



# Test Under Dynamic Transmission Condition

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# 1. Introduction

The equipment under test (EUT) is a smart phone. It contains the Qualcomm modem supporting 2G/3G/4G technologies and 5G NR bands. These modems enable Qualcomm Smart Transmit feature to control and manage transmitting power in real time and to ensure at all times the timeaveraged

RF exposure is in compliance with the FCC requirement.

This purpose of the Part 2 report is to demonstrate the EUT complies with FCC RF exposure requirement under Tx varying transmission scenarios, thereby validity of Qualcomm Smart Transmit feature for FCC equipment authorization

The Plimit used in this report is determined in Part 0 and Part 1 reports.

Refer to PART 0 SAR AND POWER DENSITY CHAR REPORT, for product description and terminology used in this report.

The PART 0 report please refer to *R141TL5 sub6\_mmw power density simulation report*.

The PART 1 report please refer to *SZ21070331S01\_Reliance\_R141TL5\_FCC SAR-Part 1*.



## 2. Tx Varying Transmission Test Cases and Test Proposal

To validate time averaging feature and demonstrate the compliance in Tx varying transmission conditions, the following transmission scenarios are covered in Part 2 test:

1. During a time-varying Tx power transmission: To prove that the Smart Transmit feature accounts for Tx power variations in time accurately.
2. During a call disconnect and re-establish scenario: To prove that the Smart Transmit feature accounts for history of past Tx power transmissions accurately.
3. During technology/band handover: To prove that the Smart Transmit feature functions correctly during transitions in technology/band.
4. During DSI (Device State Index) change: To prove that the Smart Transmit feature functions correctly during transition from one device state (DSI) to another.
5. During antenna (or beam) switch: To prove that the Smart Transmit feature functions correctly during transitions in antenna (such as AsDiv scenario) or beams (different antenna array configurations).
6. SAR vs. PD exposure switching during sub-6+mmW transmission: To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance during transitions in SAR dominant exposure, SAR+PD exposure, and PD dominant exposure scenarios.
7. During time window switch: To prove that the Smart Transmit feature correctly handles the transition from one time window to another specified by FCC, and maintains the normalized timeaveraged RF exposure to be less than normalized FCC limit of 1.0 at all times.
8. SAR exposure switching between two active radios (radio1 and radio2): To prove that the Smart Transmit feature functions correctly and ensures total RF exposure compliance when exposure varies among SAR\_radio1 only, SAR\_radio1 + SAR\_radio2, and SAR\_radio2 only scenarios.

As described in Part 0 report, the RF exposure is proportional to the Tx power for a SAR- and PD-characterized wireless device. Thus, feature validation in Part 2 can be effectively performed through conducted (for  $f < 6\text{GHz}$ ) and radiated (for  $f \geq 6\text{GHz}$ ) power measurement. Therefore, the compliance demonstration under dynamic transmission conditions and feature validation are done in conducted/radiated power measurement setup for transmission scenario 1 through 8. To add confidence in the feature validation, the time-averaged SAR and PD measurements are also performed but only performed for transmission scenario 1 to avoid the complexity in SAR and PD measurement (such as, for scenario 3 requiring change in SAR probe calibration file to accommodate different bands and/or tissue simulating liquid).

The strategy for testing in Tx varying transmission condition is outlined as follows:

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged power measurements
  - Measure conducted Tx power (for  $f < 6\text{GHz}$ ) versus time, and radiated Tx power (EIRP for  $f > 10\text{GHz}$ ) versus time.
  - Convert it into RF exposure and divide by respective FCC limits to get normalized exposure versus time.
  - Perform running time-averaging over FCC defined time windows.
  - Demonstrate that the total normalized time-averaged RF exposure is less than 1 for all transmission scenarios (i.e., transmission scenarios 1, 2, 3, 4, 5, 6, 7, and 8) at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

- For sub-6+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (2a)$$

$$4cm^2PD(t) = \frac{radiated\_Tx\_power(t)}{radiated\_Tx\_power\_input.power.limit} * 4cm^2PD\_input.power.limit \quad (2b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2\ PD\ limit} \leq 1 \quad (2c)$$

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission. Similarly,  $radiated\_Tx\_power(t)$ ,  $radiated\_Tx\_power\_input.power.limit$ , and  $4cm^2PD\_input.power.limit$  correspond to the measured instantaneous radiated Tx power, radiated Tx power at  $input.power.limit$  (i.e., radiated power limit), and  $4cm^2PD$  value at  $input.power.limit$  corresponding to mmW transmission. Both  $P_{limit}$  and  $input.power.limit$  are the parameters pre-defined in Part 0 and loaded via Embedded File System (EFS) onto the EUT.  $T_{SAR}$  is the FCC defined time window for sub-6 radio;  $T_{PD}$  is the FCC defined time window for mmW radio.

- Demonstrate the total RF exposure averaged over FCC defined time windows does not exceed FCC's SAR and PD limits, through time-averaged SAR and PD measurements. Note as mentioned earlier, this measurement is performed for transmission scenario 1 only.

- For sub-6 transmission only, measure instantaneous SAR versus time; for LTE+sub6 NR transmission, request low power (or all-down bits) on LTE so that measured SAR predominantly corresponds to sub6 NR.
- For LTE + mmW transmission, measure instantaneous E-field versus time for mmW radio and instantaneous conducted power versus time for LTE radio.
- Convert it into RF exposure and divide by respective FCC limits to obtain normalized exposure versus time.
- Perform time averaging over FCC defined time window.
- Demonstrate that the total normalized time-averaged RF exposure is less than 1 for transmission scenario 1 at all times.

Mathematical expression:

- For sub-6 transmission only:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_P_{limit}} * 1g\_or\_10gSAR(t)\_P_{limit} \quad (3a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} \leq 1 \quad (3b)$$

- For LTE+mmW transmission:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_P_{limit}} * 1g\_or\_10gSAR\_P_{limit} \quad (4a)$$

$$4cm^2PD(t) = \frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2} * 4cm^2PD\_input.power.limit \quad (4b)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(t) dt}{FCC\ SAR\ limit} + \frac{\frac{1}{T_{PD}} \int_{t-T_{PD}}^t 4cm^2PD(t) dt}{FCC\ 4cm^2PD\ limit} \leq 1 \quad (4c)$$

where,  $pointSAR(t)$ ,  $pointSAR\_P_{limit}$ , and  $1g\_or\_10gSAR\_P_{limit}$  correspond to the measured instantaneous point SAR, measured point SAR at  $P_{limit}$ , and measured  $1gSAR$  or  $10gSAR$  values at  $P_{limit}$  corresponding to sub-6 transmission. Similarly,  $pointE(t)$ ,  $pointE\_input.power.limit$ , and  $4cm^2PD\_input.power.limit$  correspond to the measured instantaneous E-field, E-field at  $input.power.limit$ , and  $4cm^2PD$  value at  $input.power.limit$  corresponding to mmW transmission.

NOTE: cDASY6 measurement system by Schmid & Partner Engineering AG (SPEAG) of Zurich, Switzerland measures relative E-field, and provides ratio of

$$\frac{[pointE(t)]^2}{[pointE\_input.power.limit]^2} \text{ versus time.}$$

## 3. Tx Varying Transmission Test Cases and Test Proposal

This chapter provides the test plan and test procedure for validating Qualcomm Smart Transmit feature for sub-6 transmission. The 100 seconds time window for operating  $f < 3\text{GHz}$  is used as an example to detail the test procedures in this chapter. The same test plan and test procedures described in this chapter apply to 60 seconds time window for operating  $f \geq 3\text{GHz}$ .

### 3.1. Test sequence determination for validation

Following the FCC recommendation, two test sequences having time-variation in Tx power are predefined for sub-6 ( $f < 6\text{GHz}$ ) validation:

- Test sequence 1: request EUT's Tx power to be at maximum power, measured  $P_{max}$  †, for 80s, then requesting for half of the maximum power, i.e., measured  $P_{max}/2$ , for the rest of the time.
- Test sequence 2: request EUT's Tx power to vary with time. This sequence is generated relative to measured

$P_{max}$ , measured  $P_{limit}$  and calculated  $P_{reserve}$  (= measured  $P_{limit}$  in dBm -  $Reserve\_power\_margin$  in dB) of EUT based on measured  $P_{limit}$ .

The details for generating these two test sequences is described and listed in Appendix A.

Note: For test sequence generation, "measured  $P_{limit}$ " and "measured  $P_{max}$ " are used instead of the " $P_{limit}$ " specified in EFS entry and " $P_{max}$ " specified for the device, because Smart Transmit feature operates against the actual power level of the " $P_{limit}$ " that was calibrated for the EUT. The "measured  $P_{limit}$ " accurately reflects what the feature is referencing to, therefore, it should be used during feature validation testing. The RF tune up and device-to-device variation are already considered in Part 0 report prior to determining  $P_{limit}$ .

### 3.2. Test configuration selection criteria for validating Smart Transmit feature

For validating Smart Transmit feature, this section provides a general guidance to select test cases. In practice, an adjustment can be made in test case selection. The justification/clarification may be provided.



### 3.2.1 Test configuration selection for time-varying Tx power transmission

The Smart Transmit time averaging feature operation is independent of bands, modes, and channels for a given technology. Hence, validation of Smart Transmit in one band/mode/channel per technology is sufficient. Two bands per technology are proposed and selected for this testing to provide high confidence in this validation.

The criteria for the selection are based on the *Plimit* values determined in Part 0 report. Select two bands\* in each supported technology that correspond to least\*\* and highest\*\*\* *Plimit* values that are less than *Pmax* for validating Smart Transmit.

\* If one *Plimit* level applies to all the bands within a technology, then only one band needs to be tested. In this case, within the bands having the same *Plimit*, the radio configuration (e.g., # of RBs, channel#) and device position that correspond to the highest *measured* 1g SAR at *Plimit* shown in Part 1 report is selected.

\*\* In case of multiple bands having the same least *Plimit* within the technology, then select the band having the highest *measured* 1g SAR at *Plimit*.

\*\*\* The band having a higher *Plimit* needs to be properly selected so that the power limiting enforced by Smart Transmit can be validated using the pre-defined test sequences. If the highest *Plimit* in a technology is too high where the power limiting enforcement is not needed when testing with the pre-defined test sequences, then the next highest level is checked. This process is continued within the technology until the second band for validation testing is determined.

### 3.2.2 Test configuration selection for change in call

The criteria to select a test configuration for call-drop measurement is:

■ Select technology/band with least *Plimit* among all supported technologies/bands, and select the radio

configuration (e.g., # of RBs, channel#) in this technology/band that corresponds to the highest *measured*

1g SAR at *Plimit* listed in Part 1 report.

■ In case of multiple bands having same least *Plimit*, then select the band having the highest *measured* 1g SAR at *Plimit* in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power, the above band selection will result in Tx power enforcement (i.e., EUT forced to have Tx power at *Preserve*) for longest duration in one FCC defined time window. The call change (call drop/reestablish) is performed during the Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*). One test is sufficient as the feature operation is independent of technology and band.

### 3.2.3 Test configuration selection for change in technology/band

The selection criteria for this measurement is, for a given antenna, to have EUT switch from a technology/band with lowest *Plimit* within the technology group (in case of multiple bands having the same *Plimit*, then select the band with highest *measured* 1g SAR at *Plimit*) to a technology/band with highest *Plimit* within the technology group, in case of multiple bands having the same *Plimit*, then select the band with lowest *measured* 1g SAR at *Plimit* in Part 1 report, or vice versa. This test is performed with the EUT's Tx power requested to be at maximum power, the technology/band switch is performed during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

### 3.2.4 Test configuration selection for change in antenna

The criteria to select a test configuration for antenna switch measurement is:

- Whenever possible and supported by the EUT, first select antenna switch configuration within the same technology/band (i.e., same technology and band combination).
- Then, select any technology/band that supports multiple Tx antennas, and has the highest difference in *Plimit* among all supported antennas.
- In case of multiple bands having same difference in *Plimit* among supported antennas, then select the band having the highest *measured* 1g SAR at *Plimit* in Part 1 report.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and antenna change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

### 3.2.5 Test configuration selection for change in DSI

The criteria to select a test configuration for DSI change test is:

- Select a technology/band having the  $Plimit < Pmax$  within any technology and DSI group, and for the same technology/band having a different *Plimit* in any other DSI group. Note that the selected DSI transition need to be supported by the device.

This test is performed with the EUT's Tx power requested to be at maximum power in selected technology/band, and DSI change is conducted during Tx power enforcement duration (i.e., during the time when EUT is forced to have Tx power at *Preserve*).

### 3.2.6 Test configuration selection for SAR exposure switching

If supported, the test configuration for SAR exposure switching should cover:

1. SAR exposure switch when two active radios are in the same time window
2. SAR exposure switch when two active radios are in different time windows. One test with two active radios in any two different time windows is sufficient as Smart Transmit operation is the same for RF exposure switch in any combination of two different time windows. For device supporting LTE + mmW NR, this test is covered in Section 8.2.3 and 8.2.4.

The Smart Transmit time averaging operation is independent of the source of SAR exposure (for example, LTE vs. Sub6 NR) and ensures total time-averaged RF exposure compliance. Hence, validation of Smart Transmit in any one simultaneous SAR transmission scenario (i.e., one combination for LTE + Sub6 NR transmission) is sufficient, where the SAR exposure varies among SARradio1 only, SARradio1 + SARradio2, and SARradio2 only scenarios.

The criteria to select a test configuration for validating Smart Transmit feature during SAR exposure switching scenarios is:

- Select any two < 6GHz technologies/bands that the EUT supports simultaneous transmission (for example, LTE+Sub6 NR).
- Among all supported simultaneous transmission configurations, the selection order is
  1. select one configuration where both *P<sub>limit</sub>* of radio1 and radio2 is less than their corresponding *P<sub>max</sub>*, preferably, with different *P<sub>limits</sub>*. If this configuration is not available, then,
  2. select one configuration that has *P<sub>limit</sub>* less than its *P<sub>max</sub>* for at least one radio. If this cannot be found, then,
  3. select one configuration that has *P<sub>limit</sub>* of radio1 and radio2 greater than *P<sub>max</sub>* but with least (*P<sub>limit</sub>* – *P<sub>max</sub>*)delta.

Test for one simultaneous transmission scenario is sufficient as the feature operation is the same.

This section provides general conducted power measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can be made in these procedures. The justification/clarification may be provided.

### 3.3.1 Time-varying Tx power transmission scenario

This test is performed with the two pre-defined test sequences described in Section 3.1 for all the technologies and bands selected in Section 3.2.1. The purpose of the test is to demonstrate the effectiveness of power limiting enforcement and that the time-averaged SAR (corresponding time- averaged Tx power) does not exceed the FCC limit at all times (see Eq. (1a) and (1b)).

#### Test procedure

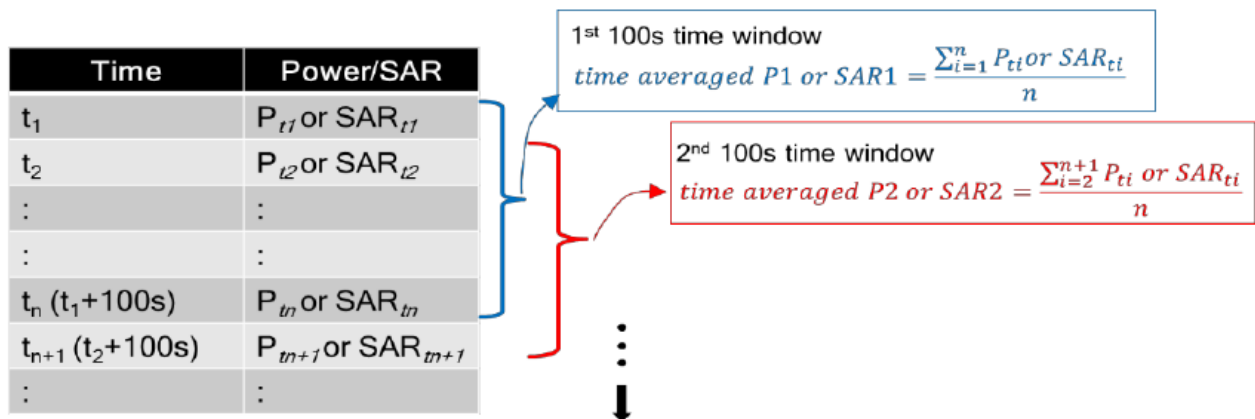
1. *P<sub>max</sub>*, measure *P<sub>limit</sub>* and calculate *Reserve* (= measured *P<sub>limit</sub>* in dBm – *Reserve\_power\_margin* in dB) and follow Section 3.1 to generate the test sequences for all the technologies and bands selected in Section 3.2.1. Both test sequence 1 and test sequence 2 are created based on measured *P<sub>max</sub>* and measured *P<sub>limit</sub>* of the EUT. Test condition to measure *P<sub>max</sub>* and *P<sub>limit</sub>* is:
  - Measure *P<sub>max</sub>* with Smart Transmit disabled and callbox set to request maximum power.
  - Measure *P<sub>limit</sub>* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB; callbox set

to request maximum power.

2. Set *Reserve\_power\_margin* to actual (intended) value (3dB for this EUT based on Part 1 report) and reset power on EUT to enable Smart Transmit, establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power to be at pre-defined test sequence 1, measure and record Tx power versus time, and then convert the conducted Tx power into 1g SAR or 1g SAR value (see Eq. (1a)) using measured *P<sub>limit</sub>* from above Step 1. Perform running time average to determine time-averaged power and 1g SAR or 1g SAR versus time as illustrated in Figure 3-1 where using 100-seconds time window as an example.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at *P<sub>limit</sub>* for the corresponding technology/band/antenna/DSI reported in Part 1 report.

Note: For an easier computation of the running time average, 0 dBm can be added at the beginning of the test sequences the length of the responding time window, for example, add 0dBm for 100-seconds so the running time average can be directly performed starting with the first 100-seconds data using excel spreadsheet. This technique applies to all tests performed in this Part 2 report for easier time-averaged computation using excel spreadsheet.



**Figure 3-1 100s running average illustration**

3. Make one plot containing:

- a. Instantaneous Tx power versus time measured in Step 2,
- b. Requested Tx power used in Step 2 (test sequence 1),
- c. Computed time-averaged power versus time determined in Step 2,
- d. Time-averaged power limit (corresponding to FCC SAR limit of 1.6 W/kg for 1g SAR or 1.6W/kg for 1g SAR) given by:

$$Time\ averaged\ power\ limit = meas.P_{limit} + 10 \times \log\left(\frac{FCC\ SAR\ limit}{meas.SAR\_P_{limit}}\right) \quad (5a)$$

where

*meas. P<sub>limit</sub>* and *meas. SAR\_P<sub>limit</sub>* correspond to measured power at *P<sub>limit</sub>* and measured



SAR at *Plimit*.

4. Make another plot containing:

- a Amputated time-averaged 1g SAR or 1g SAR versus time determined in Step 2
- b SARlimit of 1.6W/kg or FCC 1g SAR limit of 1.6W/kg.

5. Repeat Steps 2 ~ 4 for pre-defined test sequence 2 and replace the requested Tx power (test sequence 1) in Step 2 with test sequence 2.

6. Repeat Steps 2 ~ 5 for all the selected technologies and bands.

The validation criteria are, at all times, the time-averaged power versus time shown in Step 3 plot shall not exceed the time-averaged power limit (defined in Eq. (5a)), in turn, the time- averaged 1g SAR or 1g SAR versus time shown in Step 4 plot shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

### 3.3.2 Change in call scenario

This test is to demonstrate that Smart Transmit feature accurately accounts for the past Tx powers during time-averaging when a new call is established.

The call disconnects and re-establishment needs to be performed during power limit enforcement, i.e., when the EUT's Tx power is at *Preserve* level, to demonstrate the continuity of RF exposure management and limiting in call change scenario. In other words, the RF exposure averaged over any FCC defined time window (including the time windows containing the call change) doesn't exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

#### Test procedure

1. Measure *Plimit* for the technology/band selected in Section 3.2.2. Measure *Plimit* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB; callbox set to request maximum power.
2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit.
3. Establish radio link with callbox in the selected technology/band.
4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then drop the call for ~10 seconds. Afterwards, re-establish another call in the same radio configuration (i.e., same technology/band/channel) and continue callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time. Once the measurement is done, extract instantaneous Tx power versus time, convert the measured conducted Tx power into 1g SAR or 1g SAR value using Eq. (1a), and then perform the running time average to

determine time-averaged power and 1g SAR or 1g SAR versus time.

Note: In Eq.(1a), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at  $P_{limit}$  for the corresponding technology/band/antenna/DSI reported in Part 1 report.

5. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).

6. Make another plot containing: (a) computed time-averaged 1g SAR or 1g SAR versus time, and (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

The validation criteria are, at all times, the time-averaged power versus time shall not exceed the time- averaged power limit (defined in Eq.(5a)), in turn, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (1b)).

### 3.3.3 Change in technology and band

This test is to demonstrate the correct power control by Smart Transmit during technology switches and/or band handovers.

Similar to the change in call test in Section 3.3.2, to validate the continuity of RF exposure limiting during the transition, the technology and band handover needs to be performed when EUT's Tx power is at *Preserve* level (i.e., during Tx power enforcement) to make sure that the EUT's Tx power from previous *Preserve* level to the new *Preserve* level (corresponding to new technology/band). Since the  $P_{limit}$  could vary with technology and band, Eq. (1a) can be written as follows to convert the instantaneous Tx power in 1g SAR or 1g SAR exposure for the two given radios, respectively:

$$1g\_or\_10gSAR_1(t) = \frac{conducted\_Tx\_power\_1(t)}{conducted\_Tx\_power\_P_{limit\_1}} * 1g\_or\_10gSAR\_P_{limit\_1} \quad (6a)$$

$$1g\_or\_10gSAR_2(t) = \frac{conducted\_Tx\_power\_2(t)}{conducted\_Tx\_power\_P_{limit\_2}} * 1g\_or\_10gSAR\_P_{limit\_2} \quad (6b)$$

$$\frac{1}{T_{SAR}} \left[ \int_{t-T_{SAR}}^{t_1} \frac{1g\_or\_10gSAR_1(t)}{FCC\ SAR\ limit} dt + \int_{t-T_{SAR}}^t \frac{1g\_or\_10gSAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (6c)$$

where,  $conducted\_Tx\_power\_1(t)$ ,  $conducted\_Tx\_power\_P_{limit\_1}$ , and  $1g\_or\_1g\ SAR\_P_{limit\_1}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1g SAR or 1g SAR value at  $P_{limit}$  of technology1/band1;  $conducted\_Tx\_power\_2(t)$ ,  $conducted\_Tx\_power\_P_{limit\_2}(t)$ , and  $1g\_or\_1g\ SAR\_P_{limit\_2}$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at  $P_{limit}$ , and measured 1g SAR or 1g SAR value at  $P_{limit}$  of technology2/band2. Transition from technology1/band1 to the technology2/band2 happens at time- instant 't1'.

#### Test procedure

1. Measure  $P_{limit}$  for both the technologies and bands selected in Section 3.2.3. Measure  $P_{limit}$  with Smart Transmit enabled and  $Reserve\_power\_margin$  set to 0 dB; callbox set to request



maximum power.

2. Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit

3. Establish radio link with callbox in first technology/band selected.

4. Request EUT's Tx power at 0 dBm for at least one time window specified for the selected technology/band, followed by requesting EUT's Tx power to be at maximum power for about ~60 seconds, and then switch to second technology/band selected. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time of at least another full duration of the specified time window. Measure and record Tx power versus time for the full duration of the test.

5. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1g SAR or 1g SAR value using Eq. (6a) and (6b) and corresponding measured *Plimit* values from Step 1 of this section. Perform the running time average to determine time-averaged power and 1g SAR or 1g SAR versus time.

Note: In Eq.(6a) & (6b), instantaneous Tx power is converted into instantaneous 1g SAR or 1g SAR value by applying the measured worst-case 1g SAR or 1g SAR value at *Plimit* for the corresponding technology/band/antenna/DSI reported in Part 1 report.

6. Make one plot containing: (a) instantaneous Tx power versus time, (b) requested power, (c) computed time-averaged power, (d) time-averaged power limit calculated using Eq.(5a).

7. Make another plot containing: (a) computed time-averaged 1g SAR or 1g SAR versus time, and (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (6c)).

### 3.3.4 Change in antenna

This test is to demonstrate the correct power control by Smart Transmit during antenna switches from one antenna to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with antenna switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.

Note: If the EUT does not support antenna switch within the same technology/band, but has multiple antennas to support different frequency bands, then the antenna switch test is included as part of change in technology and band (Section 3.3.3) test.

### 3.3.5 Change in DSI

This test is to demonstrate the correct power control by Smart Transmit during DSI switches from one DSI to another. The test procedure is identical to Section 3.3.3, by replacing technology/band switch operation with DSI switch. The validation criteria are, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 4.0 W/kg for

10g SAR.

### 3.3.6 Change in time window

This test is to demonstrate the correct power control by Smart Transmit during the change in averaging time window when a specific band handover occurs. FCC specifies time-averaging windows of 100s for Tx frequency < 3GHz, and 60s for Tx frequency between 3GHz and 6GHz. To validate the continuity of RF exposure limiting during the transition, the band handover test needs to be performed when EUT handovers from operation band less than 3GHz to greater than 3GHz and vice versa. The equations (3a) and (3b) in Section 2 can be written as follows for transmission scenario having change in time window,

$$1gSAR_1(t) = \frac{\text{conducted\_Tx\_power\_1}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_1}} * 1g\_or\ 10g\_SAR\_P_{limit\_1} \quad (7a)$$

$$1gSAR_2(t) = \frac{\text{conducted\_Tx\_power\_2}(t)}{\text{conducted\_Tx\_power\_P}_{limit\_2}} * 1g\_or\ 10g\_SAR\_P_{limit\_2} \quad (7b)$$

$$\frac{1}{T1_{SAR}} \left[ \int_{t-T1_{SAR}}^{t_1} \frac{1g\_or\ 10g\_SAR_1(t)}{FCC\ SAR\ limit} dt \right] + \frac{1}{T2_{SAR}} \left[ \int_{t-T2_{SAR}}^t \frac{1g\_or\ 10g\_SAR_2(t)}{FCC\ SAR\ limit} dt \right] \leq 1 \quad (7c)$$

where,  $\text{conducted\_Tx\_power\_1}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{limit\_1}(t)$ , and  $1g\_or\ 10g\_SAR\_P_{limit\_1}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or\ 10g\_SAR$  values at  $P_{limit\_1}$  of band1 with time-averaging window ' $T1_{SAR}$ ';  $\text{conducted\_Tx\_power\_2}(t)$ ,  $\text{conducted\_Tx\_power\_P}_{limit\_2}(t)$ , and  $1g\_or\ 10g\_SAR\_P_{limit\_2}$  correspond to the instantaneous Tx power, conducted Tx power at  $P_{limit}$ , and compliance  $1g\_or\ 10g\_SAR$  values at  $P_{limit\_2}$  of band2 with time-averaging window ' $T2_{SAR}$ '. One of the two bands is less than 3GHz, another is greater than 3GHz. Transition from first band with time-averaging window ' $T1_{SAR}$ ' to the second band with time-averaging window ' $T2_{SAR}$ ' happens at time-instant ' $t_1$ '.

#### Test procedure

8. Measure P<sub>limit</sub> for both the technologies and bands selected in Section 3.2.6. Measure P<sub>limit</sub> with Smart Transmit enabled and Reserve<sub>power</sub>\_margin set to 0 dB, callbox set to request maximum power.

9. Set Reserve<sub>power</sub>\_margin to actual (intended) value and enable Smart Transmit

#### Transition from 100s time window to 60s time window, and vice versa

10. Establish radio link with callbox in the technology/band having 100s time window selected in Section 3.2.6.

11. Request EUT's Tx power to be at 0 dBm for at least 100 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~140 seconds, and then switch to second technology/band (having 60s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~60s in this second technology/band, and then switch back to the first technology/band. Continue with callbox





requesting EUT's Tx power to be at maximum power for at least another 100s. Measure and record Tx power versus time for the entire duration of the test.

12. Once the measurement is done, extract instantaneous Tx power versus time, and convert the conducted Tx power into 1gSAR or 10gSAR value (see Eq. (7a) and (7b)) using corresponding technology/band Step 1 result, and then perform 100s running average to determine time-averaged 1gSAR or 10gSAR versus time. Note that in Eq.(7a) & (7b), instantaneous Tx power is converted into instantaneous 1gSAR or 10gSAR value by applying the worst-case 1gSAR or 10gSAR value tested in Part 1 for the selected technologies/bands at Plimit.

13. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 4.

14. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 5, (b) computed time-averaged 1gSAR versus time determined in Step 5, and (c) corresponding regulatory 1gSARlimit of 1.6W/kg.

### **Transition from 60s time window to 100s time window, and vice versa**

15. Establish radio link with callbox in the technology/band having 60s time window selected in Section 3.2.6.

16. Request EUT's Tx power to be at 0 dBm for at least 60 seconds, followed by requesting EUT's Tx power to be at maximum power for about ~80 seconds, and then switch to second technology/band (having 100s time window) selected in Section 3.2.6. Continue with callbox requesting EUT's Tx power to be at maximum power for about ~100s in this second technology/band, and then switch back to the first technology/band. Continue with callbox requesting EUT's Tx power to be at maximum power for the remaining time for a total test time of 500 seconds. Measure and record Tx power versus time for the entire duration of the test.

17. Repeat above Step 5~7 to generate the plots

The validation criteria is, at all times, the time-averaged 1gSAR or 10gSAR versus time shall not exceed the regulatory 1gSARlimit of 1.6W/kg.

### **3.3.7 SAR exposure switching**

This test is to demonstrate that Smart Transmit feature is accurately accounts for switching in exposures among SAR from radio1 only, SAR from both radio1 and radio2, and SAR from radio2 only scenarios, and ensures total time-averaged RF exposure complies with the FCC limit. Here, radio1 represents primary radio (for example, LTE anchor in a NR non-standalone mode call) and radio2 represents secondary radio (for example, sub6 NR or mmW NR). The detailed test



procedure for SAR exposure switching in the case of LTE+Sub6 NR non-standalone mode transmission scenario is provided in Appendix B.2.

### Test procedure

1. Measure conducted Tx power corresponding to *Plimit* for radio1 and radio2 in selected band.

Test condition to measure conducted *Plimit* is:

- Establish device in call with the callbox for radio1 technology/band. Measure conducted Tx power corresponding to radio1 *Plimit* with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.
- Repeat above step to measure conducted Tx power corresponding to radio2 *Plimit*. If radio2 is dependent on radio1 (for example, non-standalone mode of Sub6 NR requiring radio1 LTE as anchor), then establish radio1 + radio2 call with callbox, and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from radio2 Sub6 NR, measured conducted Tx power corresponds to radio2 *Plimit* (as radio1 LTE is at all-down bits)

2. Set *Reserve\_power\_margin* to actual (intended) value, with EUT setup for radio1 + radio2 call. In this description, it is assumed that radio2 has lower priority than radio1. Establish device in radio1+radio2 call, and request all-down bits or low power on radio1, with callbox requesting EUT's Tx power to be at maximum power in radio2 for at least one time window. After one time window, set callbox to request EUT's Tx power to be at maximum power on radio1, i.e., all-up bits. Continue radio1+radio2 call with both radios at maximum power for at least one time window, and drop (or request all-down bits on) radio2. Continue radio1 at maximum power for at least one time window. Record the conducted Tx power for both radio1 and radio2 for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both radio1 and radio2 links. Convert the conducted Tx power for both these radios into 1g SAR or 1g SAR value (see Eq. (6a) and (6b)) using corresponding technology/band *Plimit* measured in Step 1, and then perform the running time average to determine time-averaged 1g SAR or 1g SAR versus time.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step 2.

5. Make another plot containing: (a) instantaneous 1g SAR versus time determined in Step 3, (b) computed time-averaged 1g SAR versus time determined in Step 3, and (c) corresponding regulatory *1g SARlimit* of 1.6W/kg or *1g SAR limit* of 1.6W/kg.

The validation criteria is, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed the regulatory *1g SARlimit* of 1.6W/kg or *1g SAR limit* of 1.6W/kg

This section provides general time-varying SAR measurement procedures to perform compliance test under dynamic transmission scenarios described in Section 2. In practice, an adjustment can



be made in these procedures. The justification/clarification may be provided.

To perform the validation through SAR measurement for transmission scenario 1 described in Section 2, the “path loss” between callbox antenna and EUT needs to be calibrated to ensure that the EUT Tx power reacts to the requested power from callbox in a radiated call. It should be noted that when signaling in closed loop mode, protocol-level power control is in play, resulting in EUT not solely following callbox TPC (Tx power control) commands. In other words, EUT response has many dependencies (RSSI, quality of signal, path loss variation, fading, etc.,) other than just TPC commands. These dependencies have less impact in conducted setup (as it is a controlled environment and the path loss can be very well calibrated) but have significant impact on radiated testing in an uncontrolled environment, such as SAR test setup. Therefore, the deviation in EUT Tx power from callbox requested power is expected, however the timeaveraged SAR should not exceed FCC SAR requirement at all times as Smart Transmit controls Tx power at EUT.

The following steps are for time averaging feature validation through SAR measurement:

1. “Path Loss” calibration: Place the EUT against the phantom in the worst-case position determined based on Section 3.2.1. For each band selected, prior to SAR measurement, perform “path loss” calibration between callbox antenna and EUT. Since the SAR test environment is not controlled and well calibrated for OTA (Over the Air) test, extreme care needs to be taken to avoid the influence from reflections. The test setup is described in Section 6.1.

2. Time averaging feature validation:

i For a given radio configuration (technology/band) selected in Section 3.2.1, enable Smart Transmit and set *Reserve\_power\_margin* to 0 dB, with callbox to request maximum power, perform area scan, conduct pointSAR measurement at peak location of the area scan. This point SAR value, *pointSAR\_Plimit*, corresponds to point SAR at the measured *Plimit* (i.e., measured *Plimit* from the EUT in Step 1 of Section 3.3.1).

ii Set *Reserve\_power\_margin* to actual (intended) value and reset power on EUT to enable Smart Transmit. Note, if *Reserve\_power\_margin* cannot be set wirelessly, care must be taken to re-position the EUT in the exact same position relative to the SAM phantom as in above Step 2.i. Establish radio link in desired radio configuration, with callbox requesting the EUT’s Tx power at power levels described by test sequence 1 generated in Step 1 of Section 3.3.1, conduct point SAR measurement versus time at peak location of the area scan determined in Step 2.i of this section. Once the measurement is done, extract instantaneous point SAR vs time data, *pointSAR(t)*, and convert it into instantaneous 1g SAR or 1g SAR vs. time using Eq. (3a), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR\_Plimit} * 1g\_or\_10gSAR\_Plimit$$

where, *pointSAR\_Plimit* is the value determined in Step 2.i, and *pointSAR(t)* is the instantaneous point SAR measured in Step 2.ii, *1g\_or\_10gSAR\_Plimit* is the measured 1g SAR or 1g SAR value listed in Part 1 report.



- iii Perform 100s running average to determine time-averaged 1g SAR or 1g SAR versus time.
- iv Make one plot containing: (a) time-averaged 1g SAR or 1g SAR versus time determined in Step 2.iii of this section, (b) FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR.
- v Repeat 2.ii ~ 2.iv for test sequence 2 generated in Step 1 of Section 3.3.1.
- vi Repeat 2.i ~ 2.v for all the technologies and bands selected in Section 3.2.1.

The time-averaging validation criteria for SAR measurement is that, at all times, the time-averaged 1g SAR or 1g SAR versus time shall not exceed FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR (i.e., Eq. (3b)).

## 4. Test Configurations

### 4.1 WWAN (sub-6) transmission

The *Plimit* values, corresponding to SAR\_design\_target, for technologies and bands supported by EUT are derived in Part 0 report and summarized in Table 4-1. Note all *Plimit* power levels entered in Table 4-1 correspond to average power levels after accounting for duty cycle in the case of TDD modulation schemes (for e.g., GSM, LTE TDD & Sub6 NR TDD).

**Table 4-1 Plimit for supported technologies and bands (Plimit in EFS file)**

	Band	Antenna	DSI1	Pmax
WCDMA	Band II	0	11.5	24.5
	Band IV	0	12.5	24.5
	Band V	0	14	24
LTE	Band 2	0&1	10	23
	Band 4	0&1	11	23
	Band 5	0	12	22
	Band 12	0	12	22
	Band 13	0	13	23
	Band 48	1	11	23
	Band 66	0&1	11	23
FR1	N2	0&1	11	24
	N5	0	13	23
	N66	0&1	12	24

**Note:** Maximum tune up target power, *Pmax*, is configured in NV settings in EUT to limit maximum transmitting power. This power is converted into peak power in NV settings for TDD schemes. The EUT maximum allowed output power is equal to *Pmax* + device uncertainty.

Based on selection criteria described in Section 3.2.1, the selected technologies/bands for testing time-varying test sequences are listed in Table 4-1. During Part 2 testing, the *Reserve\_power\_margin*(dB) for this EUT is set to 3dB in EFS.

The radio configurations used in Part 2 test for selected technologies, bands, DSIs and antennas are listed in Table 4-2. The corresponding worst-case radio configuration 1g SAR or 1g SAR values for selected technology/band/DSI are extracted from Part 1 report and are listed in the last column of Table 4-2.

Based on equations (1a), (2a), (3a) and (4a), it is clear that Part 2 testing outcome is normalized quantity, which implies that it can be applied to any radio configuration within a selected technology/band/DSI. Thus, as long as applying the worst-case SAR obtained from the worst radio configuration in Part 1 testing to calculate time-varying SAR exposure in equations (1a), (2a), (3a)



and (4a), the accuracy in compliance demonstration remains the same.

**Table 4-2: Radio configurations selected for Part 2 test**

Test case	Test scenario	Tech	Band	Ant	DSI	Channel	Freq(MHz)	BW	RB size	RB offset	mode	Position	Part1 worst-case radio config 1g
1	Time-varying TX power transmission	WCDMA	2	0	1	9400	1800	/	/	/	RMC	Bottom Face	0.113
2		LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	0.103
3		LTE	66	0	1	132322	1745	20	1	0	QPSK	Bottom Face	0.167
4		Sub6 NR	2	0	1	376000	1880	20	1	1	QPSK	Bottom Face	0.165
5		Sub6 NR	66	0	1	349000	1745	20	1	1	QPSK	Bottom Face	0.163
6	Call Drop	LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	0.103
7	Tech/band switch	WCDMA	2	0	1	9400	1800	/	/	/	RMC	Bottom Face	0.113
		LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	0.103
8	SAR vs SAR	LTE	13	0	1	23230	782	10	1	0	QPSK	Bottom Face	0.165
		Sub6 NR	66	0	1	349000	1745	20	1	1	QPSK	Bottom Face	0.163
9	Change in time window	LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	0.103
		LTE	48	1	1	19100	1900	20	1	0	QPSK	Bottom Face	0.121

Note that the EUT only has one DSI state to manage power for different RF exposure conditions, detail DSI states and trigger conditions shown on the following table, the maximum 1gSAR/or 10gSAR among all exposure scenarios is used in Smart Transmit feature for time averaging operation. The Plimit of simultaneous transmission is the same as single transmission.

DSI	0	1
scenario	default	Body
SAR sensor	far	near

Based on the selection criteria described in Section 3.2, the radio configurations for the Tx varying transmission test cases listed in Section 2 are:

1. Technologies and bands for time-varying Tx power transmission: The test case 1~5 listed in Table 4-2 are selected to test with the test sequences defined in Section 3.1 in both time-varying conducted power measurement and time-varying SAR measurement.
2. Technology and band for change in call test: The test case 9 listed in Table 4-2 is selected for performing the call drop test in LTE in conducted power setup.
3. Technologies and bands for change in technology/band test: The test case 7 listed in Table 4-2 is selected for handover test from a technology/band to another technology/band, in conducted power setup.
4. Technologies and bands for switch in SAR exposure: The test case 8 listed in Table 4-2 are selected for SAR exposure switching test in one of the supported simultaneous WWAN transmission scenario, i.e., LTE + Sub6 NR active in the same 100s time window, in conducted power setup.

## 5. Conducted Power Test Results for Sub-6 Smart Transmit Feature Validation

### 5.1. Measurement setup

The Rohde & Schwarz CMW500 callbox is used in this test. The test setup picture and schematic are shown in Figures 5-1a & 5-1c for measurements with a single antenna of EUT, and in Figures 5-1b & 5-1d for measurements involving antenna switch (see Appendix C for missing figures). For single antenna measurement, one port (RF1 COM) of the callbox is connected to the RF port of the EUT using a directional coupler. For antenna & technology switch measurement, two ports (RF1 COM and RF3 COM) of the callbox used for signaling two different technologies are connected to a combiner, which is in turn connected to a directional coupler. The other end of the directional coupler is connected to a splitter to connect to two RF ports of the EUT corresponding to the two antennas of interest. In both the setups, power meter is used to tap the directional coupler for measuring the conducted output power of the EUT. For time averaging validation test (Section 3.3.1), call drop test (Section 3.3.2), and DSI switch test (Section 3.3.4), only RF1 COM port of the callbox is used to communicate with the EUT. For technology/band switch measurement (Section. 3.3.3), both RF1 COM and RF3 COM port of callbox are used to switch from one technology communicating on RF1 COM port to another technology communicating on RF3 COM port. Note that for this EUT, antenna switch test (Section 3.3.4) is included within time-window switch test (Section 3.3.6) as the selected technology/band combinations for the time-window switch test are on two different antennas. All the path losses from RF port of EUT to the callbox RF COM port and to the power meter are calibrated and automatically entered as offsets in the callbox and the power meter via test scripts on the PC used to control callbox and power meter.

LTE+Sub6 NR test setup:

If LTE conducted port and Sub6 NR conducted port are same on this EUT (i.e., they share the same antenna), then low-/high-pass filter is used to separate LTE and Sub6 NR signals for power meter measurement via directional couplers, as shown in below Figures 6-1a, 6-1b & 6-1c.

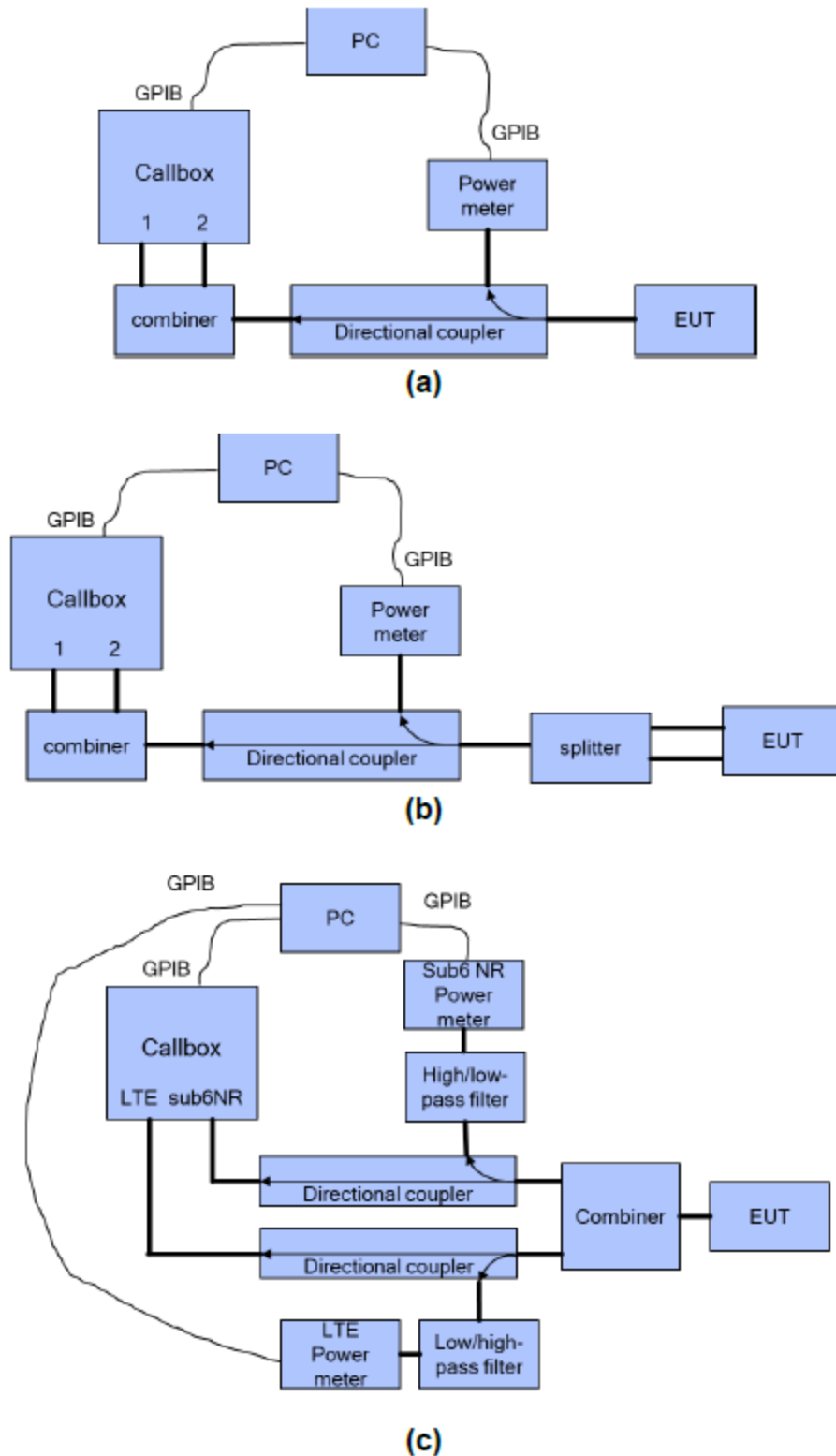


Figure 5-1 Example conducted power measurement setup





Both the callbox and power meter are connected to the PC using GPIB cables. Two test scripts are custom made for automation, and the test duration set in the test scripts is 500 seconds.

For time-varying Tx power measurement, the PC runs the 1st test script to send GPIB commands to control the callbox's requested power versus time, while at the same time to record the conducted power measured at EUT RF port using the power meter. The commands sent to the callbox to request power are:

- 0dBm for 100 seconds
- Test sequence 1 or test sequence 2 (defined in Section 3.1 and generated in Section 3.2.1), for 360 seconds
- Stay at the last power level of test sequence 1 or test sequence 2 for the remaining time. Power meter readings are periodically recorded every 100ms. A running average of this measured Tx power over 100 seconds is performed in the post-data processing to determine the 100s-time averaged power.

For call drop, technology/band/antenna switch, after the call is established, the callbox is set to request the EUT's Tx power at 0dBm for 100 seconds while simultaneously starting the 2nd test script runs at the same time to start recording the Tx power measured at EUT RF port using the power meter. After the initial 100 seconds since starting the Tx power recording, the callbox is set to request maximum power from the EUT for the rest of the test. Note that the call drop/re-establish, or technology/band/antenna switch is manually performed when the Tx power of EUT is at *Preserve* level. See Section 3.3 for detailed test procedure of call drop test, technology/band/antenna switch test.

## 5.2. Plimit and Pmax measurement results

The measured Plimit for all the selected radio configurations given in Table 4-2 are listed in below Table 5-1. Pmax was also measured for radio configurations selected for testing timevarying Tx power transmission scenarios in order to generate test sequences following the test procedures in Section 3.1.

**Table 5-1: Measured Plimit and Pmax of selected radio configurations**

Test case	Test scenario	Tech	Band	Ant	DSI	Channel	Freq(MHz)	BW	RB size	RB offset	mode	Position	Plimit EFS setting (dbm)	Target Pmax (dbm)	Measured Plim (dbm)	measured Pmax (dbm)
1	Time-varying TX power transmission	WCDMA	2	0	1	9400	1800	/	/	/	RMC	Bottom Face	11.5	24.5	11.21	23.84
2		LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	10	23	10.34	23.36
3		LTE	66	0	1	132322	1745	20	1	0	QPSK	Bottom Face	12	24	11.18	23.61
4		Sub6 NR	2	0	1	376000	1880	20	1	1	QPSK	Bottom Face	11	24	10.45	24.11
5		Sub6 NR	66	0	1	349000	1745	20	1	1	QPSK	Bottom Face	12	24	12.24	23.92
6	Call Drop	LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	10	23	10.34	23.36
7	Tech/band switch	WCDMA	2	0	1	9400	1800	/	/	/	RMC	Bottom Face	11.5	24.5	11.21	23.84
		LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	10	23	10.34	23.36
8	SAR vs SAR	LTE	13	0	1	23230	782	10	1	0	QPSK	Bottom Face	13	23	12.34	23.16
		Sub6 NR	66	0	1	349000	1745	20	1	1	QPSK	Bottom Face	12	24	12.24	23.92
9	Change in time window	LTE	2	0	1	18900	1880	20	1	0	QPSK	Bottom Face	10	23	10.34	23.36
		LTE	48	1	1	19100	1900	20	1	0	QPSK	Bottom Face	11	23	11.18	23.61

**Note:** the device uncertainty of  $P_{max}$  is  $+1dB/-1dB$  as provided by manufacturer.

## 5.3. Time-varying Tx power measurement results

The measurement setup is shown in Figures 5-1(a) and 5-1(c). The purpose of the time-varying Tx power measurement is to demonstrate the effectiveness of power limiting enforcement and that the time- averaged Tx power when represented in time-averaged 1g SAR or 1g SAR values does not exceed FCC limit as shown in Eq. (1a) and (1b), rewritten below:

$$1g\_or\_10gSAR(t) = \frac{conducted\_Tx\_power(t)}{conducted\_Tx\_power\_Plimit} * 1g\_or\_10gSAR\_Plimit \quad (1a)$$

$$\frac{\frac{1}{T_{SAR}} \int_{t-T_{SAR}}^t 1g\_or\_10gSAR(\tau) d\tau}{FCC\ SAR\ limit} \leq 1 \quad (1b)$$

where,  $conducted\_Tx\_power(t)$ ,  $conducted\_Tx\_power\_Plimit$ , and  $1g\_or\_10gSAR\_Plimit$  correspond to the measured instantaneous conducted Tx power, measured conducted Tx power at Plimit, and measured 1g SAR and 1g SAR values at Plimit reported in Part 1 test (listed in Table 4-2 of this report as well).

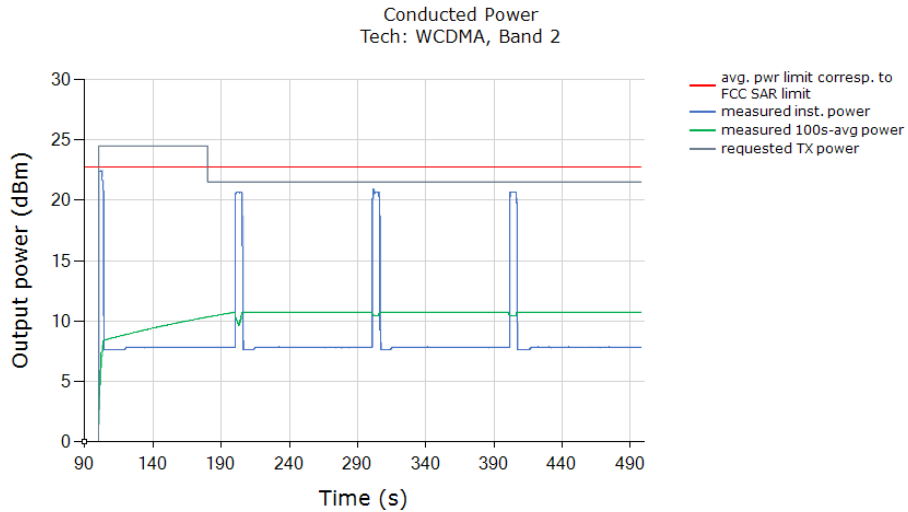
Following the test procedure in Section 3.3, the conducted Tx power measurement for all selected configurations are reported in this section. In all the conducted Tx power plots, the dotted line represents the requested power by callbox (test sequence 1 or test sequence 2), the blue curve represents the instantaneous conducted Tx power measured using power meter, the green curve represents time- averaged power and red line represents the conducted power limit that corresponds to FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR .



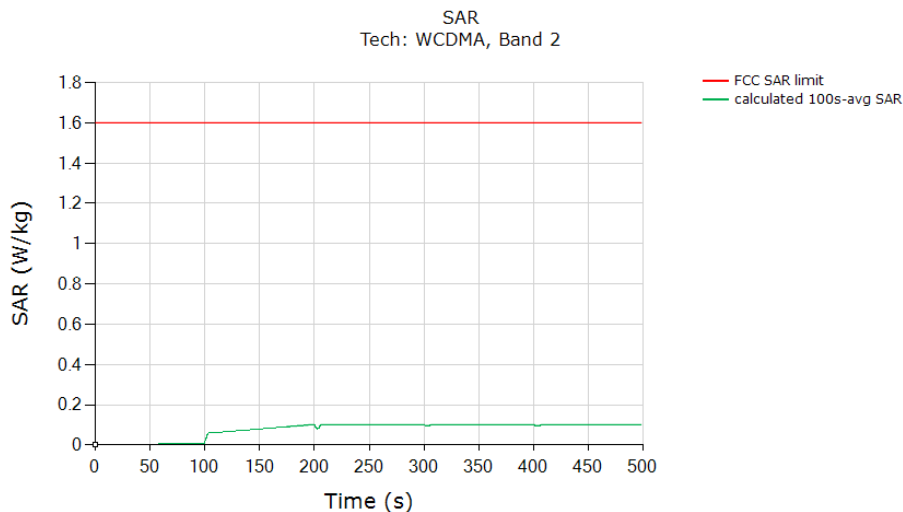
Similarly, in all the 1g or 1g SAR plots (when converted using Eq. (1a)), the green curve represents the 100s/60s-time averaged 1g SAR or 1g SAR value calculated based on instantaneous 1g SAR or 1g SAR ; and the red line limit represents the FCC limit of 1.6 W/kg for 1g SAR or 1.6 W/kg for 1g SAR .

➤ **WCDMA1900 (Test case 1)**

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

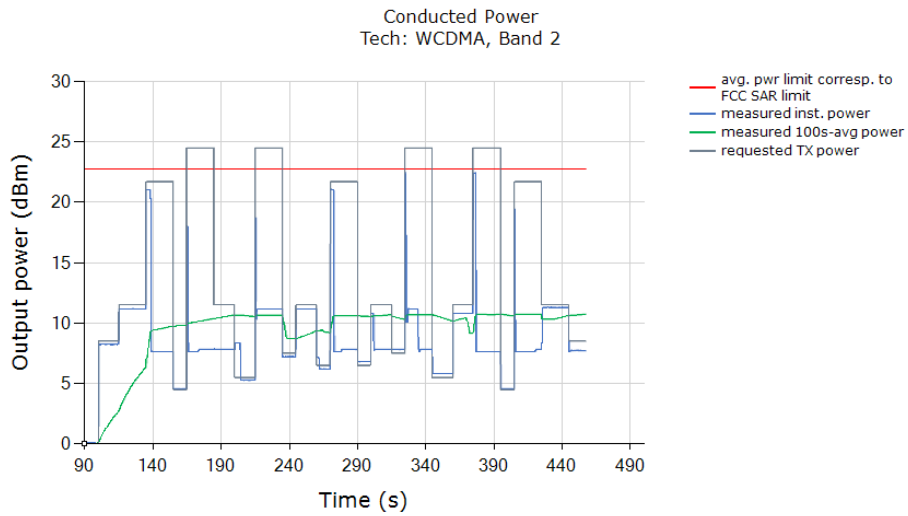




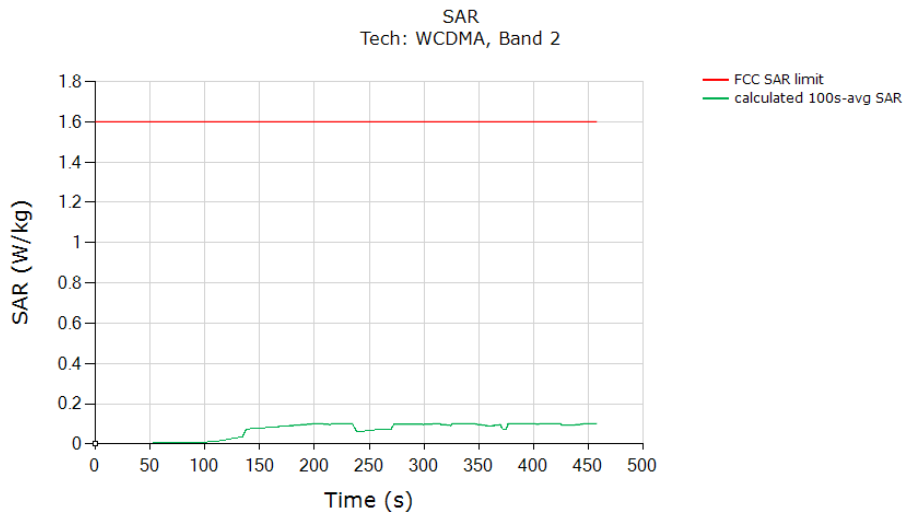
\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.109
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



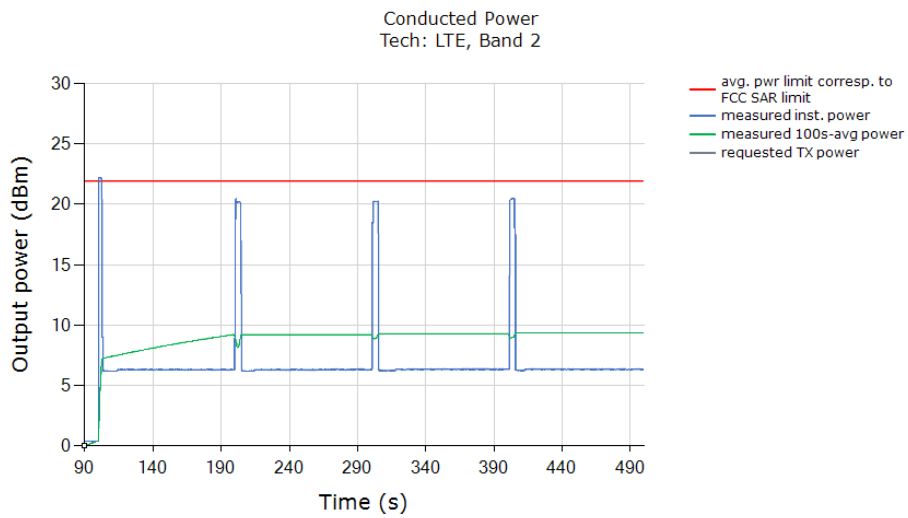


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.106
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

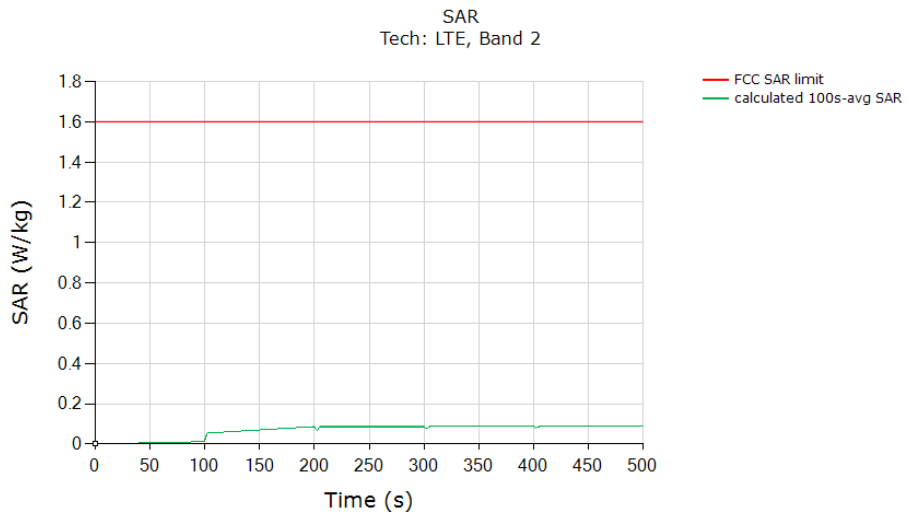
The test result validated the continuity of power limiting in call change scenario.

➤ **LTE Band2 (Test case 2)**

Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

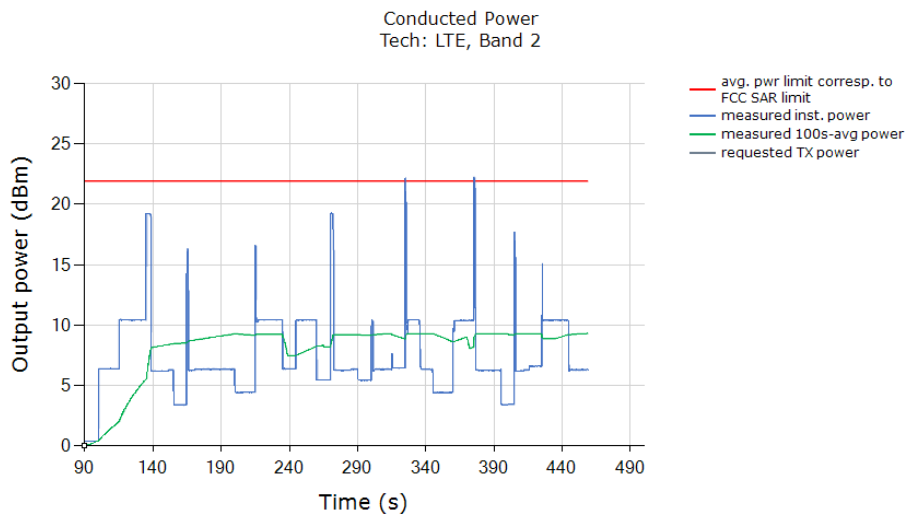




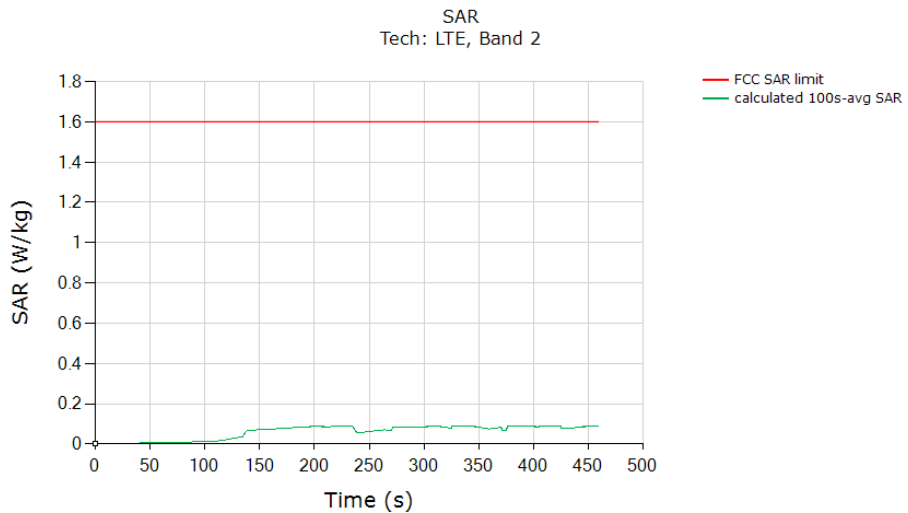
\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.097
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



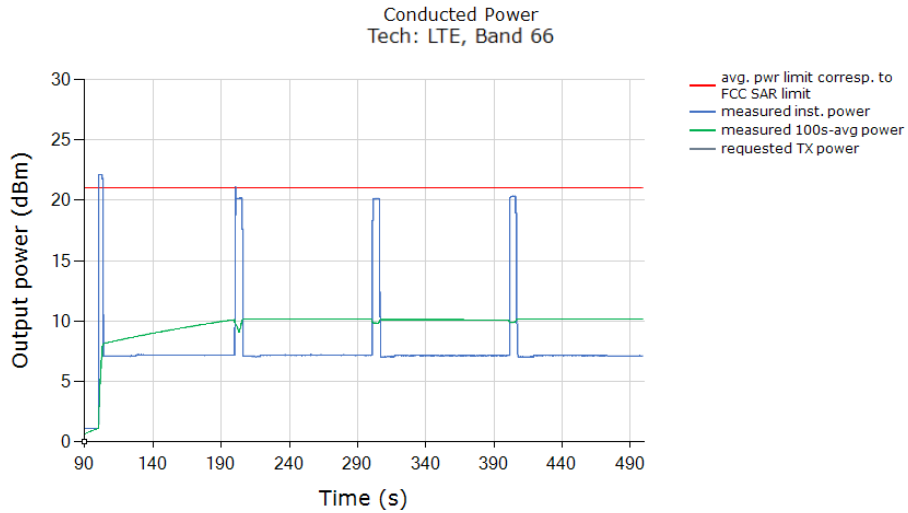


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.095
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

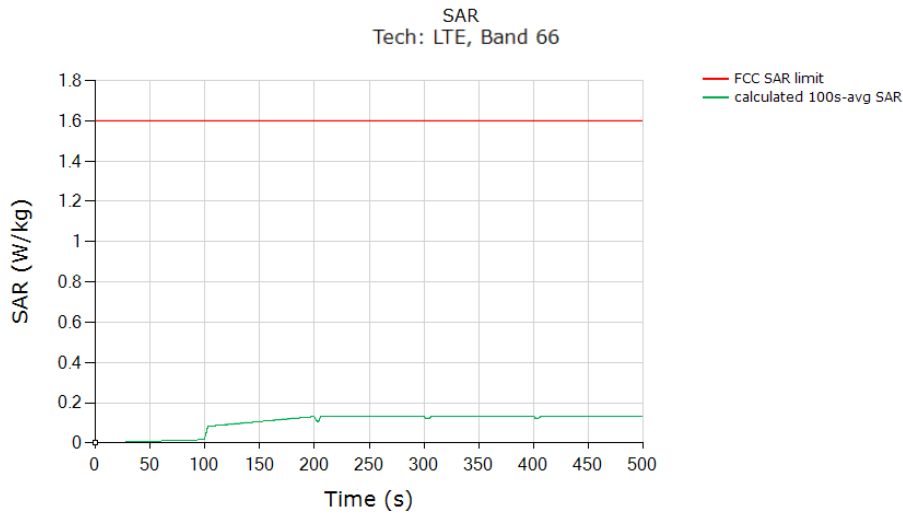
The test result validated the continuity of power limiting in call change scenario.

➤ **LTE Band66 (Test case 3)**

Test result for test sequence 1:



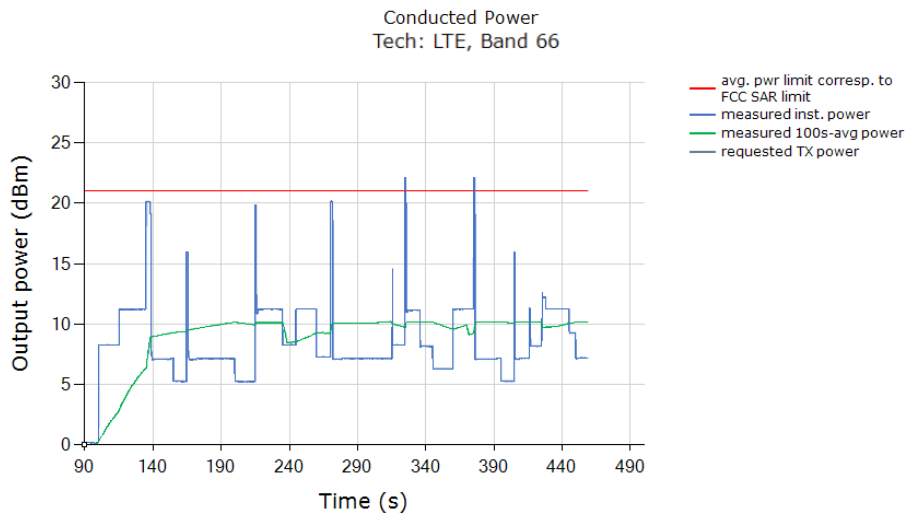
Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.161
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

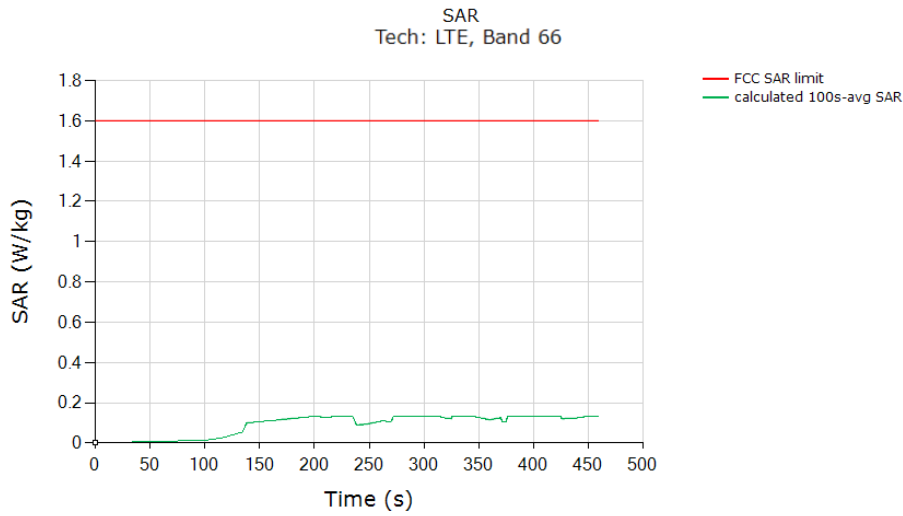
The test result validated the continuity of power limiting in call change scenario.

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



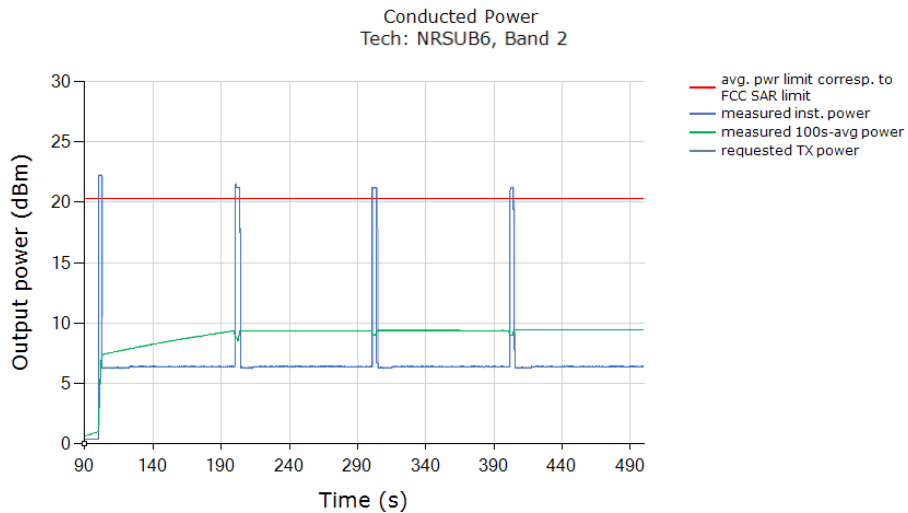


$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.159
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

➤ **SUB6G N2 (Test case 4)**

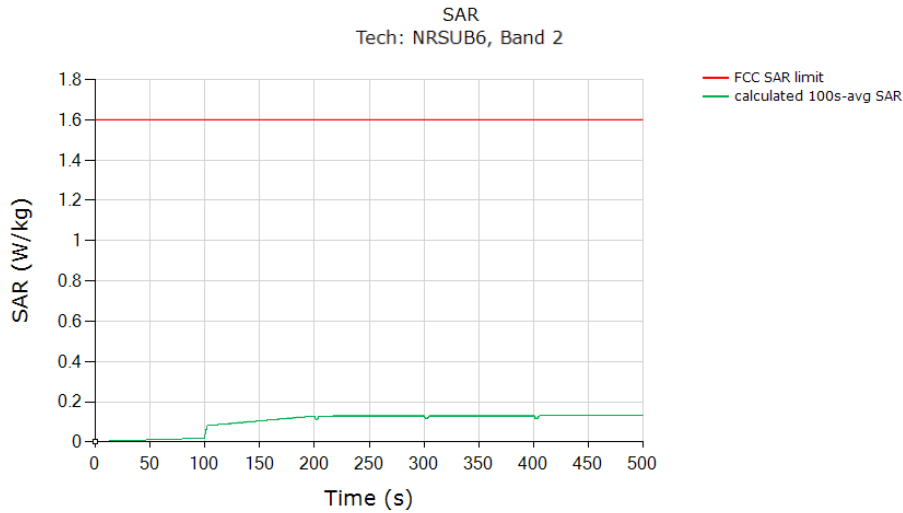
Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time



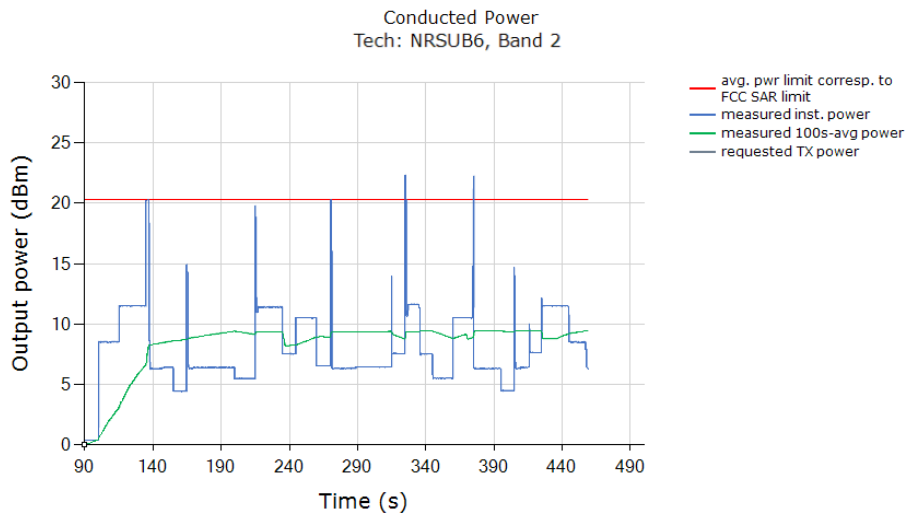
does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



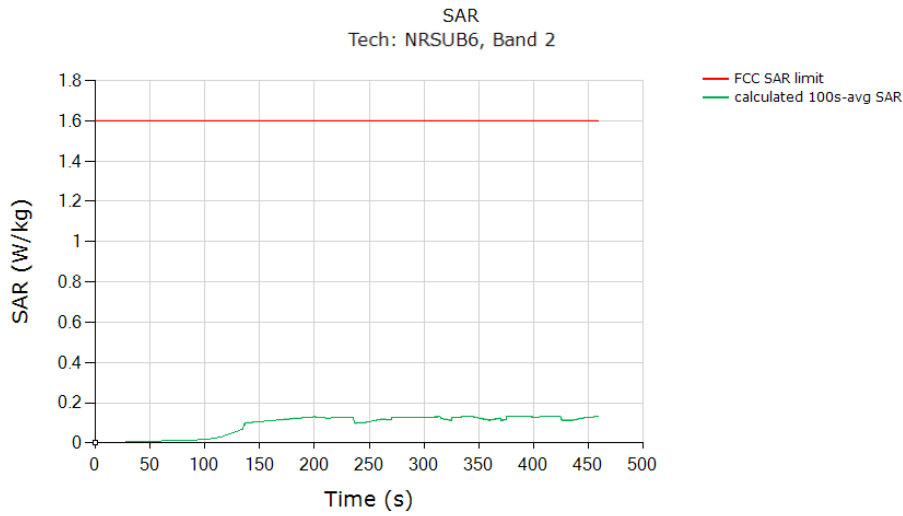
$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.160
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:

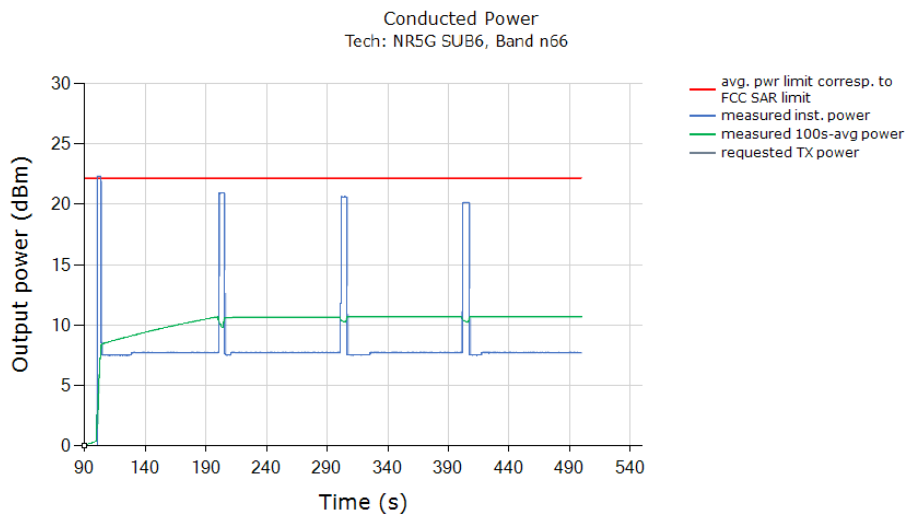


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.162
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

➤ **SUB6G N66 (Test case 5)**

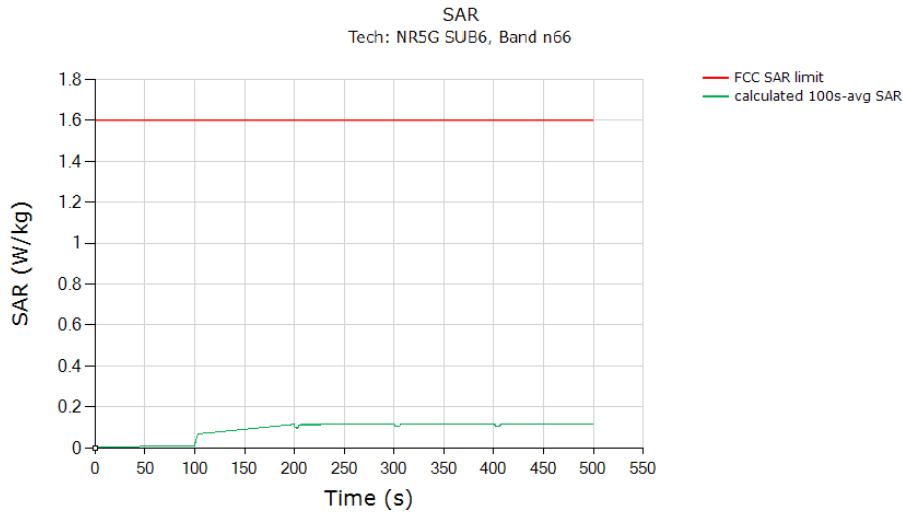
Test result for test sequence 1:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time



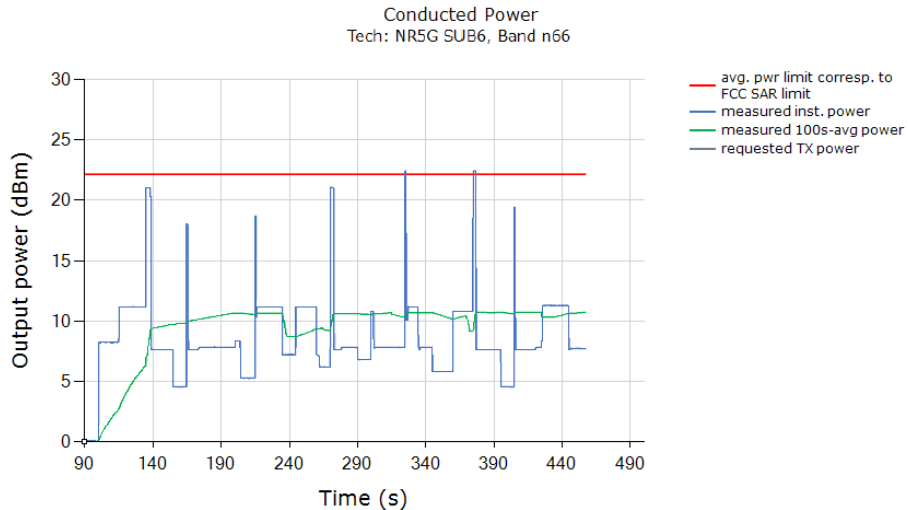
does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



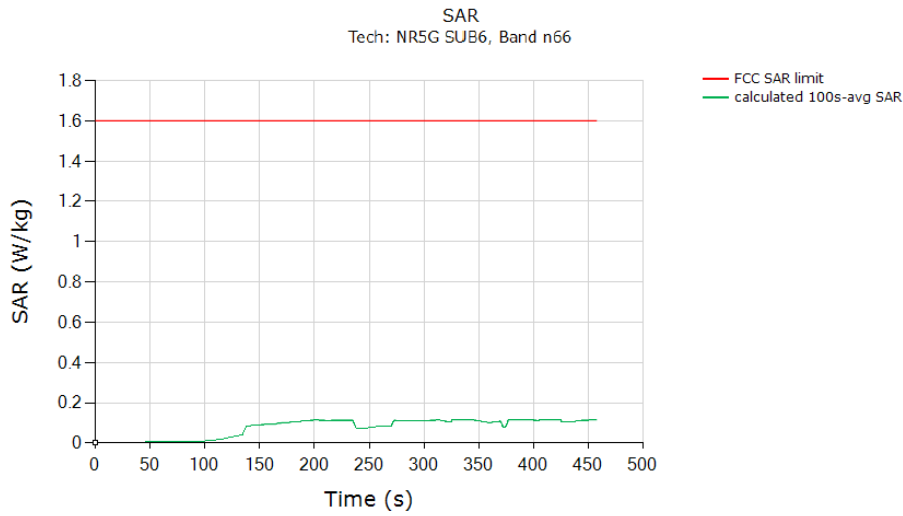
$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.160
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.

Test result for test sequence 2:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.158
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

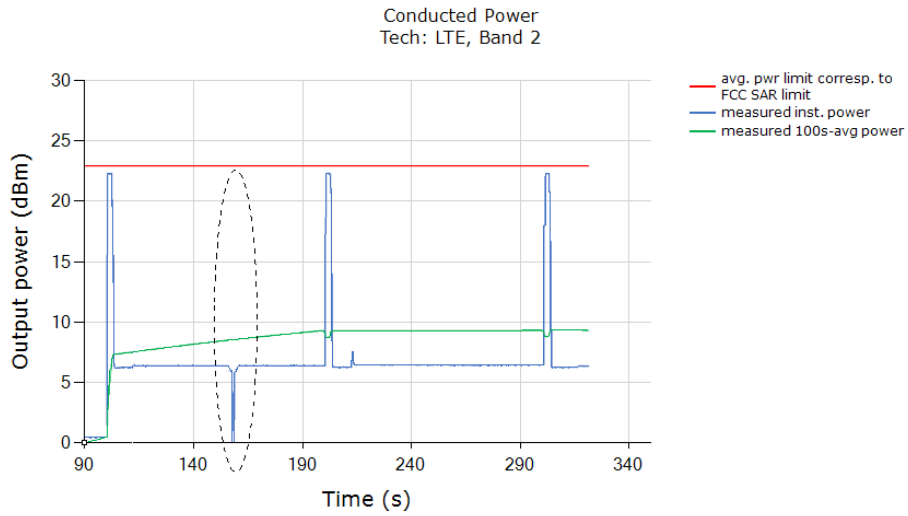
The test result validated the continuity of power limiting in call change scenario.

➤ **Change in Call Test Results (Test case 6)**

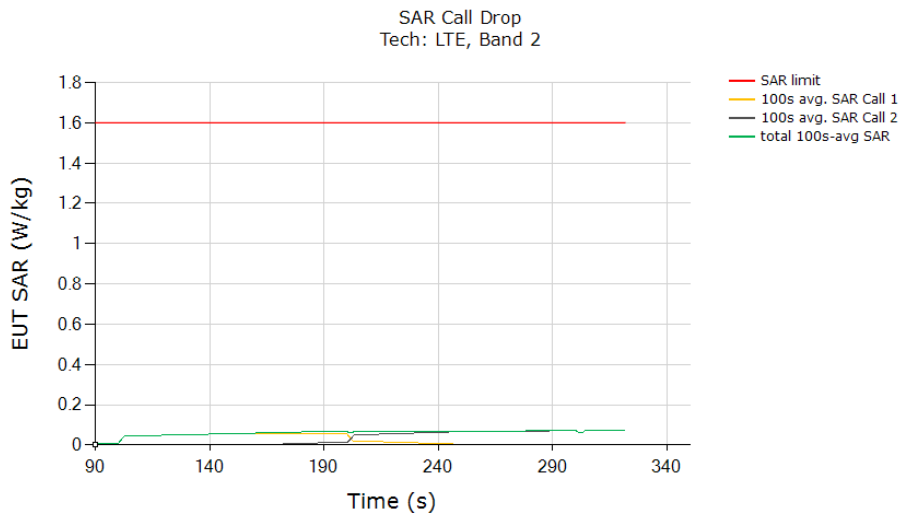
This test was measured with LTE Band2 and with callbox requesting maximum power. The call drop was manually performed when the EUT is transmitting at Preserve level as shown in the plot below (dotted black region). The measurement setup is shown in Figure 6-1(a) and (c). The detailed test procedure is described in Section 3.3.2.

Call drop test result:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power kept the same Preserve level of LTE Band2 after the call was re-established:



Above time-averaged conducted Tx power is converted/calculated into time-averaged 1g SAR using Equation (1a) and plotted below to demonstrate that the time-averaged 1g SAR versus time does not exceed the FCC limit of 1.6 W/kg for 1gSAR:



$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.093
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

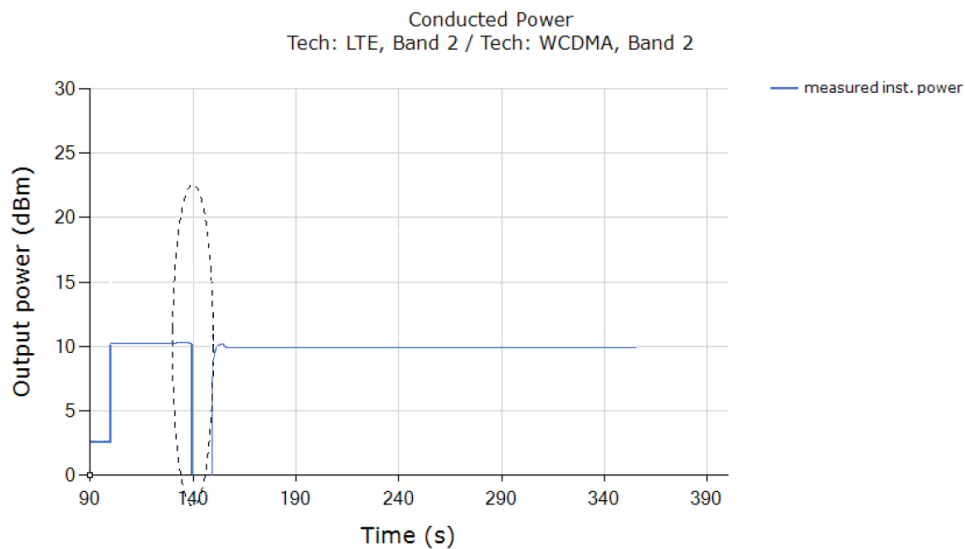
The test result validated the continuity of power limiting in call change scenario.

➤ **Change in technology/band test results (Test case 7)**

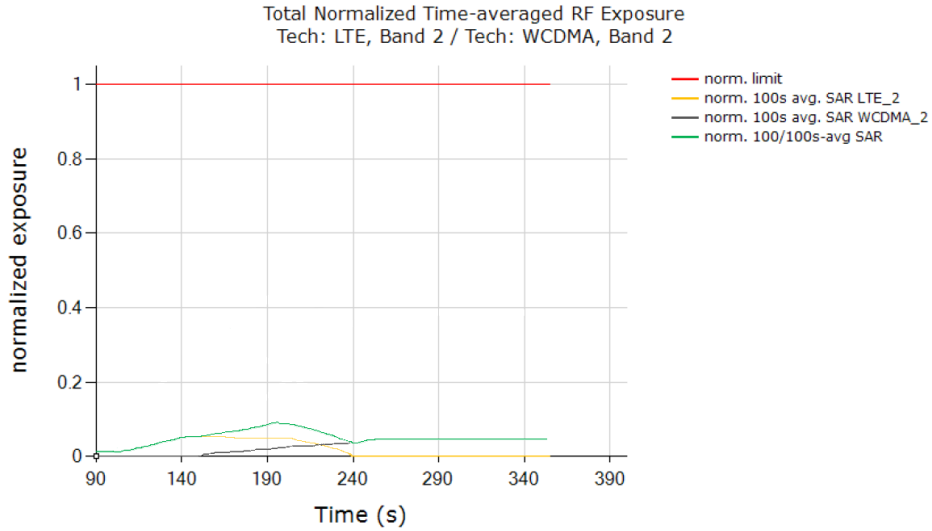
This test was conducted with callbox requesting maximum power, and with antenna & technology switch from LTE B2 to WCDMA B2. Following procedure detailed in Section 3.3.3, and using the measurement setup shown in Figure 5-1(a) and (c), the technology/band switch was performed when the EUT is transmitting at Preserve level as shown in the plot below (dotted black region).

Test result for change in technology/band:

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed from LTE B 2 Preserve level to WCDMA B2 Preserve level (within device uncertainty):



Plot 2: All the time-averaged conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (6a), (6b) and (6c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the normalized FCC limit of 1.0:



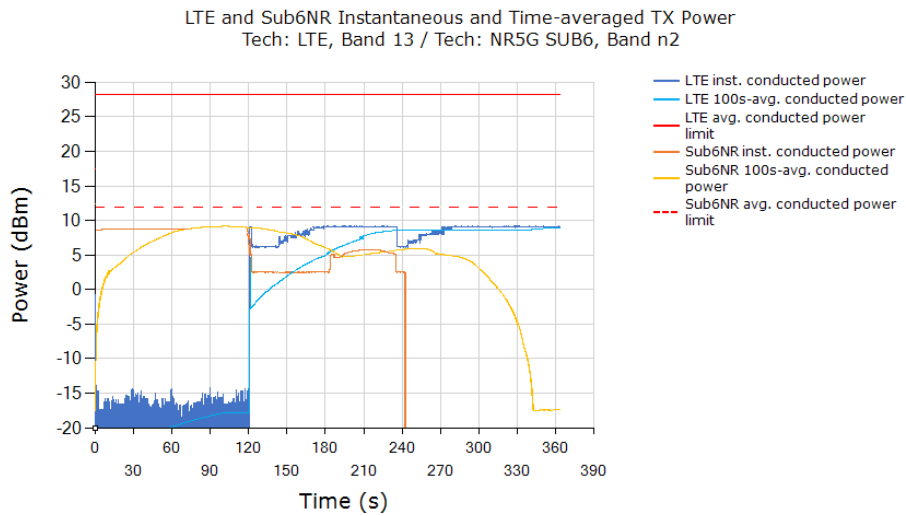
$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.101
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.



➤ **Switch in SAR exposure test results LTE B13 NR N66 (Test case 8)**

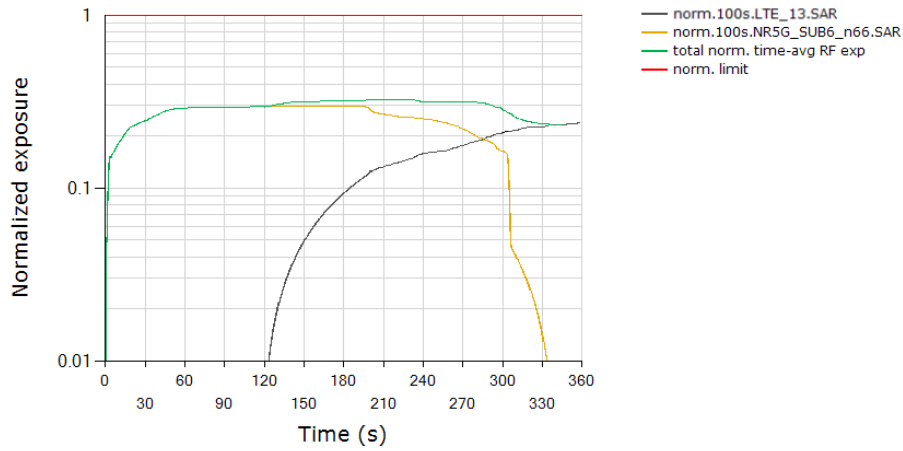
This test was conducted with callbox requesting maximum power, and with the EUT in LTE Band 13 + Sub6 NR N66 call. Following procedure detailed in Section 3.3.6 and Appendix B.2, and using the measurement setup shown in Figure 6-1(a) and (c) since LTE and Sub6 NR are sharing the same antenna port (otherwise, it should be Figure 6-1(b) and (d) for different antenna ports), the SAR exposure switch measurement is performed with the EUT in various SAR exposure scenarios, i.e., in SARsub6NR only scenario (t =10s ~125s), SARsu6NR + SARLTE scenario (t =125s ~ 235s) and SARLTE only scenario (t > 235s).



Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the LTE Tx power of device to obtain 100s-averaged normalized SAR in LTE 13 as shown in black curve. Similarly, equation (7b) is used to obtain 100s-averaged normalized SAR in Sub6 NR n2 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



Total Normalized Time-averaged RF Exposure  
 Tech: LTE, Band 13 / Tech: NR5G SUB6, Band n66



$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.316
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

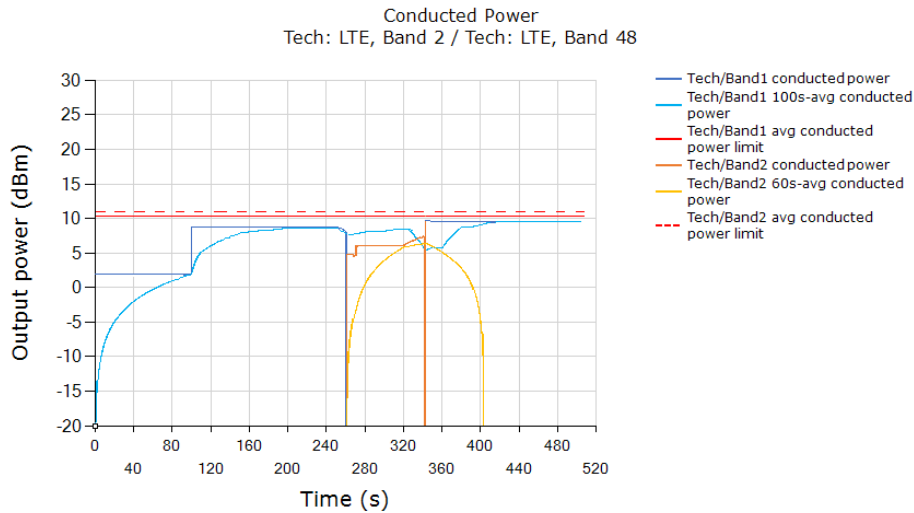
The test result validated the continuity of power limiting in call change scenario.



➤ **Change in Time window / antenna switch test results (Test case 9)**

Test result for change in time-window (from 100s to 60s to 100s):

Plot 1: Measured Tx power (dBm) versus time shows that the transmitting power changed when LTE Band 2 switches to LTE Band 48 (~245 seconds timestamp) and switches back to LTE Band 48 (~310 seconds timestamp):

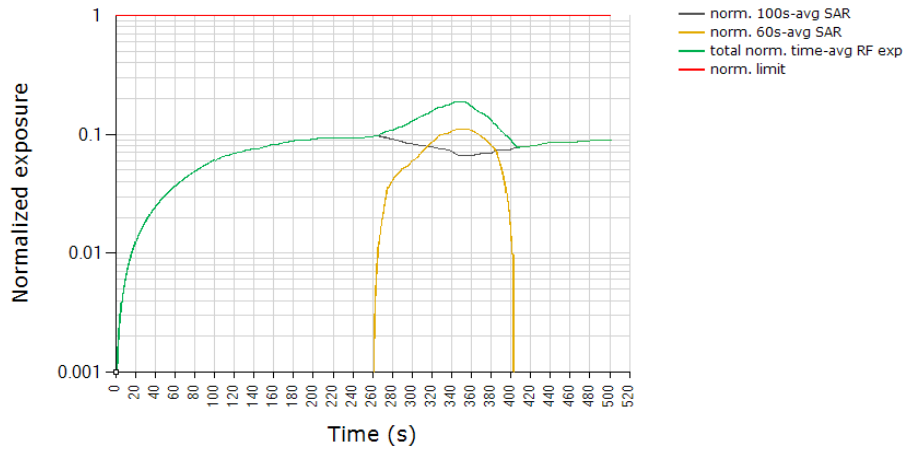


Plot Notes: The conducted power plot shows expected transitions in Tx power at ~245 seconds (100s-to-60s transition) and at ~310 seconds (60s-to-100s transition) in order to maintain total time-averaged RF exposure compliance across time windows, as show in next plot.

Plot 2: All the conducted Tx power measurement results were converted into time-averaged normalized SAR values using Equation (7a), (7b) and (7c), and plotted below to demonstrate that the time-averaged normalized SAR versus time does not exceed the FCC limit of 1 unit. Equation (7a) is used to convert the Tx power of device to obtain 100s- averaged normalized SAR in LTE Band 2 as shown in black curve. Similarly, equation (7b) is used to obtain 60s-averaged normalized SAR in LTE Band 48 as shown in orange curve. Equation (7c) is used to obtain total time-averaged normalized SAR as shown in green curve (i.e., sum of black and orange curves).



Total Normalized Time-averaged RF Exposure  
 Tech: LTE, Band 2 / Tech: LTE, Band 48



$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.192
Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	

The test result validated the continuity of power limiting in call change scenario.



## 6. SAR Test Results for Sub-6 Smart Transmit Feature

### 6.1. Measurement setup

The measurement setup is similar to normal SAR measurements. The difference in SAR measurement setup for time averaging feature validation is that the callbox is signaling in close loop power control mode (instead of requesting maximum power in open loop control mode) and callbox is connected to the PC using GPIB so that the test script executed on PC can send GPIB commands to control the callbox's requested power over time (test sequence). The same test script used in conducted setup for time-varying Tx power measurements is also used in this section for running the test sequences during SAR measurements, and the recorded values from the disconnected power meter by the test script were discarded.

As mentioned in Section 3.4, for EUT to follow TPC command sent from the callbox wirelessly, the "path loss" between callbox antenna and the EUT needs to be very well calibrated. Since the SAR chamber is in uncontrolled environment, precautions must be taken to minimize the environmental influences on "path loss". Similarly, in the case of timevarying SAR measurements in Sub6 NR (with LTE as anchor), "path loss" between callbox antenna and the EUT needs to be carefully calibrated for both LTE link as well as for Sub6 NR link.

The EUT is placed in worst-case position according to Table 4-2.



## 6.2. SAR measurement results for time-varying Tx power transmission scenario

Following Section 3.4 procedure, time-averaged SAR measurements are conducted using EX3DV4 probe at peak location of area scan over 500 seconds. cDASY6 system verification for SAR measurement is provided in Appendix C, and the associated SPEAG certificates are attached in Appendix D.

SAR probe integration times depend on the communication signal being tested. Integration times used by SPEAG for their probe calibrations can be downloaded from here (integration time is listed on the bottom of the first page for each tech):

<https://www.speag.com/assets/downloads/services/cs/UIDSummary171205.pdf>

Since the sampling rate used by cDASY6 for pointSAR measurements is not in user control, the number of points in 100s or 60s interval is determined from the scan duration setting in cDASY6 time-average pointSAR measurement by (100s or 60s / cDASY6\_scan\_duration \* total number of pointSAR values recorded). Running average is performed over these number of points in excel spreadsheet to obtain 100s-/60s-averaged pointSAR.

Following Section 3.4, for each of selected technology/band (listed in Table 4-2):

1 With Reserve\_power\_margin set to 0 dB, area scan is performed at P<sub>limit</sub>, and timeaveraged pointSAR measurements are conducted to determine the pointSAR at P<sub>limit</sub> at peak location, denoted as pointSAR<sub>P<sub>limit</sub></sub>.

2 With Reserve\_power\_margin set to actual (intended) value, two more time-averaged pointSAR measurements are performed at the same peak location for test sequences 1 and 2.

To demonstrate compliance, all the pointSAR measurement results were converted into 1gSAR or 10gSAR values by using Equation (3a), rewritten below:

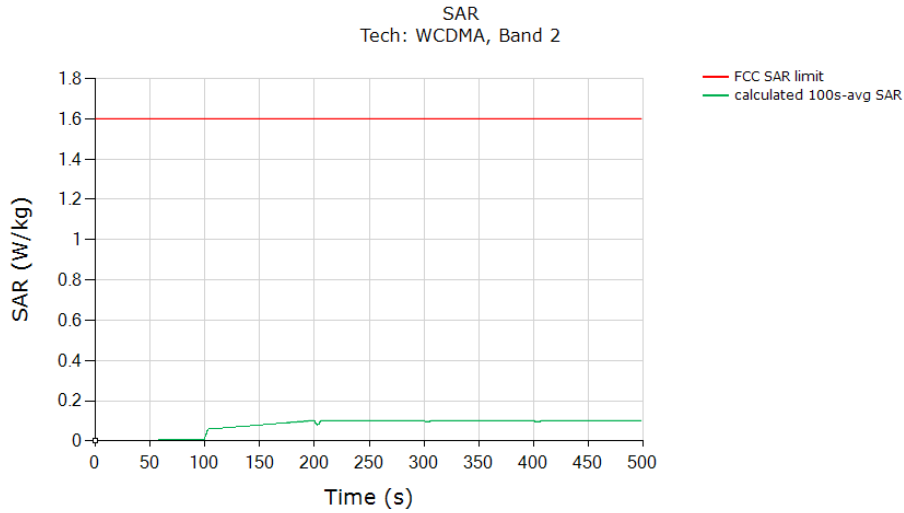
$$1g\_or\_10gSAR(t) = \frac{pointSAR(t)}{pointSAR_{P_{limit}}} * 1g\_or\_10gSAR_{P_{limit}} \quad (3a)$$

where,  $pointSAR(t)$ ,  $pointSAR_{P_{limit}}$ , and  $1g\_or\_10gSAR_{P_{limit}}$  correspond to the measured instantaneous point SAR, measured point SAR at P<sub>limit</sub> from above step 1 and 2, and measured 1gSAR or 10gSAR values at P<sub>limit</sub> obtained from Part 1 report and listed in Table 4-2 in Section 4.1 of this report.



➤ **WCDMA1900 SAR test results (Test case 1)**

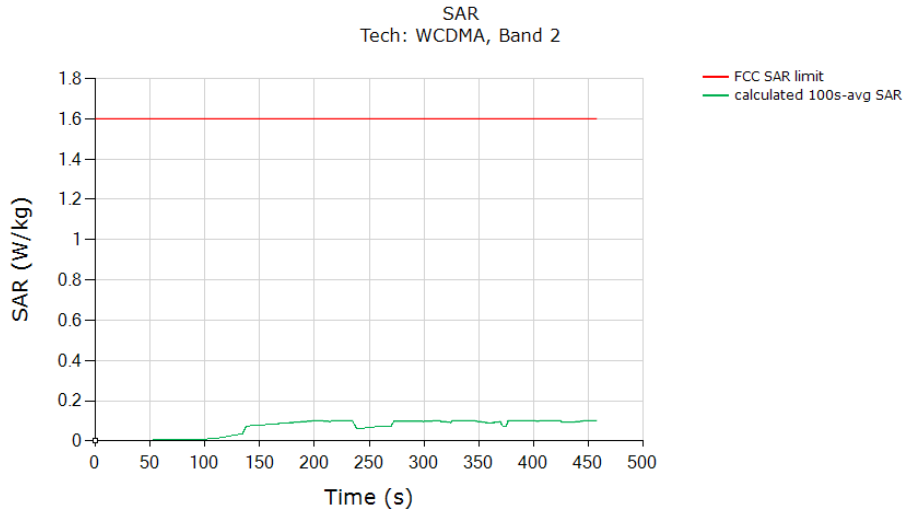
SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.109
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



Test result for test sequence 2:



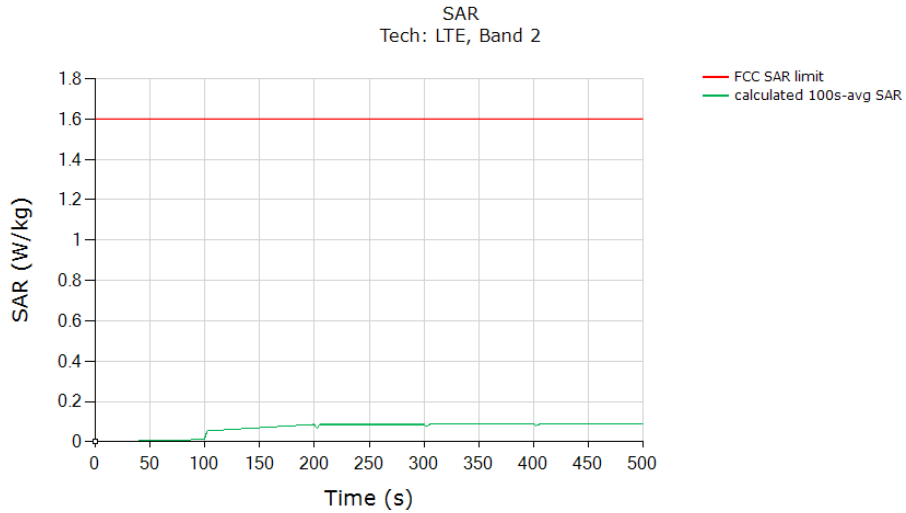
\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.106
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	





➤ LTE Band2 SAR test results (Test case 2)

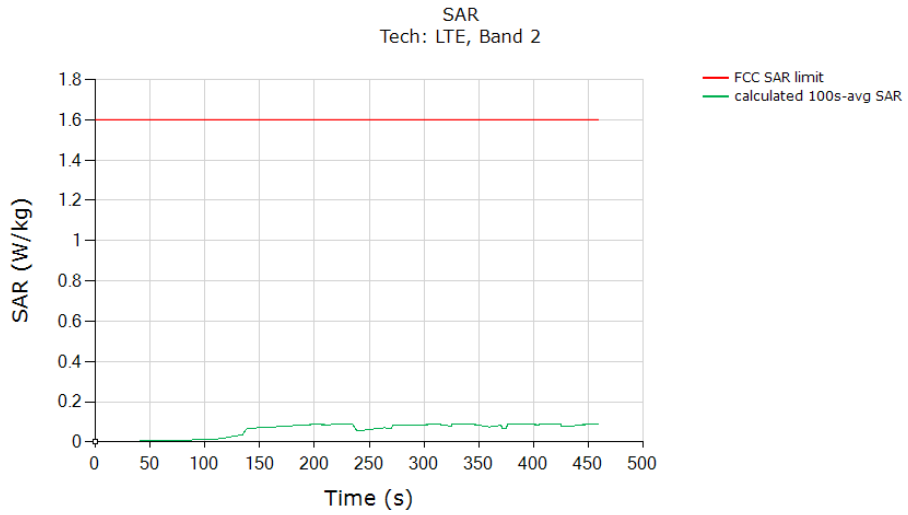
SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.097
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



Test result for test sequence 2:

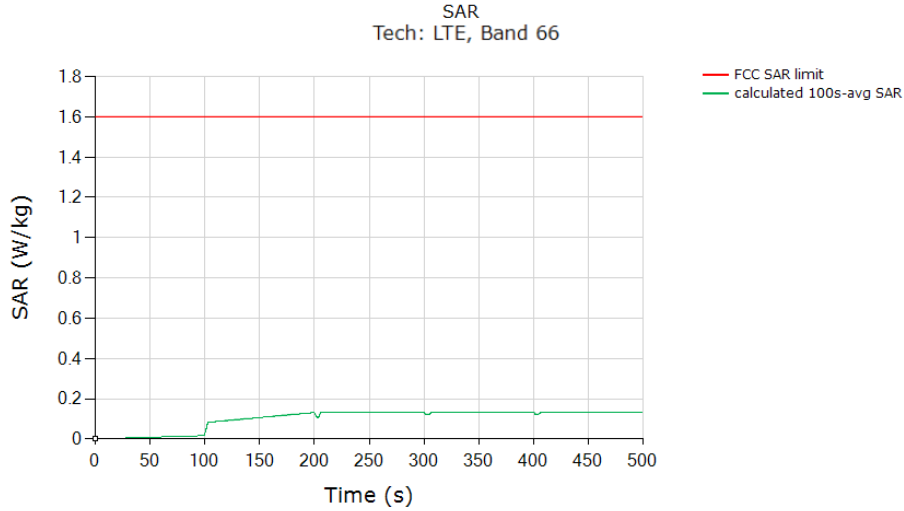


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.095
<p style="color: green;">Validated: Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit</p>	



➤ LTE Band66 SAR test results (Test case 3)

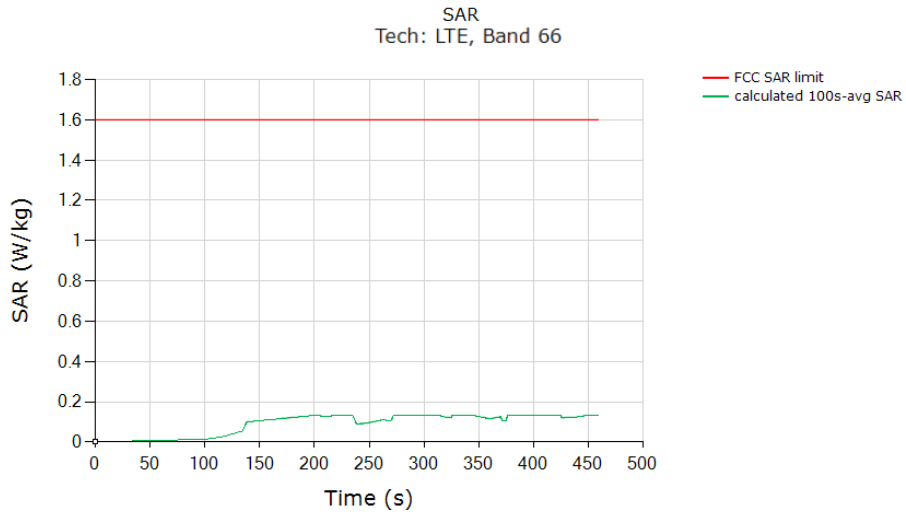
SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.161
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



Test result for test sequence 2:

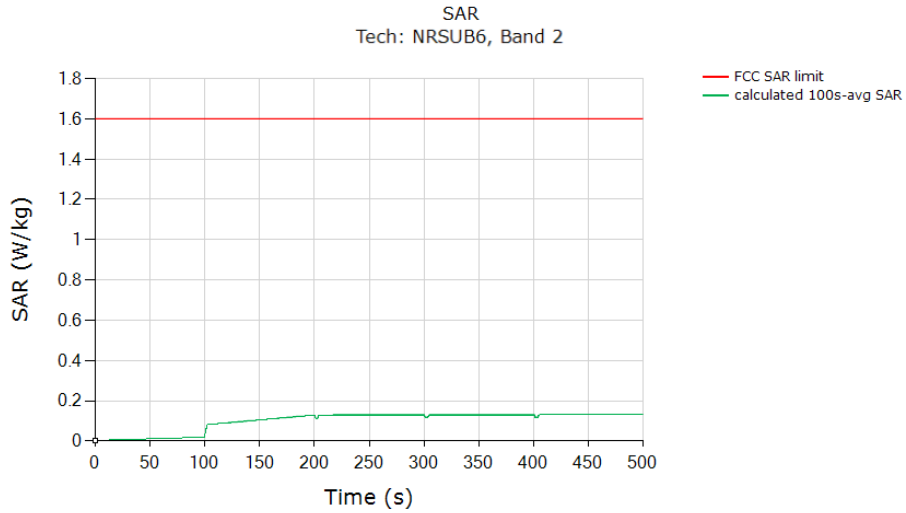


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.159
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



➤ **SUB6G N2 SAR test results (Test case 4)**

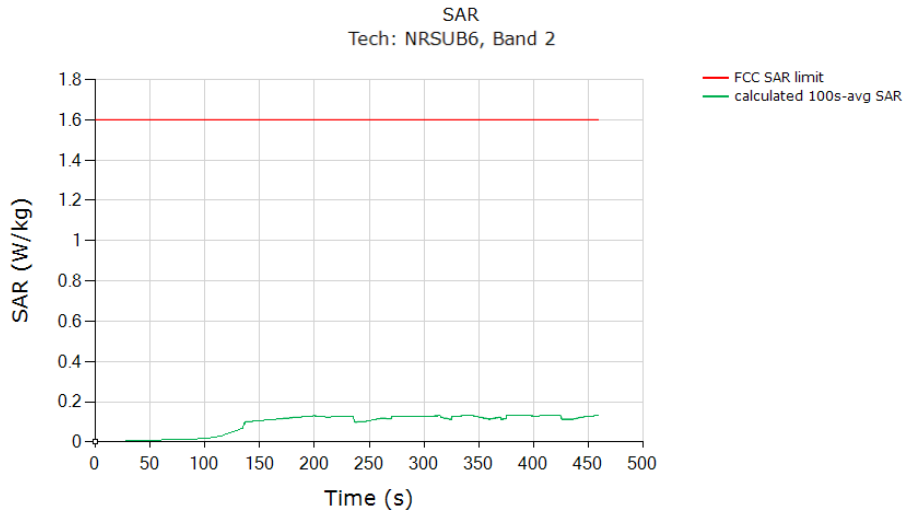
SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.160
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



Test result for test sequence 2:

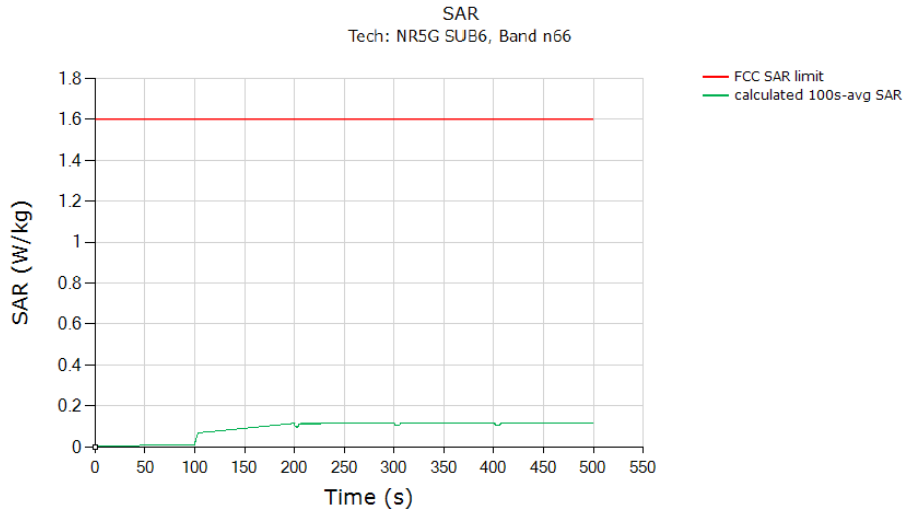


\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.162
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



➤ **SUB6G N66 SAR test results (Test case 5)**

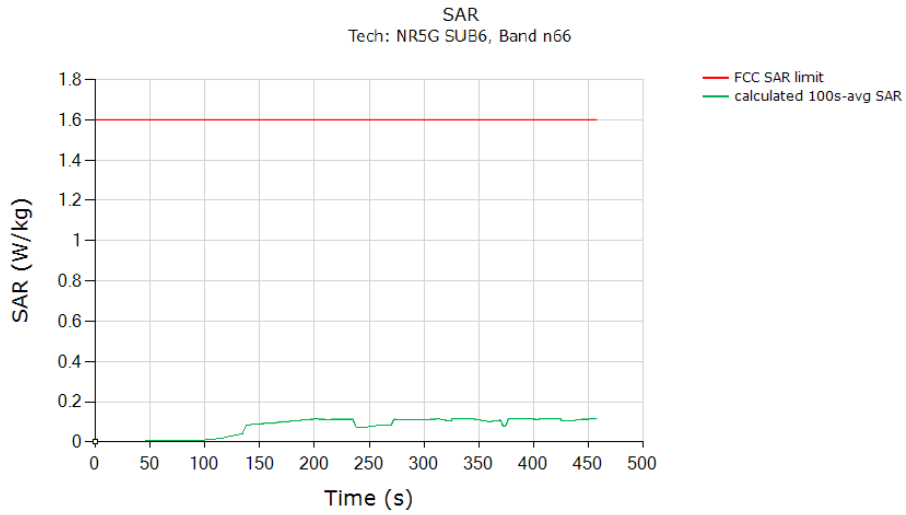
SAR test result for test sequence 1:



\	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.160
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	



Test result for test sequence 2:



$\lambda$	(W/kg)
FCC 1gSAR limit	1.6
Max 100s-time averaged 1gSAR (green curve)	0.158
<b>Validated:</b> Max time averaged SAR (green curve) is within device uncertainty of measured SAR at Plimit	





## 7. Conclusions

Qualcomm Smart Transmit feature employed has been validated through the conducted/radiated power measurement, as well as SAR measurement. As demonstrated in this report, the power limiting enforcement is effective and the total normalized time-averaged RF exposure does not exceed 1.0 for all the transmission

scenarios described in Section 2. Therefore, the EUT complies with FCC RF exposure requirement

# Annex A Test Sequences

1. Test sequence is generated based on below parameters of the EUT:

- a Measured maximum power (Pmax)
- b Measured Tx\_power\_at\_SAR\_design\_target (Plimit)
- c Reserve\_power\_margin (dB)
- Preserve (dBm) = measured Plimit (dBm) – Reserve\_power\_margin (dB)
- d SAR\_time\_window (100s for FCC)

2. Test Sequence 1 Waveform:

Based on the parameters above, the Test Sequence 1 is generated with one transition between high and low Tx powers. Here, high power = Pmax; low power = Pmax/2, and the transition occurs after 80 seconds at high power Pmax. As long as the power enforcement is taking into effective during one 100s/60s time window, the validation test with this defined test sequence 1 is valid, otherwise, select other radio configuration (band/DSI within the same technology group) having lower Plimit for this test. The Test sequence 1 waveform is shown below:

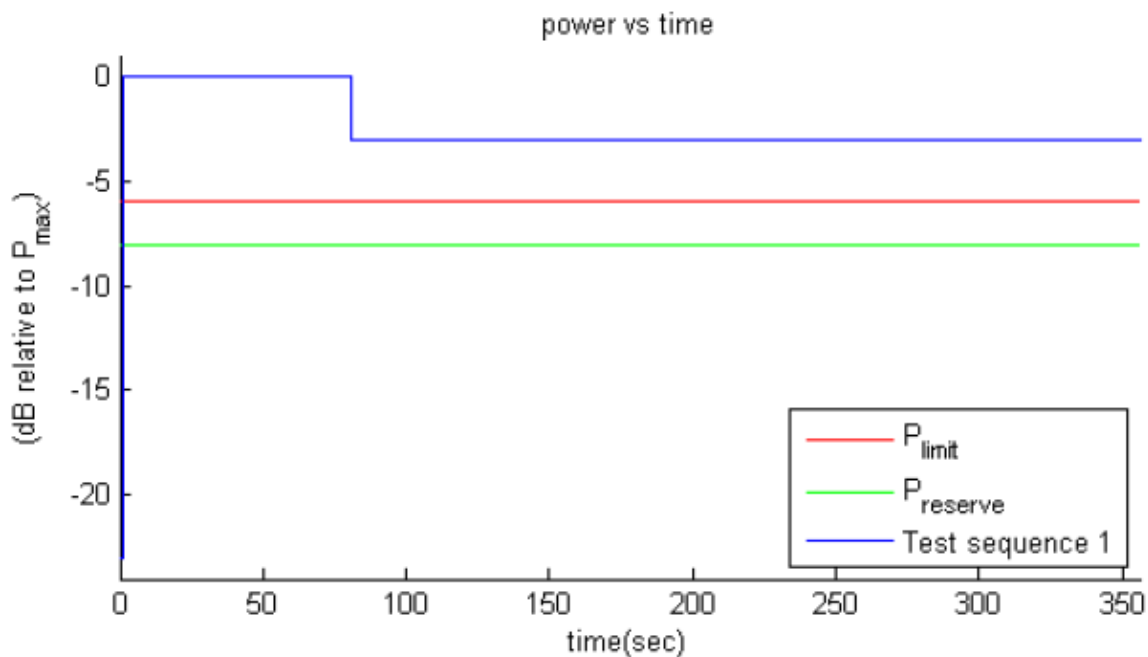


Figure A-1 Test sequence 1 waveform



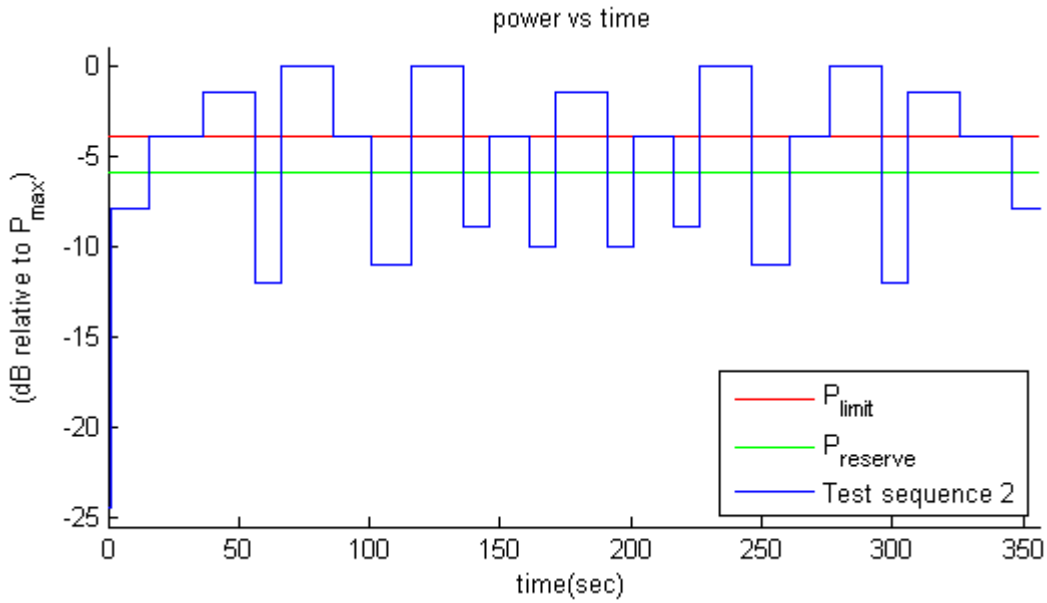
3. Test Sequence 2 Waveform:

Based on the parameters in A-1, the Test Sequence 2 is generated as described in Table 10-1, which contains two 170 second-long sequences (yellow and green highlighted rows) that are mirrored around the center row of 20s, resulting in a total duration of 360 seconds:

**Table A-1 Test Sequence 2**

Time duration (seconds)	dB relative to $P_{limit}$ or $P_{reserve}$
15	$P_{reserve}$
20	$P_{limit}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve}$
20	$P_{max}$
15	$P_{limit}$
15	$P_{reserve}$
20	$P_{max}$
10	$P_{reserve}$
15	$P_{limit}$
10	$P_{reserve}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
10	$P_{reserve}$
15	$P_{limit}$
10	$P_{reserve}$
20	$P_{max}$
15	$P_{reserve}$
15	$P_{limit}$
20	$P_{max}$
10	$P_{reserve}$
20	$(P_{limit} + P_{max})/2$ averaged in mW and rounded to nearest 0.1 dB step
20	$P_{limit}$
15	$P_{reserve}$

The Test Sequence 2 waveform is shown in Figure A-2.





## Annex B Test Procedures for sub6 NR + LTE Radio

Appendix B provides the test procedures for validating Qualcomm Smart Transmit feature for LTE + Sub6 NR non-standalone (NSA) mode transmission scenario, where sub-6GHz LTE link acts as an anchor.

### B.1 Time-varying Tx power test for sub6 NR in NSA mode

Follows Section 3.2.1 to select test configurations for time-varying test. This test is performed with two pre-defined test sequences (described in Section 3.1) applied to Sub6 NR (with LTE on all-down bits or low power for the entire test after establishing the LTE+Sub6 NR call with the callbox). Follow the test procedures described in Section 3.3.1 to demonstrate the effectiveness of power limiting enforcement and that the time averaged Tx power of Sub6 NR when converted into 1gSAR values does not exceed the regulatory limit at all times (see Eq. (1a) and (1b)). Sub6 NR response to test sequence1 and test sequence2 will be similar to other technologies (say, LTE), and are shown in Sections 5

### B.2 Switch in SAR exposure between LTE vs. Sub6 NR during transmission

This test is to demonstrate that Smart Transmit feature accurately accounts for switching in exposures among SAR for LTE radio only, SAR from both LTE radio and sub6 NR, and SAR from sub6 NR only scenarios, and ensures total time-averaged RF exposure compliance with FCC limit.

#### Test procedure:

1. Measure conducted Tx power corresponding to  $P_{limit}$  for LTE and sub6 NR in selected band. Test condition to measure conducted  $P_{limit}$  is:

Establish device in call with the callbox for LTE in desired band. Measure conducted Tx power corresponding to LTE  $P_{limit}$  with Smart Transmit enabled and *Reserve\_power\_margin* set to 0 dB, callbox set to request maximum power.

Repeat above step to measure conducted Tx power corresponding to Sub6 NR  $P_{limit}$ . If testing LTE+Sub6 NR in non-standalone mode, then establish LTE+Sub6 NR call with callbox and request all down bits for radio1 LTE. In this scenario, with callbox requesting maximum power from Sub6NR, measured conducted Tx power corresponds to radio2  $P_{limit}$  (as radio1 LTE is at all-down bits)

2. Set *Reserve\_power\_margin* to actual (intended) value with EUT setup for LTE + Sub6 NR call. First,



establish LTE connection in all-up bits with the callbox, and then Sub6 NR connection is added with callbox requesting UE to transmit at maximum power in Sub6 NR. As soon as the Sub6 NR connection is established, request all- down bits on LTE link (otherwise, Sub6 NR will not have sufficient RF exposure margin to sustain the call with LTE in all-up bits). Continue LTE (all-down bits)+Sub6 NR transmission for more than one time-window duration to test predominantly Sub6 NR SAR exposure scenario (as SAR exposure is negligible from all-down bits in LTE). After at least one time-window, request LTE to go all-up bits to test LTE SAR and Sub6 NR SAR exposure scenario. After at least one more time-window, drop (or request all-down bits) Sub6 NR transmission to test predominantly LTE SAR exposure scenario. Continue the test for at least one more time-window. Record the conducted Tx powers for both LTE and Sub6 NR for the entire duration of this test.

3. Once the measurement is done, extract instantaneous Tx power versus time for both LTE and Sub6 NR links. Similar to technology/band switch test in Section 3.3.3, convert the conducted Tx power for both these radios into 1gSAR value (see Eq. (6a) and (6b)) using corresponding technology/band  $P_{limit}$  measured in Step 1, and then perform 100s running average to determine time-averaged 1gSAR versus time as illustrated in Figure 3-1. Note that here it is assumed both radios have Tx frequencies < 3GHz, otherwise, 60s running average should be performed for radios having Tx frequency between 3GHz and 6GHz.

4. Make one plot containing: (a) instantaneous Tx power versus time measured in Step2.

5. Make another plot containing: (a) instantaneous 1gSAR versus time determined in Step 3, (b) computed time-averaged 1gSAR versus time determined in Step 3, and (c) corresponding regulatory  $1gSAR_{limit}$  of 1.6W/kg.

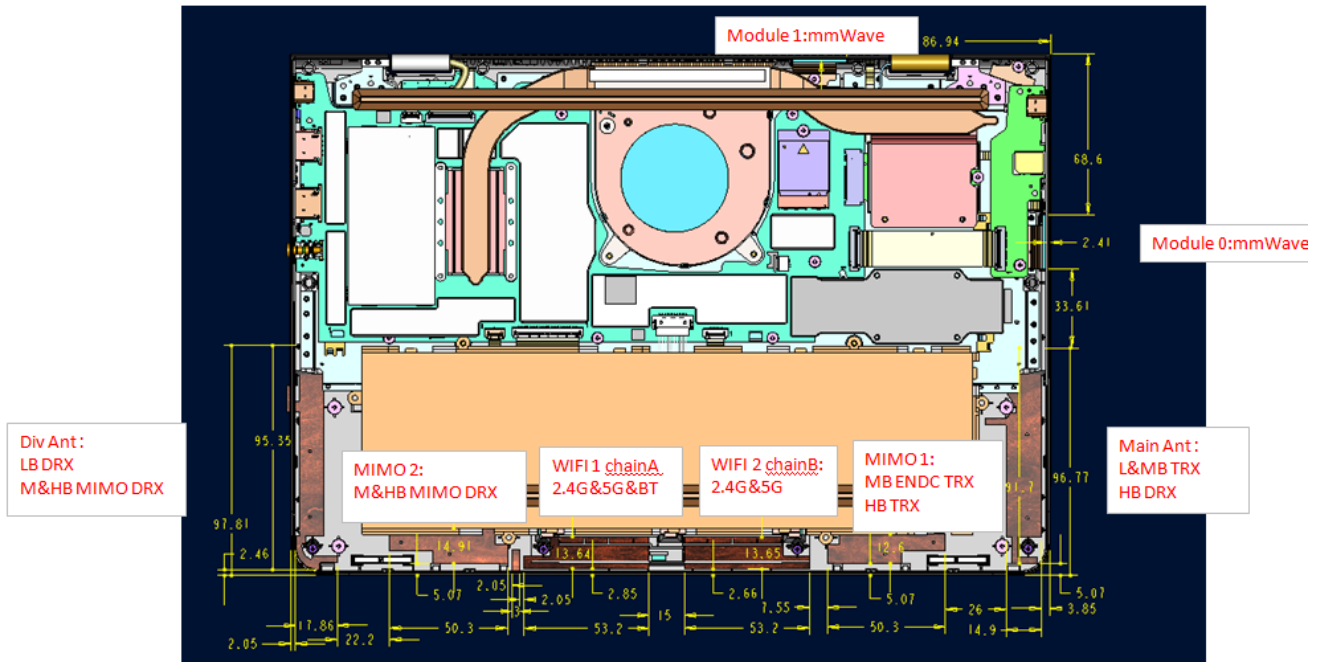
The validation criteria is, at all times, the time-averaged 1gSAR versus time shall not exceed the regulatory  $1gSAR_{limit}$  of 1.6W/kg.



## Annex C Test Equipments List

Manufacturer	Name of Equipment	Type/Model	Serial Number	Calibration	
				Last Cal.	Due Date
R&S	Power Meter	NRP8S	103215	2022.01.25	2023.01.24
R&S	Power Meter	NRP8S	103240	2022.02.14	2023.02.13
Agilent	Dual Directional Coupler	778D	50422	NA	NA
R&S	Network Emulator	CMW500	165755	2021.02.25	2022.02.24

# Annex D Transmit Antenna



Main Ant	WCDMA 2/4/5 LTE 2/4/5/12/13/66 5G 2/5/66	TRX	Only RX (LTE 48 5G 77/78)
Div Ant	LTE 2/4/5/12/13/66/48 5G 2/5/66/77/78	RX	
MIMO 1	LTE 48 5G 77/78	TRX	Only RX (LTE 2/4/66 5G 2/66)
MIMO 2	LTE 2/4/66/48 5G 2/66/77/78	RX	
WIFI 1	2.4G/5G/BT	TRX	
WIFI 2	2.4G/5G	TRX	
Module 0	mmW n260/261	TRX	
Module 1	mmW n260/261	TRX	





## Annex E System Validation

### ➤ Test Equipment List

Manufacturer	Name of Equipment	Type/Model	Serial No.	Calibration	
				Last Cal.	Due Date
SPEAG	1800MHz System Validation Kit	D1800V2	2d185	2021.12.17	2024.12.16
SPEAG	2000MHz System Validation Kit	D2000V2	1050	2021.12.18	2024.12.17
SPEAG	DOSIMETRIC ASSESSMENT SYSTEM	cDASY6	16.0.0.116	NCR	NCR
SPEAG	Dosimetric E-Field Probe	EX3DV4	3753	2021.07.26	2022.07.25
SPEAG	Data Acquisition Electronics	DAE4	1643	2021.12.30	2022.12.29
SPEAG	Dielectric Assessment KIT	DAK-3.5	1279	2021.11.02	2022.11.01
SPEAG	Twin-SAM	Twin-SAM	QD 000 P41 Ax	2020	NCR
SPEAG	Phone Positioner	N/A	N/A	NCR	NCR
R&S	Network Emulator	CMW500	165755	2021.02.25	2022.02.24
Agilent	Network Analyzer	E5071B	MY42404762	2021.03.29	2022.03.28
mini-circuits	Amplifier	ZHL-42W+	608501717	NCR	NCR
Agilent	Signal Generator	N5182B	MY53050509	2021.03.29	2022.03.28
Agilent	Power Sensor	N8482A	MY41090849	2021.10.21	2022.10.20
Agilent	Power Meter	E4416A	MY45102093	2021.10.21	2022.10.20
Anritsu	Power Sensor	MA2411B	N/A	2021.10.21	2022.10.20
Anritsu	Power Meter	NRVD	101066	2021.10.21	2022.10.20
Agilent	Dual Directional Coupler	778D	50422	NA	NA
MCL	Attenuation	351-218-010	N/A	NA	NA
KTJ	Thermo meter	TA298	N/A	2021.12.21	2022.12.20
N/A	Tissue Simulating Liquids	HBBL600-10000V6			24H



➤ **Dielectric Performance of Tissue Simulating Liquid**

Frequency (MHz)	Tissue Type	Liquid Temp.(°C)	Conductivity (σ)	Conductivity Target (σ)	Delta (σ) (%)	Limit (%)	Date
1800	HSL	22.3	1.434	1.40	2.43	±5	2022.01.26
2000	HSL	22.2	1.453	1.40	3.79	±5	2022.01.28

Frequency (MHz)	Tissue Type	Liquid Temp.(°C)	Permittivity (εr)	Permittivity Target (εr)	Delta (εr) (%)	Limit (%)	Date
1800	HSL	22.3	40.322	40.00	0.81	±5	2022.01.26
2000	HSL	22.2	40.175	40.00	0.44	±5	2022.01.28

➤ **System Validation Results**

After system check testing, the SAR result will be normalized to 1W forward input power and compared with the reference SAR value derived from validation dipole certificate report. The deviation of system check should be within 10%.

<Validation Setup>

Frequency (MHz)	Tissue Type	Input Power(mW)	Dipole S/N	Probe S/N	DAE S/N
1800	HSL	250	D1800V2-2d158	3753	1643
2000	HSL	250	D2000V2-1050	3753	1643

<Validation Results>

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Measured 1g SAR (W/kg)	Targeted 1g SAR (W/kg)	Normalized 1g SAR (W/kg)	Deviation (%)
2022.01.26	1800	HSL	250	10.09	39.20	40.36	2.96
2022.01.28	2000	HSL	250	10.55	41.60	42.2	1.44

Date	Frequency (MHz)	Tissue Type	Input Power (mW)	Measured 10g SAR (W/kg)	Targeted 10g SAR (W/kg)	Normalized 10g SAR (W/kg)	Deviation (%)
2022.01.26	1800	HSL	250	5.22	20.10	20.88	3.88
2022.01.28	2000	HSL	250	5.29	20.70	21.16	2.22

Laboratory Name: Morlab

Measurement Report for Device, FRONT, D1800MHz, CW, Channel 0 (1800.0 MHz)

**Device Under Test Properties**

Model, Manufacturer	Dimensions [mm]	IMEI	DUT Type
Device,	50.0 x 10.0 x 8.0		Dipole

**Exposure Conditions**

Phantom Section, TSL	Position, Test Distance [mm]	Band	Group, UID	Frequency [MHz], Channel Number	Conversion Factor	TSL Conductivity [S/m]	TSL Permittivity
Flat, -	FRONT, 0.0	D6GHz	CW, 0--	1800.0, 0	10.0	1.434	40.322

**Hardware Setup**

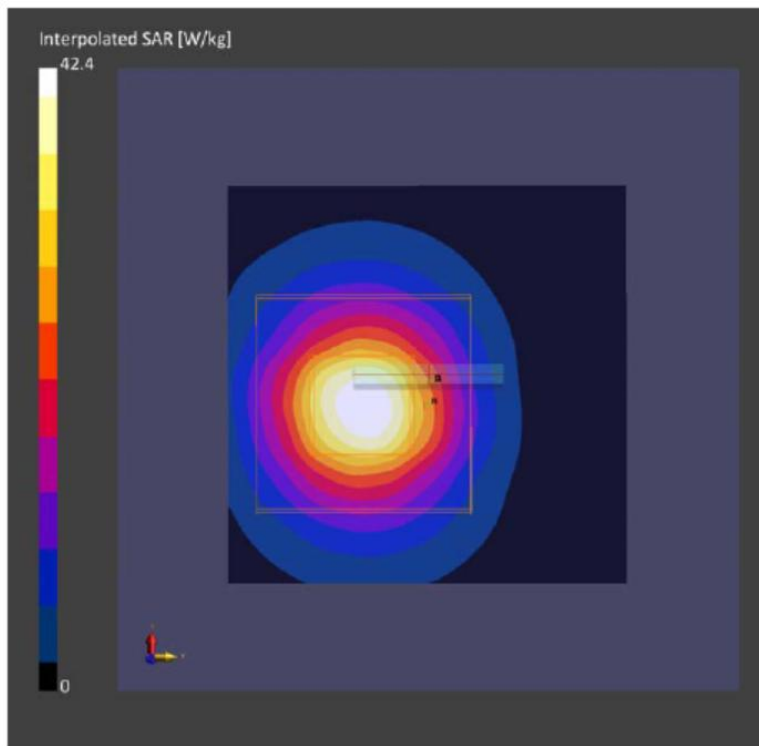
Phantom	TSL, Measured Date	Probe, Calibration Date	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) - xxxx	HBBL-600-10000 Charge:xxxx, 2021-Aug-10	EX3DV4 - 5N3753 2021-07-26	DAE4 Sn1643, 2021-12-30

**Scans Setup**

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 60.0	30.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 5.0
Sensor Surface [mm]	3.0	1.4

**Measurement Results**

	Area Scan	Zoom Scan
Date	2022-01-26, 15:21	2022-01-26, 16:02
psSAR1g [W/Kg]	10.12	10.09
psSAR10g [W/Kg]	5.34	5.22
Power Drift [dB]	0.00	0.01





Laboratory Name: Morlab

Measurement Report for Device, FRONT, D2000MHz, CW, Channel 0 (2000.0 MHz)

Device Under Test Properties

Model, Manufacturer	Dimensions [mm]	IMEI	DUT Type
Device,	50.0 x 10.0 x 8.0		Dipole

Exposure Conditions

Phantom Section, TSL	Position, Test Distance [mm]	Band	Group, UID	Frequency [MHz], Channel Number	Conversion Factor	TSL Conductivity [S/m]	TSL Permittivity
Flat, -	FRONT, 0.0	D6GHz	CW, 0--	2000.0, 0	10.0	1.453	40.175

Hardware Setup

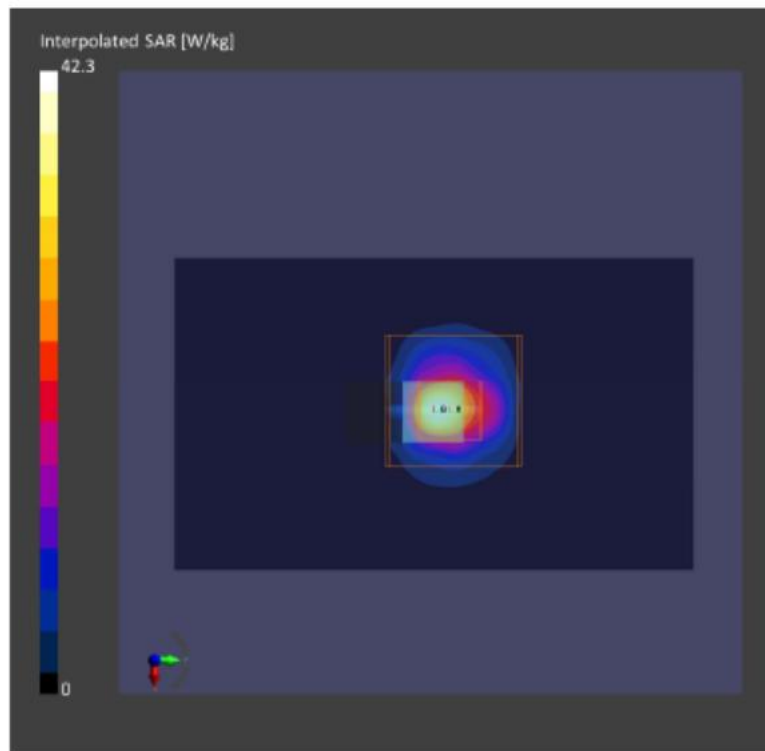
Phantom	TSL, Measured Date	Probe, Calibration Date	DAE, Calibration Date
Twin-SAM V8.0 (30deg probe tilt) - xxxx	HBBL-600-10000 Charge:xxxx, 2021-Aug-10	EX3DV4 - SN3753 2021-07-26	DAE4 Sn1643, 2021-12-30

Scans Setup

	Area Scan	Zoom Scan
Grid Extents [mm]	60.0 x 60.0	30.0 x 30.0 x 30.0
Grid Steps [mm]	15.0 x 15.0	6.0 x 6.0 x 5.0
Sensor Surface [mm]	3.0	1.4

Measurement Results

	Area Scan	Zoom Scan
Date	2022-01-28, 10:20	2022-01-28, 11:05
psSAR1g [W/Kg]	10.62	10.55
psSAR10g [W/Kg]	5.38	5.29
Power Drift [dB]	0.00	0.10





## Annex F DASY Calibration Certificate

The calibration certificates of test equipment are submitted separately.

## Annex G General Information

### 1. Identification of the Responsible Testing Laboratory

Laboratory Name:	Shenzhen Morlab Communications Technology Co., Ltd.
Laboratory Address:	FL.3, Building A, FeiYang Science Park, No.8 LongChang Road, Block 67, BaoAn District, ShenZhen, GuangDong Province, P. R. China
Telephone:	+86 755 36698555
Facsimile:	+86 755 36698525

### 2. Identification of the Responsible Testing Location

Name:	Shenzhen Morlab Communications Technology Co., Ltd.
Address:	FL.3, Building A, FeiYang Science Park, No.8 LongChang Road, Block 67, BaoAn District, ShenZhen, GuangDong Province, P. R. China

### 3. Facilities and Accreditations

The FCC designation number is CN1192, the test firm registration number is 226174.

\*\*\*\*\* END OF REPORT \*\*\*\*\*