# RF EXPOSURE EVALUATION REPORT

FCC ID : 2ABZ2-EE149 Equipment : Smart Phone

**Brand Name : ONEPLUS** 

Model Name: IN2019

Applicant : OnePlus Technology (Shenzhen) Co., Ltd

18C02, 18C03, 18C04 and 18C05, Shum Yip Terra Building, Binhe Avenue North, Futian

Report No.: FA9D0701B

District, Shenzhen

Manufacturer: OnePlus Technology (Shenzhen) Co., Ltd

18C02, 18C03, 18C04 and 18C05, Shum Yip Terra Building, Binhe Avenue North, Futian

District, Shenzhen

**Standard** : FCC 47 CFR Part 2 (2.1093)

We, SPORTON INTERNATIONAL INC have been evaluated in accordance with 47 CFR Part 2.1093 for the device and pass the limit.

The test results in this report apply exclusively to the tested model / sample. Without written approval of SPORTON INTERNATIONAL INC. EMC & Wireless Communications Laboratory, the test report shall not be reproduced except in full.

Approved by: Cona Huang / Deputy Manager

Sporton International Inc.

No. 52, Huaya 1st Rd., Guishan Dist., Taoyuan City, Taiwan

TEL: 886-3-327-3456 Page 1 of 21 FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



#### Report No.: FA9D0701B

Page 2 of 21

Issued Date : Mar. 06, 2020

# **Table of Contents**

1. Summary	4
1. Summary	4
3. Equipment Under Test (EUT) Information	5
3.1 General Information	5
4. RF Exposure Limits	
4.1 Uncontrolled Environment	6
4.2 Controlled Environment	
5. System Description and Setup	
5.1 EUmmWave Probe / E-Field 5G Probe	
5.2 Data Acquisition Electronics (DAE)	
5.3 Scan configuration	
6. Test Equipment List	
7. System Verification Source	
8. Power Density System Verification	11
9. System Verification Results	
9.1 Computation of the Electric Field Polarization Ellipse	
9.2 Total Field and Power Flux Density Reconstruction	
9.3 Test Positions	
10. RF Exposure Evaluation Results	13
11. Simultaneous-Tx analysis	
11.1 Simultaneous transmission analysis for WiFi/BT + 5G NR	
12. 5G NR + LTE + WLAN + BT Sim-Tx analysis (11.2)	
13. Uncertainty Assessment	
14. References	21

Appendix A. Plots of System Performance Check Appendix B. Plots of Power Density Measurement Appendix C. DASY Calibration Certificate Appendix D. Setup Photo

# History of this test report

Report No.: FA9D0701B

Report No.	Version	Description	Issued Date
FA9D0701B	01	Initial issue of report	Mar. 06, 2020

TEL: 886-3-327-3456 Page 3 of 21 FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 1. Summary

The maximum measured average power density found during testing for OnePlus Technology (Shenzhen) Co., Ltd, Smart Phone, are as follows.

Report No.: FA9D0701B

	Standalone transmission		Simultaneous transmission with other transmitters
RF Transmitter	Measured PD (mW/cm <sup>2</sup> )	Reported PD (mW/cm <sup>2</sup> )	Summation of Exposure Ratio
5G FR2	0.460	0.63	0.991
Result		PASS	

# 2. Guidance Applied

The Power Density testing specification, method, and procedure for this device is in accordance with the following standards:

- FCC 47 CFR Part 2.1091
- FCC 47 CFR Part 2.1093
- FCC KDB 865664 D02 SAR Reporting v01r02
- FCC KDB 447498 D01 General RF Exposure Guidance v06
- FCC KDB 648474 D04 SAR Evaluation Considerations for Wireless Handsets v01r03
- · TCBC workshop notes
- IEC Draft TR 63170

TEL: 886-3-327-3456 Page 4 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

# 3. Equipment Under Test (EUT) Information

## 3.1 General Information

Product Feature & Specification				
Equipment Name	Smart Phone			
Brand Name	ONEPLUS			
FCC ID	2ABZ2-EE149			
Wireless Technology and Frequency Range	GSM850: 824.2 MHz ~ 848.8 MHz GSM81900: 1850.2 MHz ~ 1909.8 MHz WCDMA Band II: 1852.4 MHz ~ 1907.6 MHz WCDMA Band IV: 1712.4 MHz ~ 1752.6 MHz WCDMA Band V: 826.4 MHz ~ 846.6 MHz LTE Band 2: 1850.7 MHz ~ 1909.3 MHz LTE Band 4: 1710.7 MHz ~ 1754.3 MHz LTE Band 5: 824.7 MHz ~ 848.3 MHz LTE Band 7: 2502.5 MHz ~ 2567.5 MHz LTE Band 7: 2502.5 MHz ~ 715.3 MHz LTE Band 12: 699.7 MHz ~ 715.3 MHz LTE Band 13: 779.5 MHz ~ 784.5 MHz LTE Band 13: 779.5 MHz ~ 784.5 MHz LTE Band 25: 1850.7 MHz ~ 1914.3 MHz LTE Band 26: 814.7 MHz ~ 848.3 MHz LTE Band 30: 2307.5 MHz ~ 2312.5 MHz LTE Band 30: 2307.5 MHz ~ 2687.5 MHz LTE Band 38: 2572.5 MHz ~ 2687.5 MHz LTE Band 41: 2498.5 MHz ~ 2687.5 MHz LTE Band 66: 1710.7 MHz ~ 1779.3 MHz LTE Band 67: 665.5 MHz ~ 695.5 MHz SG NR n2: 1852.5 MHz ~ 1907.5 MHz SG NR n66: 1712.5 MHz ~ 1777.5 MHz SG NR n66: 1712.5 MHz ~ 2777.5 MHz SG NR n260: 37GHz-40GHz SG NR n261: 27.5GHz-28.35GHz WLAN 5.2GHz Band: 5500 MHz ~ 5200 MHz WLAN 5.3GHz Band: 5745 MHz ~ 5825 MHz Bluetooth: 2402 MHz ~ 5720 MHz WLAN 5.3GHz Band: 5745 MHz ~ 5825 MHz Bluetooth: 2402 MHz ~ 2480 MHz NFC: 13.56 MHz			
Mode	GSM/GPRS/EGPRS AMR / RMC 12.2Kbps HSDPA HSUPA DC-HSDPA LTE: QPSK, 16QAM, 64QAM 5G NR: CP-OFDM / DFT-s-OFDM · QPSK, 16QAM, 64QAM, 256QAM WLAN 2.4GHz: 802.11b/g/n/ac/ax HT20/HT40/VHT20/VHT40/HE20/HE40 WLAN 5GHz: 802.11a/n/ac/ax HT20/HT40/VHT20/VHT40/VHT80/HE20/HE40/HE80 Bluetooth BR/EDR/LE NFC:ASK			
GSM / (E)GPRS Transfer	Class B – EUT cannot support Packet Switched and Circuit Switched Network simultaneously but can			
mode	automatically switch between Packet and Circuit Switched Network.			
EUT Stage	Identical Prototype			

Report No.: FA9D0701B

Reviewed by: <u>Jason Wang</u> Report Producer: <u>Wan Liu</u>

TEL: 886-3-327-3456 Page 5 of 21 FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 4. RF Exposure Limits

#### 4.1 Uncontrolled Environment

Uncontrolled Environments are defined as locations where there is the exposure of individuals who have no knowledge or control of their exposure. The general population/uncontrolled exposure limits are applicable to situations in which the general public may be exposed or in which persons who are exposed as a consequence of their employment may not be made fully aware of the potential for exposure or cannot exercise control over their exposure. Members of the general public would come under this category when exposure is not employment-related; for example, in the case of a wireless transmitter that exposes persons in its vicinity.

Report No.: FA9D0701B

#### 4.2 Controlled Environment

Controlled Environments are defined as locations where there is exposure that may be incurred by persons who are aware of the potential for exposure, (i.e. as a result of employment or occupation). In general, occupational/controlled exposure limits are applicable to situations in which persons are exposed as a consequence of their employment, who have been made fully aware of the potential for exposure and can exercise control over their exposure. The exposure category is also applicable when the exposure is of a transient nature due to incidental passage through a location where the exposure levels may be higher than the general population/uncontrolled limits, but the exposed person is fully aware of the potential for exposure and can exercise control over his or her exposure by leaving the area or by some other appropriate means.

The criteria listed in Table 1 shall be used to evaluate the environmental impact of human exposure above 6GHz to radio frequency (RF) radiation as specified in §1.1310.

General Population Basic restriction for power density for frequencies between 1.5GHz and 100 GHz is 1.0  $\text{mW/cm}^2 = 10$   $\text{W/m}^2$ 

Frequency range (MHz)	Electric field strength (V/m)	Magnetic field strength (A/m)	Power density (mW/cm <sup>2</sup> )	Averaging time (minutes)
8.	(A) Limits for O	cupational/Controlled Expos	sures	W: 1111 122 1
0.3-3.0	614	1.63	*(100)	6
3.0-30	1842/	f 4.89/1	*(900/f2)	6
30-300	61.4	0.163	1.0	6
300-1500			f/300	6
1500-100,000			5	6
	(B) Limits for Gene	ral Population/Uncontrolled I	Exposure	ac.
0.3-1.34	614	1.63	*(100)	30
1.34-30	824/	f 2.19/1	*(180/f2)	30
30-300	27.5	0.073	0.2	30
300-1500			f/1500	30
1500-100,000	1		1.0	30

Table 1

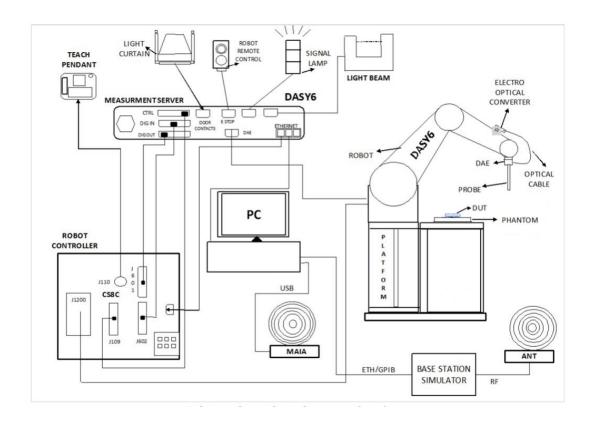
TEL: 886-3-327-3456 Page 6 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

Report No.: FA9D0701B

## 5. System Description and Setup

The system to be used for the near field power density measurement

- SPEAG DASY6 system
- SPEAG cDASY6 5G module software
- EUmmWVx probe
- 5G Phantom cover

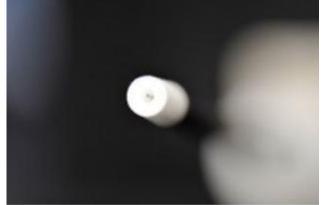


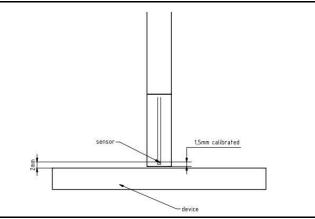
TEL: 886-3-327-3456 Page 7 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 5.1 EUmmWave Probe / E-Field 5G Probe

The probe design allows measurements at distances as small as 2 mm from the sensors to the surface of the device under test (DUT). The typical sensor to probe tip distance is 1.5 mm.

Frequency	750 MHz – 110 GHz
Probe Overall Length	320 mm
Probe Body Diameter	8.0 mm
Tip Length	23.0 mm
Tip Diameter	8.0 mm
Probe's two dipoles length	0.9 mm – Diode loaded
Dynamic Range	< 20 V/m - 10000 V/m with PRE-10 (min < 50 V/m - 3000 V/m)
Position Precision	< 0.2 mm
Distance between diode sensors and probe's tip	1.5 mm
Minimum Mechanical separation between probe tip and a Surface	0.5 mm
Applications	E-field measurements of 5G devices and other mm-wave transmitters operating above 10GHz in < 2 mm distance from device (free-space)  Power density, H-field and far-field analysis using total field reconstruction.
Compatibility	cDASY6 + 5G-Module SW1.0 and higher





Report No.: FA9D0701B

TEL: 886-3-327-3456 Page 8 of 21 FAX: 886-3-328-4978 Issued Date : Mar. 06, 2020

### RF EXPOSURE EVALUATION REPORT

#### 5.2 Data Acquisition Electronics (DAE)

The data acquisition electronics (DAE) consists of a highly sensitive electrometer-grade preamplifier with auto-zeroing, a channel and gain-switching multiplexer, a fast 16 bit AD-converter and a command decoder and control logic unit. Transmission to the measurement server is accomplished through an optical downlink for data and status information as well as an optical uplink for commands and the clock.

The input impedance of the DAE is 200 MOhm; the inputs are symmetrical and floating. Common mode rejection is above 80 dB.



Report No.: FA9D0701B

### 5.3 Scan configuration

Fine-resolution scans on 2 different planes are performed to reconstruct the E- and H-fields as well as the power density; the z-distance between the 2 planes is set to  $\lambda/4$ .

The (x, y) grid step is also set  $\lambda/4$ , the grid extent is set to sufficiently large to identify the field pattern and the peak.

## 6. Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration		
Manufacturer	icturer Name of Equipment Type/Moder Serial Number		Last Cal.	Due Date		
SPEAG	5G Verification Source	30 GHz	1007	Nov. 19, 2019	Nov. 18, 2020	
SPEAG	EUmmWV Probe Tip Protection	EUmmWV4	9461	Nov. 05, 2019	Nov. 04, 2020	
SPEAG	Data Acquisition Electronics	DAE4	376	Dec. 06, 2019	Dec. 05, 2020	
Testo	Hygro meter	608-H1	45196600	Nov. 18, 2019	Nov. 17, 2020	
Agilent	Spectrum Analyzer	N9010A	MY54200486	Oct. 28, 2019	Oct. 27, 2020	
Custom Microwave	Standard Horn antenna	M15RH	V91113-A	NCR	NCR	

TEL: 886-3-327-3456 Page 9 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

# 7. System Verification Source

The System Verification sources at 30 GHz and above comprise horn-antennas and very stable signal generators.

Report No.: FA9D0701B

Model	Ka-band horn antenna
Calibrated frequency:	30 GHz at 10mm from the case surface
Frequency accuracy	± 100 MHz
E-field polarization	linear
Harmonics	-20 dBc
Total radiated power	14 dBm
Power stability	0.05 dB
Power consumption	5 W
Size	00 x 100 x 100 mm
Weight	1 kg

TEL: 886-3-327-3456 Page 10 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 8. Power Density System Verification

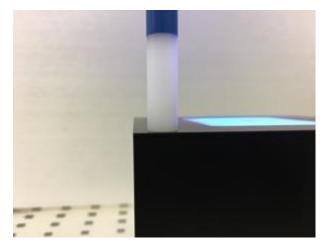
The system performance check verifies that the system operates within its specifications.

The EUT is replaced by a calibrated source, the same spatial resolution, measurement region and the test separation used in the calibration was applied to system check. Through visual inspection into the measured power density distribution, both spatially (shape) and numerically (level) have no noticeable difference. The measured results should be within 0.66dB of the calibrated targets.

Frequency [GHz]	Grid step	Grid extent X/Y [mm]	Measurement points
10	$0.25 \left(\frac{\lambda}{4}\right)$	120/120	$16 \times 16$
30	$0.25 \left(\frac{\tilde{\lambda}}{4}\right)$	60/60	$24 \times 24$
60	$0.25 \left(\frac{\hat{\lambda}}{4}\right)$	32.5/32.5	$26 \times 26$
90	$0.25 \ (\frac{\lambda}{4})$	30/30	$36 \times 36$

Settings for measurement of verification sources





Report No.: FA9D0701B

**Verification Setup photo** 

## 9. System Verification Results

Date	Frequency (GHz)	5G Verification Source	Probe S/N	DAE S/N	Distance (mm)	Measured 4 cm^2 (W/m^2)	Targeted 4 cm^2 (W/m^2)	Deviation (dB)
2020/2/9	30	30GHz_1007	9461	376	10	30.7	34.1	-0.41
2020/2/15	30	30GHz_1007	9461	376	10	30.8	34.1	-0.40

TEL: 886-3-327-3456 Page 11 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

#### RF EXPOSURE EVALUATION REPORT

#### 9.1 Computation of the Electric Field Polarization Ellipse

For the numerical description of an arbitrarily oriented ellipse in three-dimensional space, five parameters are needed: the semi-major axis (a), the semi-minor axis (b), two angles describing the orientation of the normal vector of the ellipse  $(\emptyset,\theta)$ , and one angle describing the tilt of the semi-major axis  $(\psi)$ . For the two extreme cases, i.e., circular and linear polarizations, three parameters only (a,  $\emptyset$  and  $\theta$ ) are sufficient for the description of the incident field.

Report No.: FA9D0701B

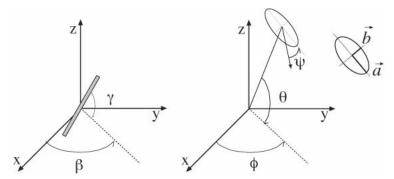


Illustration of the angles used for the numerical description of the sensor and the orientation of an ellipse in 3-D space.

For the reconstruction of the ellipse parameters from measured data, the problem can be reformulated as a nonlinear search problem. The semi-major and semi-minor axes of an elliptical field can be expressed as functions of the three angles ( $\emptyset$ ,  $\theta$  and  $\psi$ ). The parameters can be uniquely determined towards minimizing the error based on least-squares for the given set of angles and the measured data. In this way, the number of free parameters is reduced from five to three, which means that at least three sensor readings are necessary to gain sufficient information for the reconstruction of the ellipse parameters. However, to suppress the noise and increase the reconstruction accuracy, it is desirable that the system of equations be over determined. The solution to use a probe consisting of two sensors angled by  $r_1$  and  $r_2$  toward the probe axis and to perform measurements at three angular positions of the probe, i.e., at  $\beta_1$ ,  $\beta_2$  and  $\beta_3$ , results in over-determinations by a factor of two. If there is a need for more information or increased accuracy, more rotation angles can be added. The reconstruction of the ellipse parameters can be separated into linear and non-linear parts that are best solved by the Givens algorithm combined with a downhill simplex algorithm. To minimize the mutual coupling, sensor angles are set with a shift of 90 degree ( $r_2 = r_1 + 90$  degree), and to simplify, the first rotation angle of the probe ( $\beta_1$ ) can be set to 0 degree.

#### 9.2 Total Field and Power Flux Density Reconstruction

Computation of the power density in general requires knowledge of the electric and magnetic field amplitudes and phases in the plane of incidence. Reconstruction of these quantities from pseudo-vector E-field measurements is feasible, as they are constrained by Maxwell's equations. SPEAG have developed a reconstruction approach based on the Gerchberg-Saxton algorithm, which benefits from the availability of the E-field polarization ellipse information obtained with the EUmmWV2 probe.

The average of the reconstructed power density is evaluated over a circular area in each measurement plane. Two average power density values can be computed, the average total power density and the average incident power density, and the average total power density is used to determine compliance.

- $|Re\{S\}|$  is the total Poynting vector
- n ·  $Re\{S\}$  is the normal Poything vector

The software post-processing reports to values, "S avg tot" and "S avg inc". "S avg tot" represents average total power density (all three xyz components included), and "S avg inc" represents average normal power density. The average total power density "S avg tot" is reported to determine the device compliance.

TEL: 886-3-327-3456 Page 12 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



#### 9.3 Test Positions

Exposure	Measurement Plane						
Position	Front 2mm	Back 2mm	Left Side 2mm	Right Side 2mm	Top Side 2mm	Bottom Side 2mm	
Test Required	No	Yes	Yes	YEs	No	No	

Report No.: FA9D0701B

From the Part 0 report, beam IDs with highest PD and corresponding input.power.limit were selected to be tested for each antenna module and for each frequency band

## 10. RF Exposure Evaluation Results

- 1. The PD test was performed of a 2mm separation between sensor and EUT surface (the probe tip is 0.5mm to the EUT surface).
- 2. According to TCBC Workshop in October 2018, 4 cm^2 averaging area are used.
- 3. This device is enabled with Qualcomm® Smart Transmit feature, smart transmit will manage and ensure LTE and 5G simultaneous transmission is compliant. The validation of the time-averaging algorithm and compliance under the Tx varying transmission scenario for WWAN technologies are reported in Part 2 report.
- 4. The device was configured to transmit CW wave signal for testing, due to Qualcomm® Smart Transmit feature, additional testing was not required for different modulations (CP-OFDM QPSK, CP-OFDM 16QAM, CP-OFDM 64QAM), RB configurations, component carriers, channel configurations (low channel, mid channel, high channel).
- 5. It's illustrated in Part 0 report that , for 5G mmW NR since there is total design-related uncertainty arising from TxAGC and device-to-device variation, the worst-case RF exposure should be determined by accounting for this device uncertainty of 2.1 dB, as well as n260 PD design target of 0.462 mW/cm² for antenna module 0/1, 0.52 mW/cm² for antenna module 2, as well as n261 PD design target of 0.462 mW/cm² for antenna module 0/1/2. Smart Transmit algorithm limits PD exposure to 75% of maximum to provide at least 25% margin allocated for 4G LTE anchor. Therefore, 5G mmW NR RF exposure for this DUT is evaluated by reported PD calculated as:

Reported PD=75% x PD design target +2.1 dB =0.462 mW/cm<sup>2</sup>

TEL: 886-3-327-3456 Page 13 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



Report No.: FA9D0701E	Report	No.	: FA9	D0701B
-----------------------	--------	-----	-------	--------

Test number	antenna module	Beam ID 1	Beam ID 2	Frequency (GHz)	Exposure Surface	Input Power limit(dB)	Test separation	modulation	Measured results Savg tot 4cm^2 (W/m2)	Epeak [V/m]	Hpeak [A/m]
	0	20	-	27.55	Back	3.3	2mm	CW	4.22	79.7	0.24
01	0	-	162	27.55	Back	3.8	2mm	CW	4.6	84.9	0.253
	0	20	147	27.55	Back	0.4	2mm	CW	2.96	70.2	0.188
	0	0	-	27.55	Back	9.3	2mm	CW	2.35	116	0.297
	1	38	-	27.925	Left Side	3.9	2mm	CW	4.18	68.4	0.178
	1	=	155	28.3	Left Side	5	2mm	CW	3.14	62.8	0.153
	1	38	166	28.3	Left Side	0.9	2mm	CW	2.57	50.9	0.122
	2	30	-	27.925	Right Side	3.6	2mm	CW	3.49	61	0.152
	2	=	157	27.925	Right Side	3.9	2mm	CW	3.55	63.8	0.181
	2	42	170	27.925	Right Side	0.4	2mm	CW	2.83	50.8	0.132
	0	36	-	39.95	Back	4	2mm	CW	3.77	83.4	0.227
	0	-	146	39.95	Back	4.5	2mm	CW	4.22	96.4	0.297
	0	36	164	39.95	Back	1.1	2mm	CW	1.21	74.6	0.116
	1	26	-	38.5	Left Side	3	2mm	CW	3.56	92.3	0.249
	1	-	154	38.5	Left Side	3.5	2mm	CW	3.98	96.9	0.258
	1	23	154	38.5	Left Side	-0.3	2mm	CW	1.78	62.5	0.149
	2	31	-	38.5	Right Side	2.1	2mm	CW	2.81	78	0.232
	2	-	159	38.5	Right Side	2.2	2mm	CW	1.8	54.7	0.14
	2	31	159	39.95	Right Side	-0.9	2mm	CW	0.939	43.3	0.094
	2	43	-	38.5	Right Side	2.3	2mm	CW	3.13	81.2	0.222
02	2	2	-	38.5	Right Side	7.7	2mm	CW	4.56	87	0.245

TEL: 886-3-327-3456 Page 14 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

### 11. Simultaneous-Tx analysis

NO.	Simultaneous Transmissian Configurations	Exposure Positions						
NO.	Simultaneous Transmission Configurations	Head	Hotspot	Body-worn	Extremity			
1.	2.4GHz WLAN ANT7+9 + LTE+ n260/n261	Yes	Yes	Yes	Yes			
2.	2.4GHz WLAN Ant7+9 + 5GHz WLAN Ant7+8 + LTE+ n260/n261	Yes	Yes	Yes	Yes			
3.	5GHz WLAN Ant7+8 + BT Ant 7 + LTE+ n260/n261	Yes	Yes	Yes	Yes			

Report No.: FA9D0701B

#### **General Note:**

- The WLAN and Bluetooth SAR test results were referring the report of FCC ID: 2ABZ2-EE149 (Sporton SAR Report No. FA9D0701A).
- Considering n260/n261 transmitter with WLAN and Bluetooth can transmit simultaneously, the basic restrictions are on SAR and power density, and summation of these quantities should follow below formula and the simultaneous transmission analysis was following below step.
  - i) Use the standalone SAR according original report to collocate with n260/n261 transmitter power density at each exposure positions, if the result < 1, additional analysis is not necessary.

The  $[\sum \text{ of (the highest measured or estimated SAR for each standalone antenna configuration, adjusted for maximum tune-up tolerance) / 1.6 W/kg] + <math>[\sum \text{ of MPE ratios}]$  is  $\leq$  1.0.

#### 11.1 Simultaneous transmission analysis for WiFi/BT + 5G NR

NR Band	Antenna Module	Surface	Evaluation Distance (mm)	Ratio*	PD_Design Target (W/m^2)	PD_Design Target x Ratio (W/m^2)
		worst-surface	2	1	7.5	7.500
<b>~200</b>	0/4	Front	2	0.643	7.5	4.823
n260	0/1	worst-surface	10mm	0.726	7.5	5.445
		worst-surface	15mm**	0.726	7.5	5.445
		worst-surface	2	1	8.43	8.430
	2	Front	2	0.643	8.43	5.420
n260		Back	2	0.841	8.43	7.090
		worst-surface	10mm	0.726	8.43	6.120
		worst-surface	15mm**	0.726	8.43	6.120
		worst-surface	2	1	7.5	7.500
n261	2000/1/2	Front	2	0.623	7.5	4.673
11201	2000/1/2	worst-surface	10mm	0.728	7.5	5.460
		worst-surface	15mm**	0.728	7.5	5.460

<sup>\*</sup>Ratio is highest ratio of (PD on desired exposure plane) / (PD on worst-surface at 2mm evaluation distance) out of all beams and out of all channels include in Power Density Simulation Report.

TEL: 886-3-327-3456 Page 15 of 21 FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

<sup>\*\*</sup>Ratio at 10mm is used for conservative evaluation.



## <h style="background-color: blue;"> <h style="background-color: bl

		2	3	4	8	Reported SAR/1.6 + PD/10 Summation				
Band	Exposure Position	2.4GHz WLAN Ant 7+9	5GHz WLAN Ant 7+8	Bluetooth Ant 7	PD	2+8 Summed	3+8 Summed	2+3+8 Summed	3+4+8 Summed	
		1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	4cm^2(W/m^2)	Ratio	Ratio	Ratio	Ratio	
	Right Cheek	0.165	0.033	0.059	4.823	0.585	0.503	0.606	0.540	
NOCO Madula 0/4	Right Tilted	0.128	0.047	0.038	4.823	0.562	0.512	0.592	0.535	
N260 Module 0/1	Left Cheek	0.508	0.098	0.194	4.823	0.800	0.544	0.861	0.665	
	Left Tilted	0.344	0.039	0.137	4.823	0.697	0.507	0.722	0.592	
	Right Cheek	0.165	0.033	0.059	5.420	0.645	0.563	0.666	0.600	
NOCO Madula O	Right Tilted	0.128	0.047	0.038	5.420	0.622	0.571	0.651	0.595	
N260 Module 2	Left Cheek	0.508	0.098	0.194	5.420	0.860	0.603	0.921	0.725	
	Left Tilted	0.344	0.039	0.137	5.420	0.757	0.566	0.781	0.652	
	Right Cheek	0.165	0.033	0.059	4.673	0.570	0.488	0.591	0.525	
NIOCA Marabala O/A/O	Right Tilted	0.128	0.047	0.038	4.673	0.547	0.497	0.577	0.520	
N261 Module 0/1/2	Left Cheek	0.508	0.098	0.194	4.673	0.785	0.529	0.846	0.650	
	Left Tilted	0.344	0.039	0.137	4.673	0.682	0.492	0.707	0.577	

Report No.: FA9D0701B

## <a href="#"><Hotspot Exposure Condition></a>

		2	3	4	8	Repo	orted SAR/1.6 -	PD/10 Summa	ation
Band	Exposure Position	2.4GHz WLAN Ant 7+9	5GHz WLAN Ant 7+8	Bluetooth Ant 7	PD	2+8 Summed	3+8 Summed	2+3+8 Summed	3+4+8 Summed
		1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	4cm^2(W/m^2)	Ratio	Ratio	Ratio	Ratio
	Front	0.116	0.001	0.033	5.445	0.617	0.545	0.618	0.566
	Back	0.134	0.427	0.044	5.445	0.628	0.811	0.895	0.839
N260 Module	Left side				5.445	0.545	0.545	0.545	0.545
0/1	Right side	0.100	0.074	0.035	5.445	0.607	0.591	0.653	0.613
	Top side	0.065	0.100	0.013	5.445	0.585	0.607	0.648	0.615
	Bottom side				5.445	0.545	0.545	0.545	0.545
	Front	0.116	0.001	0.033	6.120	0.685	0.613	0.685	0.633
	Back	0.134	0.427	0.044	6.120	0.696	0.879	0.963	0.906
N260 Module 2	Left side				6.120	0.612	0.612	0.612	0.612
N260 Module 2	Right side	0.100	0.074	0.035	6.120	0.675	0.658	0.721	0.680
	Top side	0.065	0.100	0.013	6.120	0.653	0.675	0.715	0.683
	Bottom side				6.120	0.612	0.612	0.612	0.612
	Front	0.116	0.001	0.033	5.460	0.619	0.547	0.619	0.567
	Back	0.134	0.427	0.044	5.460	0.630	0.813	0.897	0.840
N261 Module	Left side				5.460	0.546	0.546	0.546	0.546
0/1/2	Right side	0.100	0.074	0.035	5.460	0.609	0.592	0.655	0.614
	Top side	0.065	0.100	0.013	5.460	0.587	0.609	0.649	0.617
	Bottom side				5.460	0.546	0.546	0.546	0.546

TEL: 886-3-327-3456 Page 16 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



## <Body-Worn Exposure Condition>

		2	3	4	orted SAR/1.6 + PD/10 Summation				
Band	Exposure Position	2.4GHz WLAN Ant 7+9	5GHz WLAN Ant 7+8	Bluetooth Ant 7	PD	2+8 Summed Ratio	3+8 Summed	2+3+8 Summed	3+4+8 Summed
		1g SAR (W/kg)	1g SAR (W/kg)	1g SAR (W/kg)	4cm^2(W/m^2)		Ratio	Ratio	Ratio
N260 Module	Front	0.056	0.001	0.016	5.445	0.580	0.545	0.580	0.555
0/1	Back	0.067	0.287	0.022	5.445	0.586	0.724	0.766	0.738
N260 Module 2	Front	0.056	0.001	0.016	6.120	0.647	0.613	0.648	0.623
N260 Module 2	Back	0.067	0.287	0.022	6.120	0.654	0.791	0.833	0.805
N261 Module 0/1/2	Front	0.056	0.001	0.016	5.460	0.581	0.547	0.582	0.557
	Back	0.067	0.287	0.022	5.460	0.588	0.725	0.767	0.739

Report No.: FA9D0701B

## <Product Specific Exposure Condition>

	Exposure Position	2	3	4	8	Rep	orted SAR/4 +	PD/10 Summa	tion
Band		2.4GHz WLAN Ant 7+9	5GHz WLAN Ant 7+8	Bluetooth Ant 7	PD	2+8 Summed	3+8 Summed	2+3+8 Summed	3+4+8 Summed Ratio
		10g SAR (W/kg)	10g SAR (W/kg)	10g SAR (W/kg)	4cm^2(W/m^2)	Ratio	Ratio	Ratio	
	Front		0.059		7.500	0.750	0.765	0.765	0.765
	Back		0.963		7.500	0.750	0.991	0.991	0.991
N260 Module	Left side				7.500	0.750	0.750	0.750	0.750
0/1	Right side		0.280		7.500	0.750	0.820	0.820	0.820
	Top side		0.188		7.500	0.750	0.797	0.797	0.797
	Bottom side				7.500	0.750	0.750	0.750	0.750
	Front		0.059		8.430	0.843	0.858	0.858	0.858
	Back		0.963		7.090	0.709	0.950	0.950	0.950
N260 Module	Left side				8.430	0.843	0.843	0.843	0.843
2	Right side		0.280		8.430	0.843	0.913	0.913	0.913
	Top side		0.188		8.430	0.843	0.890	0.890	0.890
	Bottom side				8.430	0.843	0.843	0.843	0.843
	Front		0.059		7.500	0.750	0.765	0.765	0.765
	Back		0.963		7.500	0.750	0.991	0.991	0.991
N261 Module	Left side				7.500	0.750	0.750	0.750	0.750
0/1/2	Right side		0.280		7.500	0.750	0.820	0.820	0.820
	Top side		0.188		7.500	0.750	0.797	0.797	0.797
	Bottom side				7.500	0.750	0.750	0.750	0.750

TEL: 886-3-327-3456 Page 17 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 12. <u>5G NR + LTE + WLAN + BT Sim-Tx analysis (11.2)</u>

In 5G NR + LTE + WLAN + BT simultaneous transmission, 5G NR and LTE transmission are managed and controlled by Qualcomm® Smart Transmit, while the RF exposure from WLAN and BT radios is managed using legacy approach, i.e., through a fixed power back-off if needed.

Report No.: FA9D0701B

Since WLAN and BT do not employ time-averaging, 1gSAR and 10gSAR measurement for WLAN and BT need to be conducted at their corresponding rated power following current FCC test procedures to determine reported SAR values.

Smart Transmit current implementation assumes hotspots from 5G NR and LTE are collocated. Therefore, for a total of 100% exposure margin, if LTE uses x%, then the exposure margin left for 5G NR is capped to (100-x)%. Thus, the compliance equation for LTE + 5G NR is

$$x\% * A + (100-x)\% * B \le 1.0$$
,

Where, A is normalized reported time-averaged SAR exposure ratio from LTE, and A  $\leq$  1.0; B is normalized reported time-averaged exposure ratio from 5G NR (i.e., PD exposure for mmW NR or SAR exposure for sub6 NR), and B  $\leq$ 1.0.

Let C = normalized reported SAR exposure ratio from WLAN+BT, then for compliance,

$$x\% *A + (100-x)\% *B + C \le 1.0$$
 (

$$x\% * A + (100-x)\% * B \le x\% * max(A, B) + (100-x)\% * max(A, B) \le max(A, B)$$

$$x\% * A + (100-x)\% * B + C \le max(A, B) + C \le 1.0$$
 (2)

if A + C  $\leq$  1.0 and B + C  $\leq$  1.0 can be proven, then "x% \* A + (100-x)% \* B + C  $\leq$  1.0". Therefore simultaneous transmission analysis for 5G NR + LTE + WLAN + BT can be performed in two steps

Step 1: Prove total exposure ratio (TER) of LTE + WLAN + BT < 1

Step 2: Prove total exposure ratio (TER) of 5G NR + WLAN + BT < 1

Step 1: it's justified in Part 1 SAR report (Sporton report number FA9D0635A, rev.01)

Step 2: it's justified in section 11.1

TEL: 886-3-327-3456 Page 18 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



#### RF EXPOSURE EVALUATION REPORT

During TER analysis, the *reported* time-averaged PD (assuming *input.power.limit* for at least one beam < NV setting *Pmax*) applies only to the worst-surface of the device. For other surfaces, worst-case PD needs to be calculated to assess TER for the corresponding surface. To determine worst-case PD for other surfaces, using simulation results

1. Calculate ratio of simulated PD for desired surface to simulated PD of worstsurface for a given beam

Report No.: FA9D0701B

- 2. Repeat 1 to obtain ratios for all supported beams, and determine maximum ratio
- 3. Repeat 1~2 to obtain the corresponding worst-case PD for rest of surfaces (non worst-case surfaces) needed for TER analysis.

For example, if the back surface of device has highest PD and is determined as worst-surface, then,

- Back\_surface\_worst-case\_PD = reported time-averaged PD
   where, reported time-averaged PD = PD\_design\_target + mmW device design
   related uncertainty
- For other surfaces
  - ho front\_surface\_worst-case\_PD = PD\_ratio\_front\_to\_back \* reported timeaveraged PD where, PD\_ratio\_front\_to\_back = max  $\left\{\frac{simulated\ PD_{front(i)}}{simulated\ P_{back(i)}}, beam\ i=1,2...N\right\}$ , N= total N beams (all beams) supported by the mmW module being evaluated being evaluated.
  - Follow similar approach to determine worst-case PD for bottom/top/left/right (if applicable).
- For body-worn and hotspot scenario, if SAR was measured at 15mm and 10mm, respectively, then the worst-case PD at 15mm and 10mm separation distance should be determined per surface as
  - > 15mm\_worst-case\_PD = PD\_ratio\_15mm\_to\_0mm \* reported timeaveraged PD Here, PD\_ratio\_15 mm \_to\_0mm = max  $\left\{\frac{simulated\ Pd\ at\ 15\ mm\ (i)}{simulated\ PD\ at\ 0\ mm\ (i)}, beam\ i = 1,2 ... N\right\}$ , N = total number of beams (all beams) supported by the mmW module being evaluated.
  - >  $10mm\_worst\text{-}case\_PD = PD\_ratio\_10mm\_to\_0mm * reported timeaveraged PD$ Here, PD\_ratio\_15 mm \_to\_0mm =  $max \left\{ \frac{simulated\ Pd\ at\ 10\ mm\ (i)}{simulated\ PD\ at\ 0\ mm\ (i)}, beam\ i = 1,2 ... N \right\}$ , , N = total number of beams (all beams) supported by the mmW module being evaluated.
  - Note the validated model/simulation should be used in worst-case PD determination.

Test Engineer: Steven Chang and Tom Jiang

TEL: 886-3-327-3456 Page 19 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020

## 13. <u>Uncertainty Assessment</u>

The budget is valid for evaluation distances >  $\lambda/2\pi$ . For specific tests and configurations, the Uncertainty could be considerably smaller.

Report No.: FA9D0701B

Preliminary Module mmWave Uncertainty Budget Evaluation Distances to the Antennas > $\lambda$ / $2\pi$												
Error Description	Uncertainty Value (± dB)	Probability	Divisor	(Ci)	Standard Uncertainty (±dB)	(Vi) Veff						
Measurement System												
Probe Calibration	0.49	N	1	1	0.49	$\infty$						
Hemispherical Isotropy	0.50	R	1.732	1	0.29	$\infty$						
Linearity	0.20	R	1.732	0	0.12	$\infty$						
System Detection Limits	0.04	R	1.732	1	0.02	$\infty$						
Modulation Response	0.40	R	1.732	1	0.23	$\infty$						
Readout Electronics	0.03	N	1	1	0.03	$\infty$						
Response Time	0.00	R	1.732	1	0.00	$\infty$						
Integration Time	0.00	R	1.732	1	0.00	$\infty$						
RF Ambient Noise	0.2	R	1.732	1	0.12	$\infty$						
RF Ambient Reflections	0.21	R	1.732	1	0.12	$\infty$						
Probe Positioner	0.04	R	1.732	1	0.02	$\infty$						
Probe Positioning	0.30	R	1.732	1	0.17	$\infty$						
Savg Reconstruction	0.60	R	1.732	1	0.35	$\infty$						
Test Sample Related			_			_						
Power Drift	0.2	R	1.732	1	0.12	$\infty$						
Input Power	0.00	$\infty$										
	0.76 dB	∞										
	K=2											
E	xpanded STD Un	certainty			1.52 dB							

TEL: 886-3-327-3456 Page 20 of 21 FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020



## 14. References

[1] FCC 47 CFR Part 2 "Frequency Allocations and Radio Treaty Matters; General Rules and Regulations"

Report No.: FA9D0701B

- [2] FCC KDB 447498 D01 v06, "Mobile and Portable Device RF Exposure Procedures and Equipment Authorization Policies", Oct 2015
- [3] FCC KDB 865664 D02 v01r02, "RF Exposure Compliance Reporting and Documentation Considerations" Oct 2015.
- [4] FCC KDB 648474 D04 v01r03, "SAR Evaluation Considerations for Wireless Handsets", Oct 2015.

TEL: 886-3-327-3456 Page 21 of 21
FAX: 886-3-328-4978 Issued Date: Mar. 06, 2020