li> <li>● UUT transmits after step 8 is complete, and its transmission is limited to within the bandwidth range F.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
----	---	--	-------------------------------



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**7.3.2 WINNF.FT.C.HBT.3**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within Heartbeat Interval specified in the latest Heartbeat Response, and formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	--	--
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = Current UTC time</li> <li>● responseCode = 105 (DEREGISTER)</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.3 WINNF.FT.C.HBT.4**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = current UTC time</li> <li>● responseCode = 500 (TERMINATED_GRANT)</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T + 60 seconds) of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.4 WINNF.FT.C.HBT.5**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in GRANTED, but not AUTHORIZED state (i.e. has not performed its first Heartbeat Request)</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = current UTC time</li> <li>● ? responseCode = 501 (SUSPENDED_GRANT)</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul> <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT does not transmit at any time</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.5 WINNF.FT.C.HBT.6**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message. Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● transmitExpireTime = T = current UTC time</li> <li>● responseCode = 501 (SUSPENDED_GRANT)</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify either A OR B occurs:</p> <p>A. UUT sends a Heartbeat Request message. Ensure message is sent within latest specified heartbeatInterval, and is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul> <p>B. UUT sends a Relinquishment request message. Ensure message is correctly formatted with parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.6 WINNF.FT.C.HBT.7**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = T = current UTC time</li> <li>● responseCode = 502 (UNSYNC_OP_PARAM)</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the SAS-CBSD interface. Verify:</p> <ul style="list-style-type: none"> <li>● UUT sends a Grant Relinquishment Request message. Verify message is correctly formatted with parameters: <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> </ul> </li> </ul> <p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop transmission within (T+60) seconds of completion of step 3</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.7 WINNF.FT.C.HBT.9**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in GRANTED, but not AUTHORIZED state(i.e. has not performed its first Heartbeat Request)</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Ensure Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "GRANTED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>After completion of step 2, SAS Test Harness does not respond to any further</p> <ul style="list-style-type: none"> <li>● messages from UUT to simulate loss of network connection</li> </ul>	--	--
4	<p>Monitor the RF output of the UUT from start of test to 60 seconds after step 3. Verify:  At any time during the test, UUT shall not transmit on RF interface</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.3.8 WINNF.FT.C.HBT.10**

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has registered successfully with SAS Test Harness</li> <li>● UUT has a valid single grant as follows: <ul style="list-style-type: none"> <li>○ valid cbsdId = C</li> <li>○ valid grantId = G</li> <li>○ grant is for frequency range F, power P</li> <li>○ grantExpireTime = UTC time greater than duration of the test</li> </ul> </li> <li>● UUT is in AUTHORIZED state and is transmitting within the grant bandwidth F on RF interface</li> </ul>	--	--
2	<p>UUT sends a Heartbeat Request message.  Verify Heartbeat Request message is sent within latest specified heartbeatInterval, and is formatted correctly, including:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> <li>● operationState = "AUTHORIZED"</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>SAS Test Harness sends a Heartbeat Response message, including the following parameters:</p> <ul style="list-style-type: none"> <li>○ cbsdId = C</li> <li>○ grantId = G</li> <li>○ transmitExpireTime = T = current UTC time + 200 seconds</li> <li>● responseCode = 0</li> </ul>	--	--
4	<p>After completion of step 3, SAS Test Harness shall not allow any further grants to the UUT.</p>	--	--
5	<p>Monitor the RF output of the UUT. Verify:</p> <ul style="list-style-type: none"> <li>● UUT shall stop all transmission on RF interface within (transmitExpireTime + 60 seconds), using the transmitExpireTime sent in Step 3.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.4 CBSD Relinquishment Process

### 7.4.1 WINNF.FT.C.RLQ.1

#	Test Execution Steps	Results	
1	Ensure the following conditions are met for test entry: <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT has successfully registered with SAS Test Harness, with cbsdId=C</li> <li>● UUT has received a valid grant with grantId = G</li> <li>● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> Invoke trigger to relinquish UUT Grant from the SAS Test Harness	--	--
2	UUT sends a Relinquishment Request message. Verify message contains all required parameters properly formatted, and specifically: <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● grantId = G</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	SAS Test Harness shall approve the request with a Relinquishment Response message with parameters: <ul style="list-style-type: none"> <li>- cbsdId = C</li> <li>- grantId = G</li> <li>● responseCode = 0</li> </ul>	--	--
4	After completion of step 3, SAS Test Harness will not provide any additional positive <ul style="list-style-type: none"> <li>● response (responseCode=0) to further request messages from the UUT</li> </ul>	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>● UUT shall stop RF transmission at any time between triggering the relinquishment and UUT sending the relinquishment request</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.5 CBSD Deregistration Process

### 7.5.1 WINNF.FT.C.DRG.1

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>● UUT has successfully completed SAS Discovery and Authentication with SAS Test Harness</li> <li>● UUT has successfully registered with SAS Test Harness, with cbsdId=C</li> <li>● UUT has received a valid grant with grantId = G</li> <li>● UUT is in Grant State AUTHORIZED and is actively transmitting within the bounds of its grant.</li> </ul> <p>Invoke trigger to deregister UUT from the SAS Test Harness</p>	--	--
2	<p>UUT sends a Relinquishment request and receives Relinquishment response with</p> <ul style="list-style-type: none"> <li>● responseCode=0</li> </ul>	--	--
3	<ul style="list-style-type: none"> <li>● UUT sends Deregistration Request to SAS Test Harness with cbsdId = C.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>SAS Test Harness shall approve the request with a Deregistration Response message with parameters:</p> <ul style="list-style-type: none"> <li>● cbsdId = C</li> <li>● responseCode = 0</li> </ul>	--	--
5	<p>After completion of step 3, SAS Test Harness will not provide any additional positive</p> <ul style="list-style-type: none"> <li>● response (responseCode=0) to further request messages from the UUT.</li> </ul>	--	--
6	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 4 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>● UUT stopped RF transmission at any time between triggering the deregistration and either A OR B occurs: <ul style="list-style-type: none"> <li>A. UUT sending a Registration Request message, as this is not mandatory</li> <li>B. UUT sending a Deregistration Request message</li> </ul> </li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.6 CBSD Security Validation

### 7.6.1 WINNF.FT.C.SCS.1

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedure</li> <li>The UUT shall establish a TLS handshake with the SAS Test Harness using configured certificate.</li> <li>Configure the SAS Test Harness to accept the security procedure and establish the connection</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>Make sure that Mutual authentication happens between UUT and the SAS Test Harness.</li> <li>Make sure that UUT uses TLS v1.2</li> <li>Make sure that cipher suites from one of the following is selected, <ul style="list-style-type: none"> <li>TLS_RSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_RSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_ECDSA_WITH_AES_128_GCM_SHA256</li> <li>TLS_ECDHE_ECDSA_WITH_AES_256_GCM_SHA384</li> <li>TLS_ECDHE_RSA_WITH_AES_128_GCM_SHA256</li> </ul> </li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<p>A successful registration is accomplished using one of the test cases described in section 6.1.4.1, depending on CBSD capability.</p> <ul style="list-style-type: none"> <li>UUT sends a registration request to the SAS Test Harness and the SAS Test Harness sends a Registration Response with responseCode = 0 and cbsdId.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

### 7.6.2 WINNF.FT.C.SCS.2

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	<p>Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify:</p> <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.6.3 WINNF.FT.C.SCS.3**

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate.</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail

**7.6.4 WINNF.FT.C.SCS.4**

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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**7.6.5 WINNF.FT.C.SCS.5**

#	Test Execution Steps	Results	
1	<ul style="list-style-type: none"> <li>UUT shall start CBSD-SAS communication with the security procedures</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
2	<ul style="list-style-type: none"> <li>Make sure that UUT uses TLS v1.2 for security establishment.</li> <li>Make sure UUT selects the correct cipher suite.</li> <li>UUT shall use CRL or OCSP to verify the validity of the server certificate</li> <li>Make sure that Mutual authentication does not happen between UUT and the SAS Test Harness.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
3	<ul style="list-style-type: none"> <li>UUT may retry for the security procedure which shall fail.</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail
4	<ul style="list-style-type: none"> <li>SAS Test-Harness shall not receive any Registration request or any application data.</li> </ul>	--	--
5	Monitor the RF output of the UUT from start of test until 60 seconds after Step 3 is complete. This is the end of the test. Verify: <ul style="list-style-type: none"> <li>UUT shall not transmit RF</li> </ul>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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## 7.7 CBSD RF Power Measurement

### 7.7.1 WINNF.PT.C.HBT

#	Test Execution Steps	Results	
1	<p>Ensure the following conditions are met for test entry:</p> <ul style="list-style-type: none"> <li>UUT has successfully completed SAS Discovery and Authentication with the SAS Test Harness</li> <li>UUT has registered with the SAS, with CBSD ID = C</li> <li>UUT has a single valid grant G with parameters {lowFrequency = FL, highFrequency = FH, maxEirp = Pi}, with grant in AUTHORIZED state, and grantExpireTime set to a value far past the duration of this test case</li> </ul> <p>Note: in order for the UUT to request a grant with the parameters {lowFrequency, highFrequency, maxEirp}, the SAS Test Harness may need to provide appropriate guidance in the availableChannel object of the spectrumInquiry response message, and the operationParam object of the grant response message. Alternately, the UUT vendor may provide the ability to set those parameters on the UUT so that the UUT will request a grant with those parameters</p>	--	--
2	<p>UUT and SAS Test Harness perform a series of Heartbeat Request/Response cycles, which continues until the other test steps are complete. Messaging for each cycle is as follows:</p> <ul style="list-style-type: none"> <li>UUT sends Heartbeat Request, including: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> </ul> </li> <li>SAS Test Harness responds with Heartbeat Response, including: <ul style="list-style-type: none"> <li>cbsdId = C</li> <li>grantId = G</li> <li>transmitExpireTime = current UTC time + 200 seconds</li> <li>responseCode = 0</li> </ul> </li> </ul>	--	--
3	<p>Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.</p> <p>Note: it may be required for the vendor to provide a method or configuration to bring the UUT to a mode which is required by the measurement methodology. Any such mode is vendor-specific and depends upon UUT behavior and the measurement methodology.</p>	<input checked="" type="checkbox"/> Pass	<input type="checkbox"/> Fail



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RF measurement plot for Test Case :

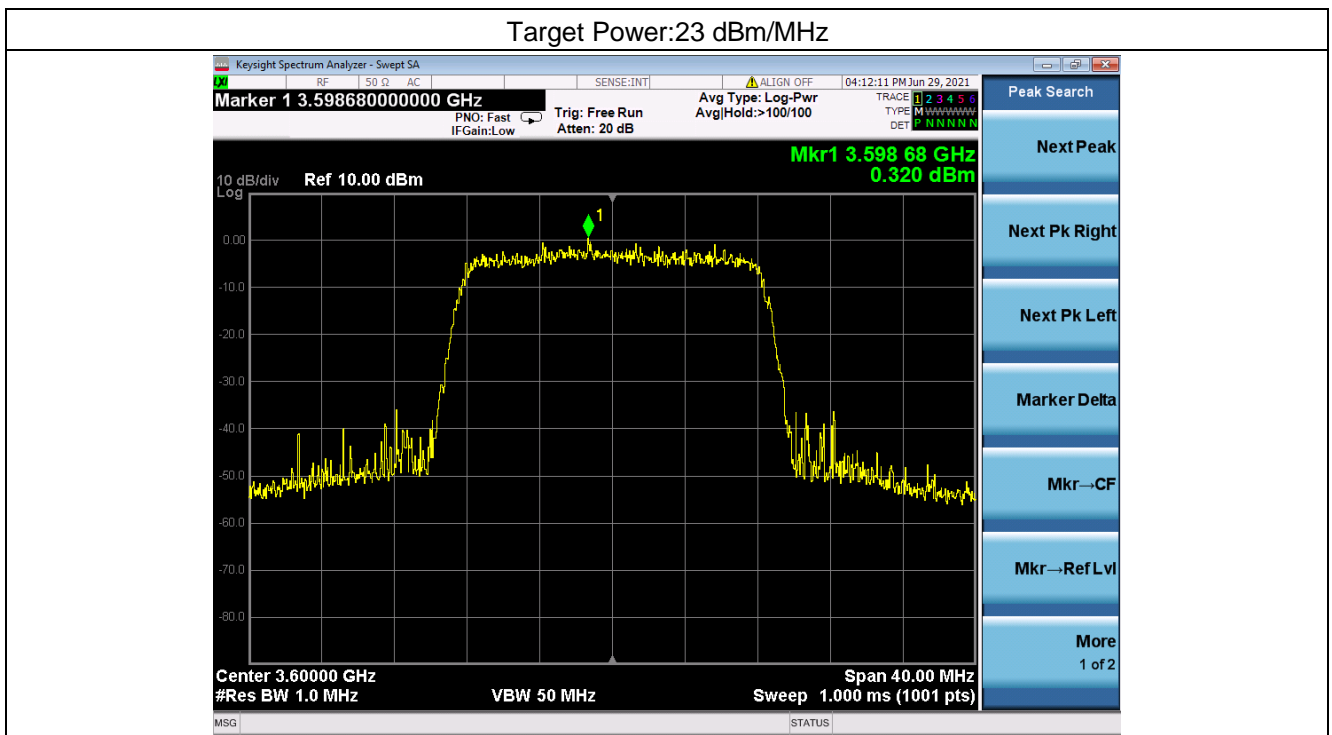
- Tester performs power measurement on RF interface(s) of UUT, and verifies it complies with the maxEirp setting, Pi. The RF measurement method is out of scope of this document, but may include additional configuration of the UUT, as required, to fulfill the requirements of the power measurement method.

Frequency (MHz)	Channel Bandwidth (MHz)	Granted maxEIRP (dBm/MHz)	Conducted PSD (dBm/MHz)		Antenna Gain (dBi)	Directional Gain (dB)	Cable Loss (dB)	maxEIRP (dBm/MHz)
			Ant. 0	Ant. 1				
3600	20	23	0.320	/	14	3.01	5	22.330
3600	20	15	-8.235	/	14	3.01	5	13.775

Note:

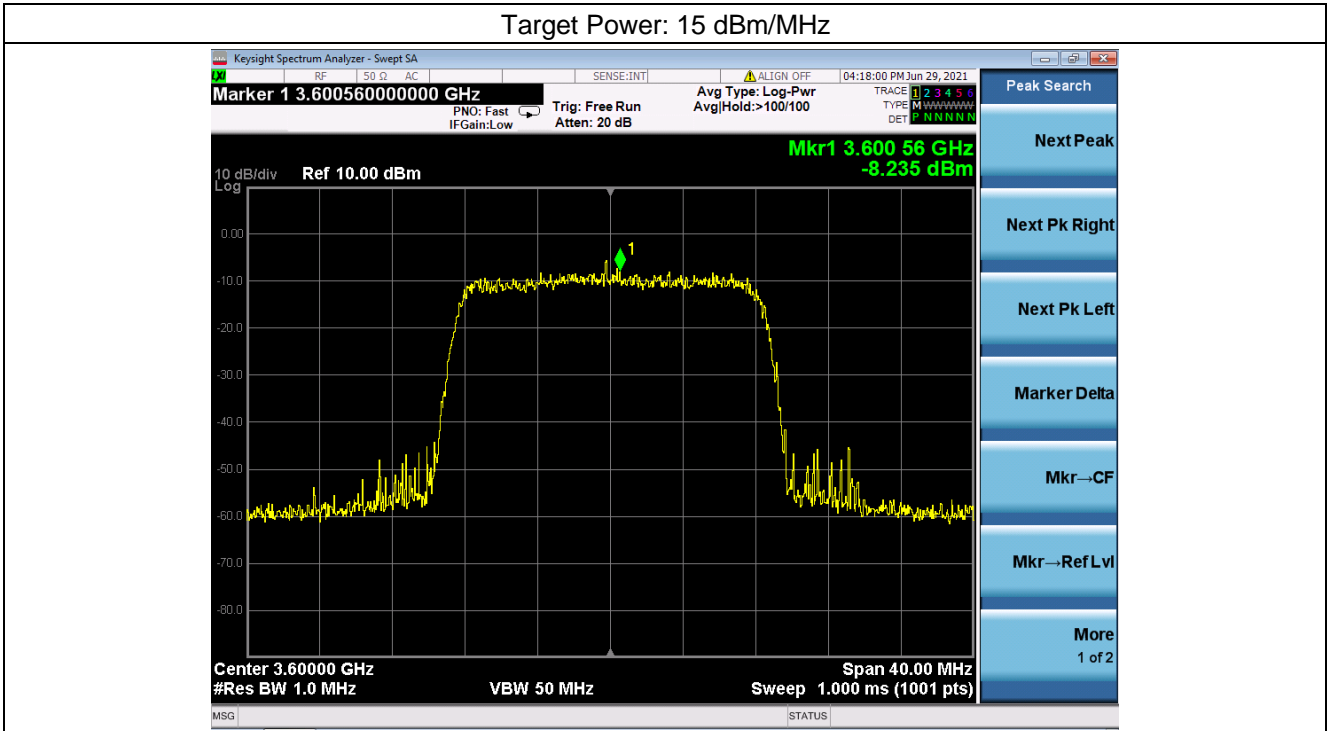
1. maxEIRP= Conducted PSD+ Antenna Gain+ Directional Gain+ Cable loss

2. Directional Gain=10log(n), n=2 for this product



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Target Power: 15 dBm/MHz



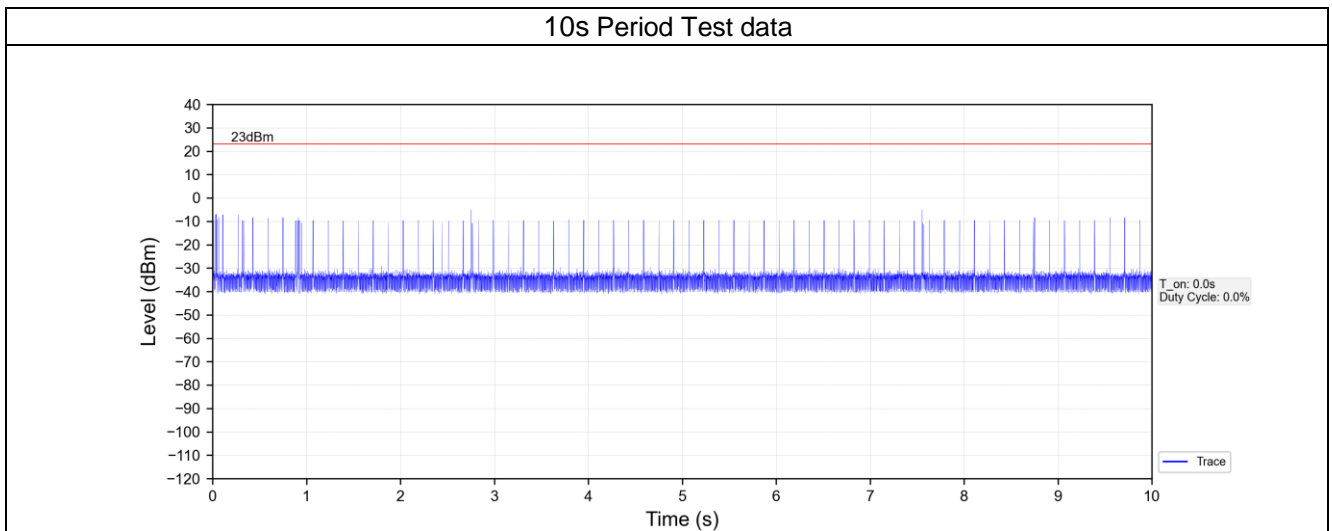
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### 7.8 Duration and Duty Cycle

Duration and Duty Cycle			
Period	Minimum Time (s)	Limit (s)	Pass / Fail
10-second	0.004	1-second	Pass
300-second	0.061	10-second	Pass
3600-second	0.088	20-second	Pass

Note:

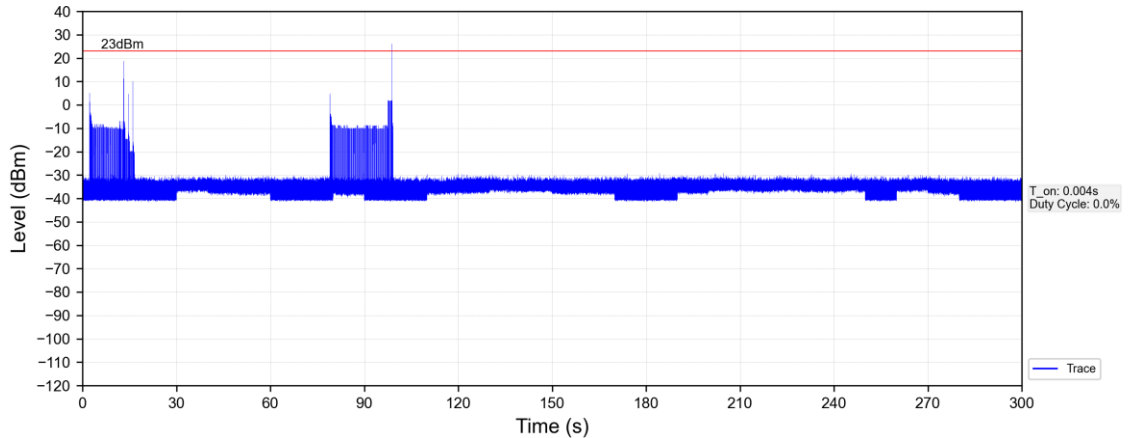
- Limited in duration and duty cycle to the minimum time necessary to get a grant from the SAS. This time should not exceed 1 second within any 10-second period, 10seconds within any 300-second period, or 20 seconds within any 3600-second period.
- Pass = Minimum Time < Limit



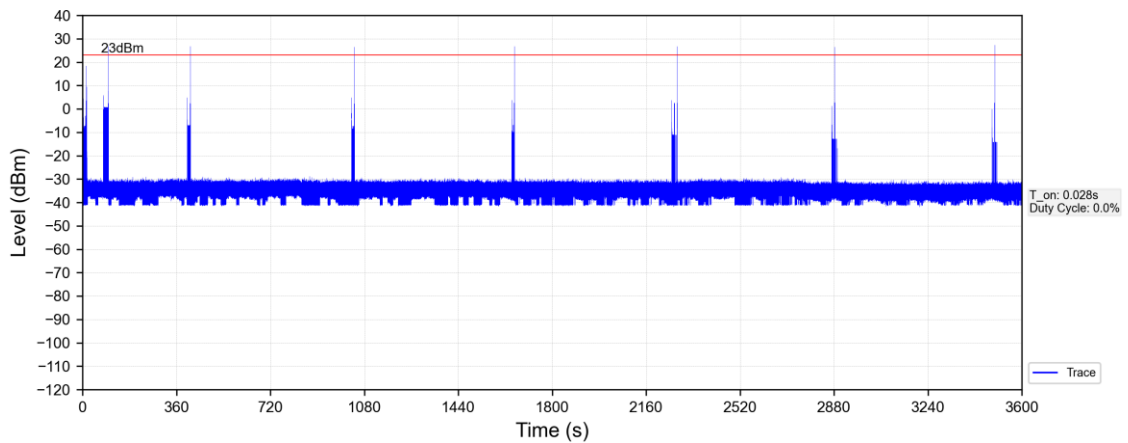
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300s Period Test data



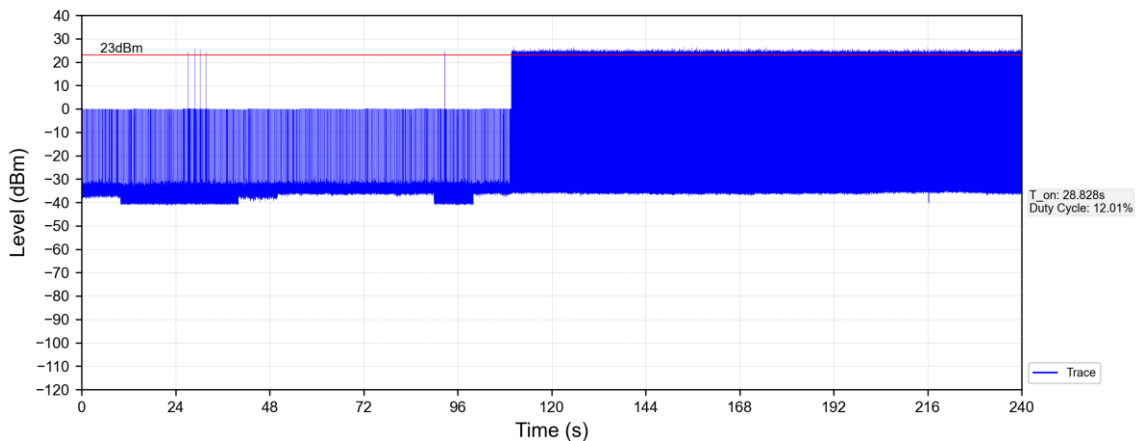
3600s Period Test data



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### 7.9 Verify that the device will register with a SAS when operating below 23 dBm

Verified in test case WINNF.PT.C.HBT.1, the CPE-CBSD as UUT default EIRP is under 23 dBm and can register success with SAS. After granted, the CPE-CBSD UUT will adjust the EIRP by maxEIRP.



**Note:**

1. Red color line in above plot represents the 23 dBm.
2. When Granted the product will transmit at power level above 23dBm.
3. Only when the product sends packets the product will work at max EIRP.



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## 8 Test Data Log

Test data log refer to log files (Log files appendix) except for securitytest cases which shows below.

### 8.1 WINNF.FT.C.SCS.1

No.	Time	Source	Destination	Protocol	Length	Info
51	56.451355	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
52	56.451592	192.168.24.103	192.168.24.2	TLSv1.2	1514	Server Hello
54	56.451592	192.168.24.103	192.168.24.2	TLSv1.2	223	Certificate, Certificate Request, Server Hello Done
58	57.551593	192.168.24.2	192.168.24.103	TCP	1514	43388 → 5000 [ACK] Seq=518 Ack=3090 Win=20448 Len=1460 [TCP segment of a reassembled PDU]
59	57.551593	192.168.24.2	192.168.24.103	TLSv1.2	1514	Certificate [TCP segment of a reassembled PDU]
60	57.551593	192.168.24.2	192.168.24.103	TLSv1.2	630	Client Key Exchange, Certificate Verify, Change Cipher Spec, Encrypted Handshake Message
62	57.568089	192.168.24.103	192.168.24.2	TLSv1.2	105	Change Cipher Spec, Encrypted Handshake Message
64	57.601211	192.168.24.2	192.168.24.103	TLSv1.2	248	Application Data
65	57.601747	192.168.24.103	192.168.24.2	TLSv1.2	108	Application Data
66	57.651584	192.168.24.2	192.168.24.103	TCP	1514	43388 → 5000 [ACK] Seq=4208 Ack=3195 Win=20448 Len=1460 [TCP segment of a reassembled PDU]
67	57.651584	192.168.24.2	192.168.24.103	TLSv1.2	204	Application Data

Frame 52: 1514 bytes on wire (12112 bits), 1514 bytes captured (12112 bits) on interface \Device\NPF\_{F0E1FD08-C659-442E-9883-ACC26A0FCB3A}, id 0  
 > Ethernet II, Src: Realtek5\_74:01:04 (00:e0:4c:74:01:04), Dst: Baicells\_0c:ba:1d (48:bf:74:0c:ba:1d)  
 > Internet Protocol Version 4, Src: 192.168.24.103, Dst: 192.168.24.2  
 > Transmission Control Protocol, Src Port: 5000, Dst Port: 43388, Seq: 1, Ack: 518, Len: 1460  
 > Transport Layer Security  
 > TLSv1.2 Record Layer: Handshake Protocol: Server Hello  
 Content Type: Handshake (22)  
 Version: TLS 1.2 (0x0303)  
 Length: 86  
 > Handshake Protocol: Server Hello  
 Handshake Type: Server Hello (2)  
 Length: 82  
 Version: TLS 1.2 (0x0303)  
 Random: 6ad1752c6e1bad4d3b87e0b69a12976e49f62e214e04243fa7a1ae02c4b32f42  
 Session ID Length: 32  
 Session ID: 1b7b4b3cc8aef4857fba3942989aa460c6422a467d175b723c1627e05a76df19  
 Cipher Suite: TLS\_RSA\_WITH\_AES\_256\_GCM\_SHA384 (0x009d)  
 Compression Method: null (0)  
 Extensions Length: 10  
 > Extension: renegotiation\_info (len=1)  
 Type: renegotiation\_info (65281)  
 Length: 1  
 > Renegotiation Info extension  
 > Extension: heartbeat (len=1)  
 Type: heartbeat (1c)



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## 8.2 WINNF.FT.C.SCS.2

Wireshark Network Analyzer Screenshot showing HTTP traffic. The packet list pane displays two captured packets:

No.	Time	Source	Destination	Protocol	Length	Info
4	0.040416	192.168.24.2	192.168.24.103	HTTP	144	GET /criserver.crl HTTP/1.1
6	0.073673	192.168.24.103	192.168.24.2	HTTP	1152	HTTP/1.1 200 OK

The packet details pane shows the structure of the selected packet (No. 6):

- Frame 4: 144 bytes on wire (1152 bits), 144 bytes captured (1152 bits) on interface \Device\NPF\_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0
- Ethernet II, Src: BaiCells\_0c:ba:1d (48:bf:74:0c:ba:1d), Dst: Realtek\_74:01:04 (00:e0:4c:74:01:04)
- Internet Protocol Version 4, Src: 192.168.24.2, Dst: 192.168.24.103
- Transmission Control Protocol, Src Port: 44488, Dst Port: 80, Seq: 1, Ack: 1, Len: 90
- Hypertext Transfer Protocol

Wireshark Network Analyzer Screenshot showing TLS traffic. The packet list pane displays several captured packets, including a fatal alert:

No.	Time	Source	Destination	Protocol	Length	Info
109	18.728999	192.168.24.103	192.168.24.2	TLSv1.2	548	Application Data, Application Data, Application Data, Application Data, Application Data, A
111	18.769219	192.168.24.2	192.168.24.103	TLSv1.2	85	Encrypted Alert
163	88.489726	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
164	88.489958	192.168.24.103	192.168.24.2	TLSv1.2	1514	Server Hello
166	88.489958	192.168.24.103	192.168.24.2	TLSv1.2	353	Certificate, Certificate Request, Server Hello Done
178	88.619606	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Revoked)
184	104.689542	192.168.24.2	192.168.24.103	TLSv1.2	571	Client Hello
185	104.689726	192.168.24.103	192.168.24.2	TLSv1.2	1514	Server Hello
187	104.689726	192.168.24.103	192.168.24.2	TLSv1.2	353	Certificate, Certificate Request, Server Hello Done
191	104.819462	192.168.24.2	192.168.24.103	TLSv1.2	61	Alert (Level: Fatal, Description: Certificate Revoked)

The packet details pane shows the structure of the selected packet (No. 178):

- Frame 178: 61 bytes on wire (488 bits), 61 bytes captured (488 bits) on interface \Device\NPF\_{F0E1FDF8-C659-442E-9883-ACC26A0FCB3A}, id 0
- Ethernet II, Src: BaiCells\_0c:ba:1d (48:bf:74:0c:ba:1d), Dst: Realtek\_74:01:04 (00:e0:4c:74:01:04)
- Internet Protocol Version 4, Src: 192.168.24.2, Dst: 192.168.24.103
- Transmission Control Protocol, Src Port: 43395, Dst Port: 5000, Seq: 518, Ack: 3220, Len: 7
- Transport Layer Security
  - TLSv1.2 Record Layer: Alert (Level: Fatal, Description: Certificate Revoked)
    - Content Type: Alert (21)
    - Version: TLS 1.2 (0x0303)
    - Length: 2
    - Alert Message
      - Level: Fatal (2)
      - Description: Certificate Revoked (44)

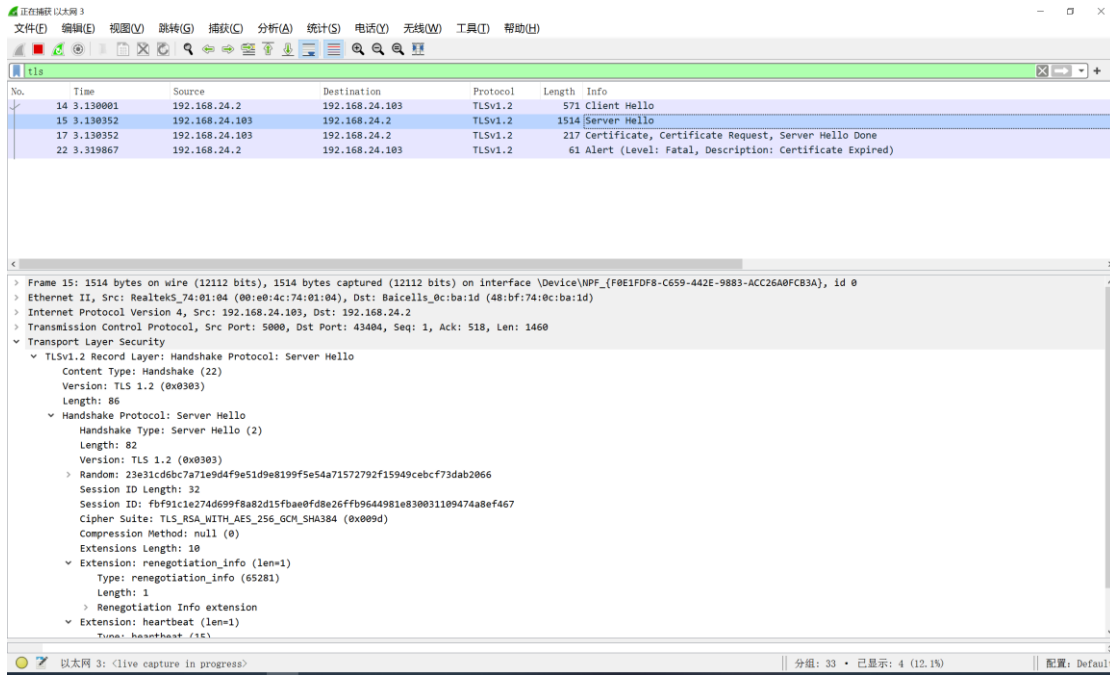


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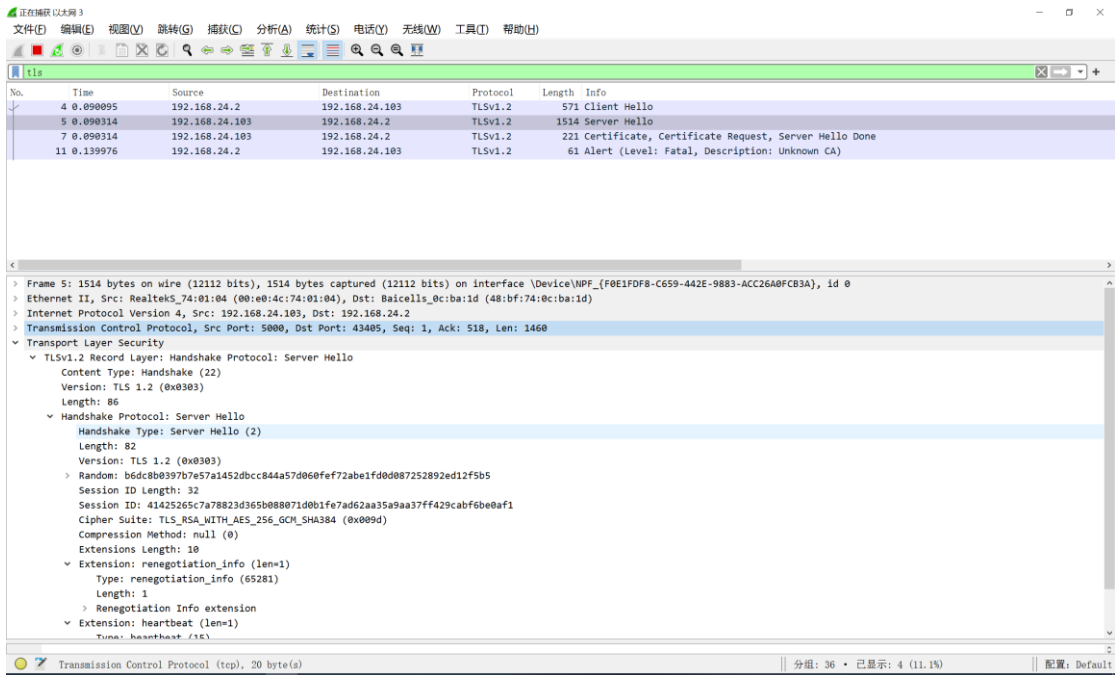
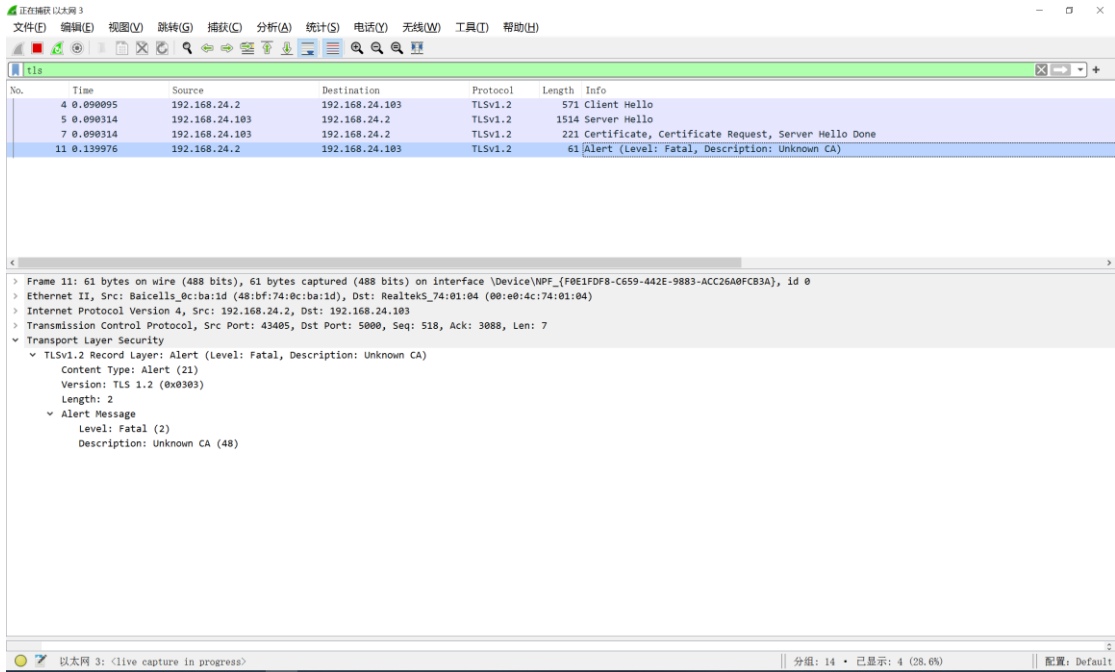


### 8.3 WINNF.FT.C.SCS.3



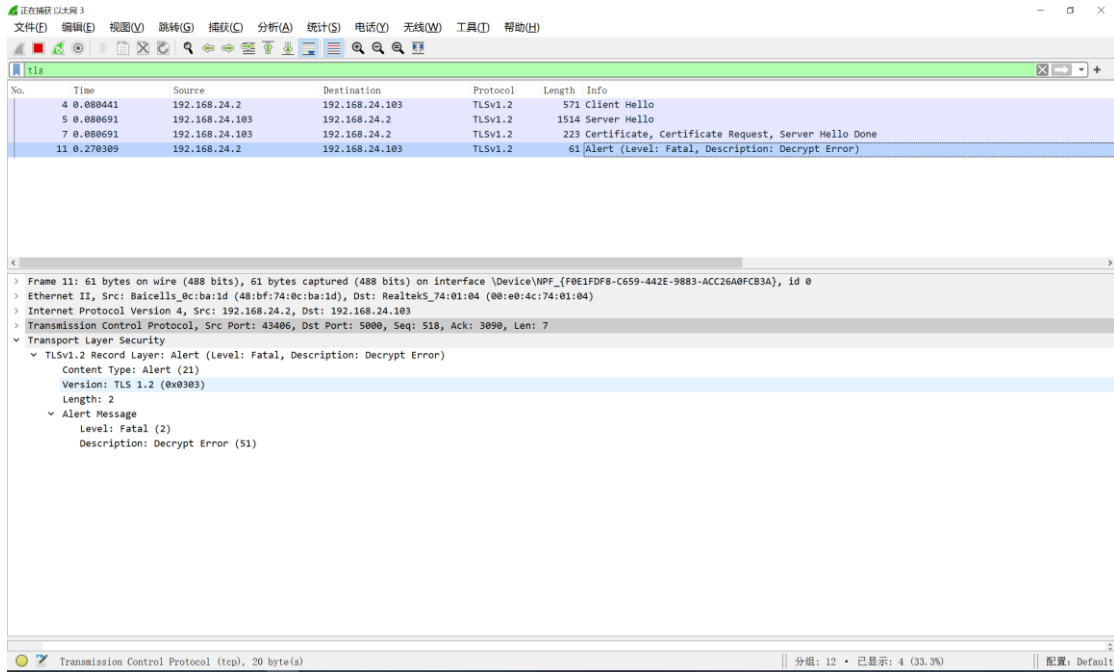
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**8.4 WINNF.FT.C.SCS.4**



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### 8.5 WINNF.FT.C.SCS.5



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## 9 Photographs

### 9.1 EUT Constructional Details (EUT Photos)

Please Refer to external and internal photos for details.

- End of the Report -

